Combination of Candidate Microbicides Cellulose Acetate 1,2-Benzenedicarboxylate and UC781 Has Synergistic and Complementary Effects against Human Immunodeficiency Virus Type 1 Infection

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By the end of 2003, more than 60 million people worldwide had been infected by the human immunodeficiency virus (HIV), and over one-third of them died of AIDS (40). Due to the unavailability of anti-HIV vaccines, development of topicaly applied microbicides is urgently needed since sexual transmission is the major cause of HIV infection (9, 19, 36, 37, 39).

There are three major categories of candidate microbicides with different mechanisms of action: (i) inactivating HIV-1 and other sexually transmitted disease (STD) pathogens, including surfactants (e.g., nonoxynol-9 [21] and C31G [3]) and acidifying agents (e.g., BufferGel [23]); (ii) blocking HIV-1 attachment/fusion/entry, such as long-chain anionic polymers (e.g., Carraguard [9] and PRO 2000 [24]) and fusion inhibitors (e.g., T20 [25]); and (iii) disrupting intracellular HIV replication, such as reverse transcriptase inhibitors (RTIs) (38). Recently, in the first completed microbicide phase II/III clinical trial, nonoxynol-9 failed to protect against HIV-1 infection, presumably due to inflammatory lesions associated with frequent nonoxynol-9 use (41). Therefore, more effective microbicides or microbicide combinations are needed urgently. Cellulose acetate 1,2-benzenedicarboxylate (CAP), a mixture of polymers with a mean molecular mass of 45 to 60 kDa, is a pharmaceutically acceptable microbicide for preventing sexual transmission of HIV-1 and other STD pathogens (22, 29). CAP has low cytotoxicity and no immunoinflammatory side effects as determined in a human and a rhesus macaque model (31). CAP also has microbicidal activity against herpesviruses HSV-1, HSV-2, cytomegalovirus, Neisseria gonorrhoeae, Trichomonas vaginalis, Haemophilus ducreyi, Chlamydia trachomatis, Treponema pallidum, and bacteria associated with bacterial vaginosis (31). CAP also has great potential to be developed as microbicides (38). In order to develop more effective anti-HIV-1 microbicides, we intend to design combinations of CAP with other candidate microbicides that have mechanisms of action different from the mechanism of CAP and that may be synergistic with CAP in inhibiting HIV-1 infection. We are especially interested in candidate microbicides in the third category described above, i.e., RTIs. Currently, two nonnucleoside RTIs (NNRTIs), UC781 (Biosyn, Huntingdon Valley, PA) (6, 45) and TMC120 (Tibotec-Virco, Mechelen, Belgium) (12, 42), and one nucleotide RTI (NRTI), tenofovir (Gilead, Foster City, CA), have shown great potential to be developed as microbicides (38). Since UC781, a thiacaboxanilide derivative (Fig. 1), binds with high affinity to reverse transcriptase and has high potency in blocking in vitro and ex vivo transmission of cell-free and cell-associated HIV-1 strains as determined in cell culture and cervical tissue organ culture systems (1, 45), we selected it for combination with CAP and investigated whether the two compounds inhibit HIV-1 infection synergistically and complementarily.
FIG. 1. Synergistic effect of CAP in combinations with UC781 (A), efavirenz (B), and AZT (C) against HIV-1IIIB infection of MT-2 cells. The effective concentrations for inhibition of HIV-1 replication by a compound alone and in combination with another compound are plotted in two curves. The length of a line with two arrows between two curves represents the dose reduction (n-fold) of a compound when it was tested alone and in combination with another compound. Data are the means of three independent assays performed in triplicate.

MATERIALS AND METHODS

Reagents. MT-2 cells, HIV-1IIIB, HIV-1IIIB A17, anti-p24 monoclonal antibody (183-12H-5C), zidovudine (AZT), and efavirenz were obtained from the National Institutes of Health AIDS Research and Reference Reagent Program, contributed by D. Richman, R. Gallo, E. Emini, B. Chesebro, and H. Chen, respectively. CAP was a gift from Eastman Chemical Company (Kingsport, TN). A soluble form of CAP was prepared every 6 weeks as a 30 mg/ml stock solution in 0.1 M sodium acetate buffer (pH 5.8). Working solutions of CAP and acetate buffer control were prepared fresh for each experiment in appropriate culture medium. The stability of CAP in stock solution was confirmed by the ruthenium red method (26). UC781 was kindly provided by D. Ho at the Aaron Diamond AIDS Research Center, The Rockefeller University (New York, NY).

