Genotypic and Phenotypic Characterization of Human Immunodeficiency Virus Type 1 Variants Isolated from Patients Treated with the Protease Inhibitor Nelfinavir


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Nelfinavir mesylate (formerly AG1343) is a potent and selective inhibitor of human immunodeficiency virus (HIV) protease approved for the treatment of individuals infected with HIV. Nucleotide sequence analysis of protease genes from plasma HIV type 1 (HIV-1) RNA revealed a unique aspartic acid (D)-to-asparagine (N) substitution at residue 30 (D30N) in 25 of 55 patients treated with nelfinavir for a median of 13 weeks. Although the appearance of D30N was occasionally associated with concurrent or sequential emergence of other changes (e.g., at residues 35, 36, 46, 71, 77, and 88), genotypic changes associated with phenotypic resistance to other protease inhibitors were not observed (e.g., at residues 48, 50, 82, and 84) or were only rarely observed (e.g., at residue 90). In phenotypic assays, viral isolates with high-level resistance to nelfinavir remained susceptible to indinavir, saquinavir, ritonavir, and amprenavir (formerly VX-478/141W94). Similar results were observed in phenotypic assays utilizing HIV-1 NL4-3, which contained the D30N substitution alone or in combination with substitutions at other residues (e.g., residues 46, 71, and 88). These data indicate that the initial pathway of resistance to nelfinavir is unique and suggest that individuals failing short courses of nelfinavir-containing regimens may respond to regimens containing other protease inhibitors.

The antiretroviral drugs currently approved for the treatment of individuals infected with human immunodeficiency virus (HIV) include nucleoside analogues and nonnucleoside inhibitors which target the viral reverse transcriptase (RT), as well as a third class of antiretroviral agents which target the viral protease. The HIV protease inhibitors approved to date include indinavir (MK-639), ritonavir (ABT-538), saquinavir (Ro 31-8959), and nelfinavir (AG1343) (30). Data from clinical trials have confirmed the utility of HIV protease inhibitors as important components of potent antiviral therapies and have indicated significant reductions in levels of HIV-1 RNA in plasma and increases in CD4+ cells after treatment (3, 5, 10, 13, 27, 42, 49). However, the loss of suppression of virus replication in vivo is usually associated with the emergence of viral variants with reduced susceptibility to all three classes of drugs and all currently available protease inhibitors (7–9, 18, 20, 27, 31, 42, 43, 48). As a prerequisite for treating patients with sequential or combination protease inhibitor-containing regimens, an understanding of the susceptibility of protease-resistant variants to other protease inhibitors is essential. Current data indicate that broad phenotypic cross-resistance exists between HIV variants derived from patients treated with ritonavir and indinavir (7, 8, 31, 43). A subset of these HIV variants also demonstrate reductions in susceptibility to other protease inhibitors, including saquinavir and amprenavir. Reductions in susceptibility in isolates derived from patients treated with saquinavir have also been reported elsewhere (9). The broad cross-resistance observed among various protease-resistant HIV type 1 (HIV-1) variants is consistent with genotypic data, which show that these structurally unrelated protease inhibitors frequently select for the same amino acid substitutions at residues 82, 84, and 90 that interact either directly or indirectly with substrate or inhibitor binding (7–9, 27, 31, 42, 43).

Nelfinavir mesylate is a nonpeptidic inhibitor of HIV-1 protease that was discovered by protein structure-based design methodologies (22, 35). In vitro, nelfinavir has demonstrated potent activity against laboratory, clinical, and RT-resistant strains of HIV-1 and -2 and has produced additive-to-synergistic interactions when combined with other antiretrovirals (35, 36). In human clinical trials, nelfinavir has demonstrated safety and efficacy as monotherapy as well as in combination with either stavudine (d4T) or with zidovudine (ZDV) plus lamivudine (3TC) (6, 12, 15, 28, 29, 39, 41). We have previously characterized HIV-1 variants selected in vitro following serial passage of wild-type HIV-1 NL4-3 in the presence of increasing concentrations of nelfinavir (35). Following 22 serial passages, a variant (p22) was identified which had a sevenfold reduction in susceptibility to nelfinavir and which contained a previously undescribed aspartic acid (D)-to-asparagine (N) substitution at position 30 (D30N). To extend these in vitro studies, nucleotide sequence analysis was performed on HIV protease genes obtained from HIV-1 RNA in plasma of 55 patients enrolled in phase I/II nelfinavir dose-ranging studies (6, 12, 29, 38). To determine the potential for cross-resistance to nelfinavir, phenotypic assays were performed with HIV variants isolated both from patients treated with nelfinavir from clinical studies and in vitro selection experiments. The relevance of specific genotypic changes was confirmed by per-
forming susceptibility assays with HIV-1 NL4-3 constructed to contain specific amino acid substitutions.