Detection of HIV-1 replication as measured by p24 antigen production. The inhibitory activity of compounds on HIV-1 infection was determined as previously described (28, 44). In brief, 10^4 MT-2 cells were infected with HIV-1IIIB or HIV-1IIIB A17 at 100 times the 50% tissue culture infective dose (TCID50) in 200 µl of RPMI 1640 medium containing 10% fetal bovine serum (FBS) in the presence or absence of testing compounds at graded concentrations overnight. Then the culture supernatants were removed and fresh medium containing no testing compounds was added. On the fourth day postinfection, 100 µl of culture supernatant was collected from each well, mixed with equal volumes of 5% Triton X-100, and assayed for p24 antigen, which was quantitated by enzyme-linked immunosorbent assay (ELISA). Briefly, wells of polystyrene plates (Immulon 1B; Dynex Technologies, Chantilly, VA) were coated with HIV-1 IIIB, anti-p24 monoclonal antibody (183-12H-5C), biotin-labeled anti-mouse immunoglobulin G1 (Santa Cruz Biotech., Santa Cruz, CA), streptavidin-labeled horseradish peroxydase (Zymed, South San Francisco, CA), and the substrate 3,3',5,5'-tetramethylbenzidine (Sigma Chemical Co., St. Louis, MO) were added sequentially. Reactions were terminated by the addition of 1N HSO4. Absorbance at 450 nm was recorded in an ELISA reader (Ultra 386; TECAN, Research Triangle Park, NC). Recombinant protein p24 purchased from US Biological (Swampscott, MA) was included for establishing standard dose-response curves. Each sample was tested in triplicate. The percentage of inhibition of p24 production was calculated as previously described (28). The effective concentrations for 50, 70, 90 and 95% inhibition (EC50, EC70, EC90, and EC95, respectively) were calculated using a computer program, designated CalcuSyn (8), kindly provided by T. C. Chou (Sloan-Kettering Cancer Center, New York, N.Y.).

Inhibitory activity of compounds on infection of peripheral blood mononuclear cells (PBMC) by a primary HIV-1 isolate was determined as previously described (17). Briefly, PBMC were isolated from the blood of healthy donors at the New York Blood Center by standard density gradient centrifugation using Histopaque-1077 (Sigma). The cells were cultured in 75-cm² plastic flasks at 37°C for 2 h. The nonadherent cells were collected and resuspended at 5 x 10^6 cells in 10 ml of RPMI 1640 medium containing 10% FBS, 5 µg/ml phytohemagglutinin and 100 U/ml interleukin-2 (IL-2; Sigma), followed by incubation at 37°C for 3 days. The phytohemagglutinin-stimulated cells were infected with a primary HIV-1 isolate 92US657 (clade B) at a 0.01 multiplicity of infection in the presence or absence of compounds. Culture media were changed on the second day and then every 3 days. The supernatants were collected 7 days postinfection and tested for p24 antigen by ELISA as described above. The percent inhibition of p24 production and EC50 values were calculated as described above.

Assessment of in vitro cytotoxicity. The in vitro cytotoxicity of compounds on MT-2 cells was measured by the XTT [2,3-bis(2-methoxy-4-nitro-5-sulfophenyl)-5-(phenylamino) carbonyl-2H-tetrazolium hydroxide] assay (27). Briefly, 100 µl of a compound at graded concentrations was added to equal volumes of cells (5 x 10^4 cells/ml) in wells of 96-well plates. After incubation at 37°C for 4 days, 50 µl of XTT solution (1 mg/ml) containing 0.02 M phenazine methosulfate was added. After 4 h, the absorbance at 450 nm was measured with an ELISA reader. The 50% cytotoxicity concentration (CC50) values were calculated using the computer program CalcuSyn (7).
while the cells in the wells of controls were not washed. Then, the MT-2 cells were infected with HIV-1 \textsubscript{11NB} (100 TCID\textsubscript{50}) in 200 \mu l of RPMI 1640 medium containing 10\% FBS at 37°C overnight. The culture supernatants were removed and fresh medium containing no testing compounds was added. On the fourth day postinfection, 100 \mu l of culture supernatants was collected from each well, mixed with equal volumes of 5\% Triton X-100, and assayed for p24 antigen using the ELISA described above.