MATERIALS AND METHODS

Subjects. Plasma and peripheral blood mononuclear cells (PBMCs) were isolated from 55 patients enrolled in two phase I-II nelfinavir dose-ranging studies (6, 12, 29). Fifty-one patients received nelfinavir at doses ranging from 1,000 to 3,000 mg/day according to one of six dose regimens (500 mg twice a day [BID], 750 mg BID, 500 mg three times a day [TID], 750 mg TID, and 1,000 mg TID); four patients received nelfinavir at doses ranging from 1,500 to 3,000 mg/day according to one of three TID regimens (500, 750, and 1,000 mg TID) in combination with d4T (30 to 40 mg BID). Patients enrolled in these protocols initially received nelfinavir monotherapy for 4 to 6 weeks, after which time other antiretroviral therapies could be added. Plasma HIV-1 RNA was monitored by the branched-DNA (bDNA) Quantiplex HIV RNA assay (Chiron Corp., Emeryville, Calif.).

Compounds. Nelfinavir mesylate was synthesized at Agouron Pharmaceuticals, Inc. Other HIV protease inhibitors including indinavir, ritonavir, saquinavir, and amprenavir (formerly VX-478/141W94) were provided by Merck Research Laboratories, Abbott Laboratories, Roche Research Centre, and Vertex Pharmaceuticals, Inc., respectively.

Determination of HIV protease gene sequences. The nucleotide sequence of the protease gene from HIV-1 isolated from patient plasma or from virus-containing supernatants was determined by Professional Genetics Laboratory AB (Uppsala, Sweden). A guanidinium isothiocyanate extraction procedure was used to prepare virus RNA (vRNA) from plasma pelleted cell pellets. cDNA was then synthesized from extracted vRNA by use of the First Strand cDNA Synthesis kit (Pharmacia Biotech AB, Uppsala, Sweden) and were used as templates to amplify the protease gene via a two-step PCR method which involved a primary PCR amplification and a second nested-PCR amplification. Purified PCR products were sequenced with the AutoRead Sequencing kit (Pharmacia Biotech AB) on the A.L.F. DNA Sequencer (Pharmacia Biotech AB). Sequence analysis of mutation frequencies at specific base positions was semiquantitative; mutations which represented only 10 to 15% of the entire virus population were recorded and included in subsequent tabulations. Specific amino acid substitutions were identified by comparison of matched plasma vRNA samples obtained from patients prior to (baseline) and after initiation of nelfinavir therapy with the HIV-1 North American clade B protease sequence as a master consensus sequence (32).

Phenotypic analysis of HIV clinical isolates. HIV-1 clinical isolates were obtained following cocultivation of patient PBMCs with phytohemagglutinin (PHA)-stimulated PBMCs from HIV-seronegative donors. Drug susceptibility assays were performed by incubating 1,000 50% tissue culture infectious doses (TCID50) of each isolate with 106 uninfected PHA-stimulated donor PBMCs for 1 to 2 h in the presence of interferon-21 (21). Infected cells were then washed and resuspended and plated in duplicate onto a 96-well plate containing either fivefold dilutions of specific compounds (0.008 to 5 μM) or medium only. Levels of HIV-1 core antigen in cell-free supernatants were measured on day 7 with a commercial kit (Abbott Laboratories). Data from duplicate wells were averaged, and percent inhibition was calculated by comparison to that of the drug-free control wells. The 90% effective concentration (EC90) was calculated as the concentration of drug that decreased the percentage of p24 produced in infected, drug-treated cells to 90% of that produced by infected, drug-free cells. The level of resistance was expressed as the ratio of the EC90 obtained for variant HIV-1 NL4-3 to 90% of that produced by infected, drug-free cells. Data (resistance level) were expressed as the ratio of the EC90 obtained for variant HIV-1 NL4-3 and the EC90 of wild-type HIV-1 NL4-3. Resistance levels of ≥threefold were determined to be significant.