To exclude the possibility that the “memory” effect of UC781 (2, 5, 20) is due to the nonspecific binding of the compound to surface of wells of the culture plates, Falcon 5-ml polystyrene round-bottom tubes (Becton Dickinson Labware, Franklin Lakes, NJ) with a low binding property were used for repeating the washout experiment. In brief, MT-2 cells (10\(^5\) cells/ml) in RPMI 1640 medium containing 10\% FBS at 37°C for 1 h, followed by three washes with 4 ml of RPMI 1640 medium or no wash (for the controls). Then, the MT-2 cells in the wells of controls were not washed. Then, the MT-2 cells containing 10\% FBS at 37°C overnight. The culture supernatants were removed and fresh medium containing no testing compounds was added. On the fourth day postinfection, 100 \mu l of culture supernatants was collected from each well, mixed with equal volumes of 5\% Triton X-100, and assayed for p24 antigen using the ELISA described above.

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Synergy analysis. Inhibition data from three independent assays were averaged and analyzed for cooperative effects using the CalcuSyn program for calculating the combination index (CI) as described (8). In all analyses, CAP and the RTIs were assumed to act noncompetitively, which leads to a more conservative estimate of synergy. CI values of <1 and >1 indicate synergy and antagonism, respectively. Dose reductions were calculated as the compound concentrations required for inhibition of HIV-1 replication when the compound was used alone and in combination (8). Statistical analysis was performed by a one-way analysis of variance method using Origin version 6.1 software (Origin-Lab Corp., Northampton, MA).

### RESULTS

Combination of CAP with UC781 is synergistic against HIV-1 infection. The ratio of compounds in a combination (about 1:1) was determined based on their respective EC\textsubscript{50} values. The synergistic effect can be calculated as long as the compounds in the combination are mixed at concentrations having equal or similar potencies. In preliminary studies, the average EC\textsubscript{50} ratio for CAP and UC781 for inhibiting the laboratory-adapted and primary HIV-1 strains was about 2,000:1 (wt/wt; ranging from 1,258:1 to 3,860:1). Based on the molar concentrations, the EC\textsubscript{50} ratio for CAP and UC781 is about 10:1 to 18:1 since the molecular mass of CAP (about 45 to 60 kDa) is much larger than that of UC781 (335.9 Da). We prefer to use the weight concentrations, rather than the molar concentrations, since CAP is a mixture of polymers with different molecular sizes. Therefore, the combination of CAP and UC781 at a weight ratio of 2,000:1 was tested for possible synergistic effects on the inhibition of HIV-1\textsubscript{11NB} replication as measured by ELISA for p24 antigen. The results are shown in Fig. 1A and Table 1. When CAP and UC781 were used in combination, their EC\textsubscript{50}, EC\textsubscript{70}, EC\textsubscript{90}, and EC\textsubscript{95} values for inhibition of HIV-1 replication decreased significantly. Approximately 15-fold less CAP and 20-fold less UC781 were needed to inhibit HIV-1

### Table 2. Combination index and dose reduction values for inhibition of infection by a primary HIV-1 isolate 92US657 of PBMC

<table>
<thead>
<tr>
<th>% Inhibition</th>
<th>CI</th>
<th>CAP Concentration ((\mu g/ml))</th>
<th>Dose reduction</th>
<th>P</th>
<th>UC781 Concentration ((\mu g/ml))</th>
<th>Dose reduction</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>50</td>
<td>0.3878</td>
<td>29.823 5.480 5.442 0.0016</td>
<td></td>
<td>0.00072 0.00074 2.821 0.0034</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>70</td>
<td>0.4161</td>
<td>45.119 7.565 5.964 0.00036</td>
<td></td>
<td>0.00021 0.000378 2.435 0.0043</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>90</td>
<td>0.4761</td>
<td>87.260 12.648 6.899 0.00001</td>
<td></td>
<td>0.01219 0.00863 1.927 0.0069</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>95</td>
<td>0.5182</td>
<td>125.712 16.809 7.479 0.00017</td>
<td></td>
<td>0.01422 0.00845 1.692 0.0108</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

\(^{a}\) Combinations of CAP with UC781 (2,000:1 [wt/wt]) were used. Data are the means of triplicate experiments.
infection by 95% compared with the respective compounds used alone. The CI values ranged from 0.12 to 0.24, suggesting that the combination of the candidate microbicides CAP and UC781 is potently synergistic in inhibiting HIV-1 infection.

The CI values for 50 to 95% inhibition by combinations of CAP and UC781 ranged between 0.38 and 0.52, suggesting potent synergy for CAP and UC781 at a weight ratio of 2,000:1 (Table 2).

The combination of CAP and UC781 did not show synergistic effects on cytotoxicity (CI, 1.02). The CC50 of CAP was 2.34 ± 0.052 and 2.480 ± 0.076 mg/ml (P > 0.05) when tested alone and in combination with UC781, respectively. This suggests that the cytotoxicity of CAP does not increase when it is used in combination with UC781. UC781 has a selectivity index (selectivity index = CC50/EC50) of about 25,550 (CC50, 94.4 ± 13.2 µg/ml; EC50, 0.004 ± 0.001 µg/ml), indicating that UC781 has very low cytotoxicity compared to its highly potent ant-HIV-1 activity.

Combination of CAP with other RTIs also has synergistic effect on inhibition of HIV-1 infection. To determine whether combinations of CAP with other RTIs with lower reverse transcriptase (RT)-binding affinity also result in synergistic anti-HIV-1 activity, we selected efavirenz, another NNRTI, and AZT, an NRTI, for parallel testing since both drugs are widely used for treatment of HIV-1 infection. As shown in Fig. 1B and C and Table 1, combinations of CAP with either efavirenz or AZT showed potent synergistic effects in inhibiting HIV-1 infection, with CI values ranging from 0.14 to 0.33 and about 3- to 21-fold dose reductions. These results indicate that CAP may be combined with not only UC781 but also other RTIs to design combination microbicides for prevention of mucosal HIV-1 transmission.

CAP is effective in inhibiting infection by the UC781-resistant strain HIV-1IIIB A17. The HIV-1 strain A17, a variant of HIV-1IIIB, is highly resistant to inhibition by NNRTIs, including pyridinone derivatives, BI-RG-587, and TIBO (tetrahydroimidazo[4,5,1-jk][1,4]-benzodiazepin-2-one) compounds (15, 32). Resistance results from mutations at amino acids 103 (K→N) and 181 (Y→C) in the viral RT domain. Here we demonstrate that HIV-1IIIB A17 was also highly resistant to UC781 with a dose increase of more than 1,000-fold (Fig. 2A and Table 3). The HIV-1IIIB A17 strain is also resistant to efavirenz but not to the NRTI AZT (Table 3). CAP is equally effective in inhibiting infection by HIV-1IIIB A17 and wild-type HIV-1IIIB (Fig. 2B). This suggests that the combination of CAP and UC781 has complementary effects against HIV-1 infection since it can prevent infection by HIV-1 strains resistant to NNRTIs, including UC781.

Pretreatment of cells with UC781 alone or in combination with CAP treatment blocks HIV-1 infection. Borkow et al. reported that short exposure of uninfected lymphocytes to UC781

**TABLE 3. Inhibitory activity of CAP and RTIs on infection by HIV-1IIIB and its variant strain A17 that is resistant to NNRTIs**

<table>
<thead>
<tr>
<th>Agent</th>
<th>EC50 (µg/ml) for inhibition of p24 production*</th>
<th>Dose increase (n-fold)</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>HIV-1IIIB</td>
<td>HIV-1IIIB A17</td>
<td></td>
</tr>
<tr>
<td>CAP</td>
<td>19.01000 ± 4.08</td>
<td>20.17000 ± 4.46</td>
<td>1.1 ± 0.1</td>
</tr>
<tr>
<td>UC781</td>
<td>0.00405 ± 0.00112</td>
<td>0.02686 ± 0.01004</td>
<td>&gt;1036.3 ≥ 266.3</td>
</tr>
<tr>
<td>Efavirenz</td>
<td>0.00051 ± 0.00004</td>
<td>0.03309 ± 0.000370</td>
<td>52.2 ± 16.8</td>
</tr>
<tr>
<td>AZT</td>
<td>0.02602 ± 0.00520</td>
<td>0.02686 ± 0.01004</td>
<td>0.8 ± 0.1</td>
</tr>
</tbody>
</table>