Statistical analysis. To determine the effect of baseline polymorphisms on the occurrence of the D30N substitution, the proportion of patients that acquired the D30N substitution was calculated for each of the two groups (polymorphisms absent or present). A test of the equality of the two proportions was conducted by Fisher’s exact test.

RESULTS

Genotypic changes in plasma vRNA from patients treated with nelfinavir. To identify genotypic changes in HIV protease associated with virologic relapse, we performed nucleotide sequence analysis of plasma HIV-1 isolates from 55 patients enrolled in phase I/II dose-ranging studies of nelfinavir. Plasma samples for HIV-1 RNA sequencing were obtained at baseline and at the time of virologic failure, which was defined as the time of the initial increase in HIV-1 RNA level above the nadir. In some patients, additional isolates from later time points were also sequenced. The median duration of nelfinavir therapy at the time of genotype analysis was 13 weeks (range, 2 to 52 weeks). Amino acid substitutions in HIV protease that were detected in patients treated with nelfinavir occurred at 31 different residues (Fig. 1). Amino acid substitutions in HIV-1 protease that occurred in >10% of patients occurred at residues 30, 35, 36, 46, 71, 77, and 88 (Fig. 1). The predominant amino acid substitution that was observed in isolates from 25 of the 55 patients was D30N. The N-to-D or serine (S) substitutions at residue 88 (N88D/S) were detected in isolates from 11 of the 55 patients. Seven of the isolates from 8 patients whose HIV protease contained the N88D substitution also contained the D30N substitution. A methionine (M)-to-isoleucine (I) substitution at residue 46 (M46I) was detected in 8 of 55 patients following nelfinavir therapy. Five of the eight isolates which contained the M46I substitution also contained the D30N substitution. In one of these patients, however, the M46I substitution reverted back to the baseline sequence in samples derived from latter time points despite continued nelfinavir therapy. A similar pattern of amino acid substitutions was observed in isolates from four patients who received nelfinavir in combination with d4T (data not shown). Other substrate-inhibitor binding site amino acid substitutions that have been associated with phenotypic resistance to the other clinically relevant protease inhibitors were either not observed, e.g., glycine (G) to V at residue 48 (G48V), IS0V, V82 phenylalanine(F)/T, and 184V, or were only rarely observed, e.g., leucine (L) to M at residue 90 (L90M), in 3 of 55
patients during the time period studied (7, 20, 31, 33). A V82A substitution was detected in one patient on nelfinavir therapy. This patient however, had a baseline substitution of G48V and was receiving concurrent therapy with saquinavir. Patient isolates that did not contain the D30N substitution (30 of 55 patients) contained from one to four substitutions ($n = 20$) or carried no changes at all ($n = 10$) (see Fig. 3; data not shown). With the exception of the L90M and V82A substitutions detected in isolates from four of these patients, these substitutions occurred at residues that were identified as baseline polymorphisms in other patients (e.g., at residues 10, 13, 20, 35, 62, 63, 64, 77, and 93 [Fig. 1; see Table 3]) and/or were not associated with phenotypic resistance to nelfinavir (see Fig. 3).

Previous work has shown that in vitro, six additional passages of the p22 variant in significantly higher concentrations of nelfinavir resulted in the disappearance of virus containing the D30N substitution and the appearance of virus containing M46I/I84V substitutions (35). To determine if additional new genotypic changes in HIV-1 protease accumulate with prolonged exposure to nelfinavir, sequence analysis was performed on serial plasma vRNA samples collected from all 16 of the 25 patients whose isolates carried the D30N substitution and who remained on nelfinavir therapy for a median of 11 weeks (range, 4 to 44 weeks) beyond the time of initial virological failure (Fig. 2). The D30N substitution was found in association with a mean of two other amino acid substitutions (range, zero to five amino acid substitutions) in plasma HIV RNA samples obtained from patients after a median of an additional 8 weeks of nelfinavir. Although there was a trend toward the accumulation of additional changes, no statistically significant correlation was observed between the duration of nelfinavir therapy and the number of accumulation of additional amino acid substitutions. Patients were just as likely to acquire as many as five additional changes following only 12 weeks of therapy (data not shown) as those who had received 52 weeks of therapy (Fig. 2A). In some cases, the D30N substitution was not accompanied by any additional changes (Fig. 2B) or was accompanied by reversion to the baseline sequence even after prolonged nelfinavir therapy (data not shown). Although the appearance of D30N was most often associated with substitutions of M36I, A71T, and N88D, the D30N substitution was stably maintained, and, significantly, no new substrate-inhibitor binding site amino acid substitutions, including I84V, were observed in any patients during continued nelfinavir therapy (Fig. 2A through D).

**Phenotypic analysis of HIV variants selected by nelfinavir in vivo.** To characterize the phenotype of HIV-1 isolates from the same nelfinavir-treated cohorts, 20 pairs of isolates were cultured from the PBMCs of 19 patients at baseline and after receiving nelfinavir therapy for periods ranging from 4 to 29 weeks. The critical role of the D30N substitution in nelfinavir resistance was inferred by the observation that all 10 clinical isolates which exhibited a significant reduction in susceptibility (5- to 93-fold increase in EC$_{90}$) to nelfinavir contained this
substitution, whereas the isolates that lacked the D30N substitution did not show significant reductions in sensitivity (<fivefold) to nelfinavir (Fig. 3). Overall, the median EC₉₀ for nelfinavir against 22 HIV-1 isolates obtained at baseline was 0.056 μM (range, 0.008 to 0.20 μM), whereas the median EC₉₀ of 10 nelfinavir-resistant HIV-1 variants isolated after therapy was 0.68 μM (range, 0.04 to 2.5 μM). In addition to D30N, phenotypically resistant isolates also contained from zero to three other amino acid substitutions in protease (Fig. 2C and D and Table 1). However, there did not appear to be any significant correlation between the number or pattern of these substitutions and nelfinavir susceptibility. As such, the concomitant presence of the N88D/S substitutions in two isolates did not increase the level of nelfinavir resistance above that observed for isolates containing the D30N substitution.

Cross-resistance of nelfinavir-resistant HIV variants. To evaluate potential cross-resistance to other protease inhibitors, nelfinavir-resistant isolates were examined for susceptibility to ritonavir, indinavir, saquinavir, and amprenavir (Table 1). Although HIV-1 variants demonstrated a 5- to 93-fold increase in EC₉₀ to nelfinavir, significant reductions in susceptibility to other protease inhibitors tested were not observed. To confirm the role of the D30N substitution with reductions in susceptibility to nelfinavir and lack of cross-resistance to other protease inhibitors, similar susceptibility assays were performed with recombinant virus strains constructed to contain specific genotypic changes in HIV protease. HIV-1 NL4-3 containing the D30N substitution alone demonstrated a sixfold increase in EC₉₀ to nelfinavir but remained susceptible to indinavir, riponavir, saquinavir, and amprenavir (Table 1). In contrast, HIV-1 NL4-3 containing the single amino acid substitution of I84V demonstrated cross-resistance to all protease inhibitors tested, including nelfinavir. This latter observation was consistent with results obtained from susceptibility assays performed with the further-passaged nelfinavir-resistant variant p28, which also contained the I84V substitution.

The effects of other amino acid substitutions that appear in association with the D30N substitution were also tested. Recombinant virus strains containing either the M46I, A71V, or N88D substitution alone, however, remained sensitive to nelfinavir and/or other protease inhibitors tested (Table 2) (35). HIV-1 NL4-3 containing D30N/N88D, D30N/A71V, or D30N/M46I/A71V substitutions demonstrated 6- to 31-fold reductions in susceptibility to nelfinavir but remained susceptible to other protease inhibitors (Table 2). Similarly, an HIV variant selected in vitro (p22) and which contained both D30N and A71V substitutions also remained susceptible to indinavir, ritonavir, saquinavir, and amprenavir (Table 2). These results are also consistent with those observed with HIV variants isolated from patients treated with nelfinavir, in which the presence of substitutions at other amino acid residues (e.g., residues 36, 46, 52, 63, 71, and 74) in conjunction with D30N did not significantly alter the susceptible phenotype of these otherwise nelfinavir-resistant virus variants (Table 1).

Baseline polymorphisms do not predict the acquisition of D30N. During the course of this analysis, a significant degree of

**TABLE 1. Susceptibility of nelfinavir-resistant HIV variants to other protease inhibitors**

<table>
<thead>
<tr>
<th>Isolate (wk)</th>
<th>Genotypic change(s)</th>
<th>Nelfinavir EC₉₀ (μM)</th>
<th>Ritonavir EC₉₀</th>
<th>Indinavir EC₉₀</th>
<th>Saquinavir EC₉₀</th>
<th>Amprenavir EC₉₀</th>
</tr>
</thead>
<tbody>
<tr>
<td>219 (13)</td>
<td>D30N→D, G52S/G</td>
<td>2.50 (93)</td>
<td>0.03 (&lt;1)</td>
<td>0.04 (1)</td>
<td>0.03 (4)</td>
<td>0.04 (1)</td>
</tr>
<tr>
<td>222 (13)</td>
<td>D30N→D</td>
<td>1.00 (45)</td>
<td>0.01 (&lt;1)</td>
<td>0.03 (&lt;1)</td>
<td>0.03 (4)</td>
<td>0.03 (&lt;1)</td>
</tr>
<tr>
<td>204 (12)</td>
<td>D30N/N, T74T/A</td>
<td>1.90 (5)</td>
<td>0.01</td>
<td>0.04</td>
<td>0.01</td>
<td>0.04</td>
</tr>
<tr>
<td>211 (16)</td>
<td>D30N→D, M36I/L, L63P&gt;L</td>
<td>0.60 (60)</td>
<td>0.02 (3)</td>
<td>0.01 (1)</td>
<td>0.10 (1)</td>
<td>ND</td>
</tr>
<tr>
<td>228 (8)</td>
<td>D30N</td>
<td>0.20 (10)</td>
<td>0.01</td>
<td>0.02</td>
<td>0.01</td>
<td>0.04</td>
</tr>
<tr>
<td>228 (12)</td>
<td>D30N, M46M&gt;I, A71V&gt;A</td>
<td>0.90 (5)</td>
<td>0.09 (&lt;1)</td>
<td>0.01 (&lt;1)</td>
<td>0.10 (&lt;1)</td>
<td>ND</td>
</tr>
</tbody>
</table>

**a** HIV was isolated from patient PBMCs at baseline and at various times after initiation of nelfinavir therapy (in weeks). Amino acid substitutions were identified by comparison of matched plasma vRNA samples obtained from patients prior to (baseline) and after initiation of nelfinavir by using the consensus sequence as described in Materials and Methods. EC₉₀ were calculated from dose-response curves as described in Materials and Methods. Fold and change values in parentheses were calculated by comparing the EC₉₀ of each drug for isolates cultured after therapy with the EC₉₀ of each drug for the matched isolate cultured at baseline (data not shown). Values are derived from one experiment or are the means of two or more experiments. ND, not determined.
polymorphism was observed in the HIV-1 protease gene sequences obtained prior to the initiation of nelfinavir therapy. Differences from the clade B consensus sequence amino acids were noted at 37 of the 99 residues (data not shown). Substitutions in the HIV protease that occurred in >10% of patients were detected at amino acid residues 10, 12, 13, 15, 35, 36, 37, 41, 62, 63, 64, 72, 77, and 93 (Fig. 2; Table 3). Of note was the polymorphism identified at residue 63, which occurred in 41 of the 55 (75%) baseline isolates. Many of these substitutions were also observed following treatment with nelfinavir (Fig. 1 and 2) or have been reported following treatment with other protease inhibitors (7–9, 20, 27, 31, 42, 43). To determine if any of these baseline polymorphisms had predisposed to the emergence of nelfinavir-resistant variants containing the D30N substitution, isolates were initially classified according to whether they had a given polymorphism at baseline. The proportion of patients whose isolates acquired the D30N substitution was then calculated for each of the two groups. None of these polymorphisms was significantly associated with the subsequent acquisition of the D30N substitution during nelfinavir therapy (Table 3). Although genotypic analyses were performed at various time points after nelfinavir therapy, the lengths of duration of nelfinavir therapy were similar between the two groups, ranging from 28 to 368 days (median of 92 days) and from 28 to 346 days (median of 88 days) in patients who did and did not acquire the D30N substitution, respectively. These results suggest that these individual baseline polymorphisms were not associated with an increased risk of developing genotypic resistance to nelfinavir during the time period studied.

**DISCUSSION**

Although data from human clinical trials have described the effectiveness of HIV protease inhibitors in significantly reducing viral load and increasing CD4 cell counts, recent reports have described the emergence, in patients, of virus variants with reduced susceptibility to the protease inhibitor administered during therapy (7–9, 18, 20, 27, 31, 42, 43, 48). In many cases, these resistant virus variants have been shown to be cross-resistant to other structurally unrelated protease inhibitors (7–9, 29, 41). Therefore, an understanding of the genotypic and phenotypic changes associated with protease inhibitor resistance is essential.

Studies of the emergence of drug resistance in vitro with serial passage of HIV-1 in the presence of increasing concentrations of a given protease inhibitor (17, 19, 26, 33, 35, 45, 47) have revealed that phenotypic resistance can be attributed to a few specific amino acid substitutions that occur within highly conserved regions of the enzyme. These substitutions interact directly (e.g., residues 48, 50, 82, and 84) or indirectly (e.g., residue 90) with the substrate and/or inhibitor during binding. Results from in vitro serial passage studies have been somewhat predictive of genotypic changes observed in vivo, leading to resistance to individual protease inhibitors (20, 27, 31, 42, 43). Accordingly, sequence analyses of protease genes of HIV-1 strains isolated from patients enrolled in clinical trials of nelfinavir were also consistent with in vivo results (35). Analogous to the p22 variant, the predominant genotypic change observed in HIV-1 isolates from 55 patients treated with nelfinavir for periods of as many as 52 weeks was a D30N substitution. Significantly, some other amino acid substitutions which have been associated with resistance to other clinically relevant protease inhibitors (e.g., at residues 48, 50, and 82) (7, 20, 31, 33) were not detected in vitro and were also not detected in vivo. A substitution at residue 90 that was not detected in vitro was detected in 3 of the 55 patients studied. In contrast, the I84V substitution which was detected in vitro in a later-passaged nelfinavir-resistant variant (p28 [35]) was not detected in isolates obtained from nelfinavir-treated patients included in the present study. The long-term stability of the D30N substitution and the absence of the I84V substitution were evidenced by nucleotide sequence analysis of serial plasma HIV-1 isolates collected from patients whose initial isolates contained D30N and who continued on nelfinavir therapy for periods of as many as 44 weeks. Although we cannot exclude the possibility that viruses containing other substrate-inhibitor binding site mutations including the I84V substitution were present at frequen-

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**TABLE 2. Susceptibility of HIV-1 variants to nelfinavir and other protease inhibitors**

<table>
<thead>
<tr>
<th>HIV-1 NL4-3 variant(s)</th>
<th>EC90 (μM) (fold change) with the following compounds:</th>
<th>Nelfinavir</th>
<th>Ritonavir</th>
<th>Indinavir</th>
<th>Saquinavir</th>
<th>Amprenavir</th>
</tr>
</thead>
<tbody>
<tr>
<td>HIV-1 NL4-3</td>
<td>0.03 (2) 0.05 0.06 0.03 0.08</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>p22 (D30N/A71V)</td>
<td>0.19 (6) 0.09 0.07 0.01 0.04</td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>p28 (M46I/L47V)</td>
<td>0.83 (28) 0.90 (18) 0.78 (13) 0.16 (5) ND</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>D30N</td>
<td>0.18 (6) 0.01 0.01 0.01 0.02</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>N88D</td>
<td>0.02 0.01 0.01 0.03 0.02</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>I84V</td>
<td>0.13 (4) 0.80 (16) 0.64 (11) 0.09 (3) ND</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>D30N/N88D</td>
<td>0.18 (6) 0.01 0.01 0.01 0.04</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>D30N/A71V</td>
<td>0.92 (3) 0.01 0.04 0.01 0.02</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>D30N/M46I/A71V</td>
<td>0.92 (3) 0.04 0.04 0.01 0.04</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* Values in parentheses were calculated by comparing the EC90s of wild-type HIV-1 NL4-3 and variant HIV-1 NL4-3 strains as determined in susceptibility assays with MT-2 cells as described in Materials and Methods. Fold changes (threefold) in EC90s are indicated. Variant HIV-1 NL4-3 strains and wild-type HIV-1 NL4-3 were analyzed in parallel for sensitivity to all inhibitors tested. Values are derived from one experiment or are the means of two or more experiments. ND, not determined.

**TABLE 3. Effect of baseline polymorphisms on occurrence of D30N substitution**

<table>
<thead>
<tr>
<th>Amino acid position</th>
<th>No. of patients with D30N substitution (%)</th>
<th>P value&lt;sup&gt;b&lt;/sup&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Polymorphism absent</td>
<td>Polymorphism present</td>
</tr>
<tr>
<td>10</td>
<td>22/47 (46.8)</td>
<td>3/8 (37.5)</td>
</tr>
<tr>
<td>12</td>
<td>20/43 (46.5)</td>
<td>5/12 (41.7)</td>
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<tr>
<td>13</td>
<td>19/42 (45.2)</td>
<td>6/13 (46.2)</td>
</tr>
<tr>
<td>15</td>
<td>19/42 (45.2)</td>
<td>6/13 (46.2)</td>
</tr>
<tr>
<td>35</td>
<td>17/43 (39.5)</td>
<td>8/12 (66.7)</td>
</tr>
<tr>
<td>36</td>
<td>19/40 (47.5)</td>
<td>6/15 (40.0)</td>
</tr>
<tr>
<td>37</td>
<td>19/40 (47.5)</td>
<td>6/15 (40.0)</td>
</tr>
<tr>
<td>41</td>
<td>23/45 (51.1)</td>
<td>2/10 (20.0)</td>
</tr>
<tr>
<td>62</td>
<td>20/41 (48.8)</td>
<td>5/14 (35.7)</td>
</tr>
<tr>
<td>63</td>
<td>7/14 (50.0)</td>
<td>18/41 (43.9)</td>
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<tr>
<td>64</td>
<td>17/43 (39.5)</td>
<td>8/12 (66.7)</td>
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<td>72</td>
<td>22/47 (46.8)</td>
<td>3/8 (37.5)</td>
</tr>
<tr>
<td>77</td>
<td>19/40 (47.5)</td>
<td>6/15 (40.0)</td>
</tr>
<tr>
<td>93</td>
<td>20/44 (45.5)</td>
<td>5/11 (45.5)</td>
</tr>
</tbody>
</table>

<sup>a</sup> Sequence analysis was performed on HIV protease genes obtained from plasma samples from 55 patients at baseline prior to nelfinavir therapy. Polymorphisms which occurred in >10% of patients (≥6 changes/5 baseline sequences) were identified based on comparison with the consensus sequence as described in Materials and Methods. The proportion of patients that acquired the D30N substitution following nelfinavir therapy was then calculated for each of the two groups (polymorphism absent or present).

<sup>b</sup> A test of equality of the two proportions was conducted by Fisher’s exact test.
cies too low to detect by the techniques utilized, these results suggest that viruses containing the D30N substitution must represent the most stable and competitively fit viral species in the presence of nelfinavir in vivo.

These observations have also been confirmed by a preliminary analysis of the protease genes from an additional 113 patients following 12 to 16 weeks of nelfinavir therapy (37). Although the median duration of nelfinavir therapy (13 weeks) in the present study may be considered short, preliminary analysis of HIV-1 isolates from an additional 18 patients treated with nelfinavir for a median of 55 weeks further support these findings (46). In the latter study, D30N and L90I substitutions were detected in 13 of 18 and 5 of 18 patients, respectively; substitutions at residue 48, 50, 82, or 84 were not detected.

In HIV-1 isolates from patients treated with nelfinavir, the D30N substitution was often accompanied by the concurrent or subsequent appearance of additional substitutions (e.g., at residues 35, 36, 46, 63, 71, 77, and 88) that have been described for HIV-1 variants isolated from patients treated with other protease inhibitors. In general, these substitutions occur at residues located in regions of the protease that are not directly involved with inhibitor and/or substrate binding. In some cases, these additional (secondary) substitutions have been shown to improve the growth of resistant isolates that has been negatively affected by primary resistance substitutions occurring in the substrate/inhibitor binding site of the enzyme (17, 40). Changes at these residues alone are not associated with reductions in susceptibility to protease inhibitors. However, accumulation of these secondary changes together with substitutions at critical substrate-inhibitor binding site residues has, in some cases, resulted in levels of resistance higher than those measured with substrate-inhibitor binding site changes alone (7, 8, 31, 33, 34).

Consistent with these findings are results from this and previous studies showing that viruses containing single changes at residues 46, 63, 71, and 88 are sensitive to nelfinavir as well as other protease inhibitors tested (26, 34, 35, 45). Furthermore, greater reductions in susceptibility to nelfinavir were detected in recombinant virus strains which contained the D30N substitution in combination with the A71V or M46I/A71V substitutions compared to virus strains that contained D30N alone. Despite the increased level of resistance to nelfinavir conferred by these additional substitutions, no reduction in susceptibility to other protease inhibitors was observed. This result is in contrast to that observed for viruses which have additional changes expressed in the genetic context of substitutions at other substrate-inhibitor binding site residues, e.g., 82 and 84. In these cases, increased reductions in susceptibility to individual protease inhibitors are accompanied by concomitant increases in levels of cross-resistance (7, 8, 31). In addition to changes that occur in the enzyme, other compensatory changes in gag or gag-pol polyprotein cleavage sites have been described (11), but the presence of such changes was not evaluated in the present study.

Consistent with other studies, a significant degree of polymorphism was detected in HIV-1 protease gene sequences from patients prior to nelfinavir therapy (23–25). The presence of pretreatment polymorphisms or compensatory substitutions in HIV protease prior to therapy raised the possibility that these viruses may be predisposed to more rapidly acquire drug resistance. However, no correlation was found between the presence of individual baseline polymorphisms and the acquisition of the D30N substitution. Although the possibility cannot be excluded that combinations of certain polymorphisms may lead to more rapid resistance, a larger data set would be required to perform these analyses due to the extensive heterogeneity in patterns of different polymorphisms that have been observed. Given the high mutation rate of HIV-1, incomplete suppression of virus replication alone should be considered the major factor that ultimately allows for the selection of drug-resistant HIV variants.

A structural basis for protease inhibitor resistance can be ascertained by analysis of the experimentally determined or modeled three-dimensional structures of the enzyme with bound inhibitors (2, 4, 11, 22, 50). In general, resistance can be attributed to a perturbation of specific hydrophobic or electrostatic interactions that occur between bound inhibitors and the enzyme. Crystallographic analyses which depict the binding of nelfinavir in the substrate-inhibitor binding site of HIV protease provide a structural basis for the relevance of the D30N substitution (2, 21). In this manner, the carboxylate oxygen of aspartic acid (D) at residue 30 in the S2 subsite of the enzyme forms a hydrogen bond with the P2 phenylhydroxyl group of nelfinavir. However, asparagine (N) forms a much weaker hydrogen bond to the P2 substituent due to asparagine’s lack of associated electrostatic charge and thus may destabilize nelfinavir binding. This interaction is unique among the approved protease inhibitors and may form the basis for the distinct resistance profile for nelfinavir. In a similar manner, both indinavir and ritonavir have been optimized to form strong hydrophobic interactions with the valine at residue 82 in the S3 subsite of the enzyme and are, therefore, most affected by a substitution at this residue. Accordingly, the most predominant change detected in resistant isolates from patients treated with these inhibitors occurs at residue 82 (7, 8, 31, 43).

Although amino acid substitutions that occur at residues which interact with the substrate or inhibitor during binding can be easily rationalized, substitutions that occur at residues located outside the substrate-inhibitor binding site are more difficult to understand. It is postulated that these changes affect the stability or activity of the enzyme via long-range structural perturbations which restore viability to the protease and the virus (2, 4, 11, 44).

In summary, this study identified a unique amino acid substitution in the protease gene of HIV-1 isolates from patients failing nelfinavir-containing regimens. Isolates derived from these patients appear to retain in vitro susceptibility to other protease inhibitors. This finding has been confirmed in an analysis of seven HIV clinical isolates by recombinant virus phenotypic techniques (16). Overall, these findings suggest that patients failing a regimen containing nelfinavir might derive benefit from a subsequent regimen containing other protease inhibitors; however, confirmation by appropriate clinical studies is necessary. Although initial studies (14, 46) have shown that patients who failed on a nelfinavir-containing regimen and whose isolates contained predominantly a substitution at residue 30 have achieved an initial high level of suppression when switched to a ritonavir-saquinavir-containing regimen, further substantiation requires larger clinical trials.

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