*Data are the means of two independent assays performed in triplicate.
can render these cells refractory to subsequent HIV infection in the absence of exogenous drug (5). Recently, Kiselyeva et al. confirmed this point by briefly treating ex vivo human lymphoid tissue with UC781 (20). This so-called memory effect would make UC781 an ideal candidate microbicide. In this study, MT-2 cells were pretreated with UC781 or CAP for 1 h, and then the unbound compounds were removed by washing the cells three times. In the controls, the cells were not washed, with the result that the unbound compounds were retained. HIV-1 replication in the cells with and without washes was compared. Without washes, CAP effectively inhibited HIV-1 infection of MT-2 cells. However, after washes to remove unbound CAP, the cells were not protected from HIV-1 infection (Fig. 3A). In contrast, pretreatment of MT-2 cells with UC781 and removal of the unbound compound by washes reduced subsequent HIV-1 infection (Fig. 3B). The cells pretreated by the UC781 and CAP combination were also resistant to HIV-1 infection (Fig. 3B).

One may argue that the so-called memory effect of UC781 may be due to its nonspecific binding to the surface of wells of culture plates (39, 42). To exclude this possibility, we repeated the washout experiment using polystyrene tubes that have low binding properties. MT-2 cells were pretreated with UC781 in the polystyrene tubes. After extensive washing, the pretreated cells were transferred to wells of culture plates and infected with HIV-1. HIV-1 replication in MT-2 cells pretreated by UC781 was determined by measuring p24 production. As shown in Fig. 3C, there was no significant difference in HIV-1 replication in UC781-pretreated cells with or without washes ($P = 0.7091$). This suggests that the memory effect of UC781 is not due to its nonspecific binding to the surfaces of wells of culture plates.

**DISCUSSION**

Clinical applications of antiretroviral drugs with different targets in combinations (i.e., cocktail regimens) have shown significant synergism in inhibiting HIV-1 infection, reducing adverse effects, and delaying the emergence of drug resistance (16). It is expected that combinations of topical microbicides with distinct mechanisms of action may also have synergistic effects on the prevention of sexual transmission of HIV-1 (36).

Although CAP has potent anti-HIV-1 activity and broad-spectrum microbicidal activity against other STD pathogens (31), it may be more effective for preventing sexual transmission of HIV-1 if it is combined with other candidate microbicides with mechanisms of action different from the mechanism of CAP. We previously demonstrated that there is synergy between CAP and soluble CD4 for inhibiting HIV-1 infection since these two molecules bind to the different regions on gp120 (28). Soluble CD4 is not an ideal anti-HIV-1 microbicide since it has only moderate antiviral activity against primary HIV-1 strains and is too expensive to be used topically. Therefore, it is necessary to search for other candidate microbicides suitable for combination with CAP.

In the present study, we demonstrated that the combination of CAP with another candidate microbicide, UC781, a tight-binding NNRTI, results in significant synergy for inhibiting infection by both laboratory-adapted and primary HIV-1 strains. Other RTIs with lower RT-binding affinity than UC781, and

![Graph A](http://aac.asm.org/)

![Graph B](http://aac.asm.org/)

![Graph C](http://aac.asm.org/)

**FIG. 3.** Protection of MT-2 cells pretreated with CAP (A) and UC781 (B) from subsequent HIV-1 infection. MT-2 cells were pretreated with UC781 in polystyrene tubes before the cells were transferred to culture plates for HIV-1 inoculation (C). Data are the means of two independent assays performed in triplicate.
such as efavirenz and AZT, when combined with CAP also had synergistic anti-HIV-1 activity. The RTIs could not have enhanced CAP-mediated inhibition on HIV-1 entry since there was no synergy when a combination of CAP and UC781 was tested in a cell-cell fusion assay (data not shown). We believe that the synergistic effect of the CAP/UC781 combination is due to the fact that CAP is targeted to earlier stages of the HIV-1 replication cycle, virus fusion and entry, while UC781 acts on later stages of virus infection, reverse transcription. Therefore, a combination of CAP with UC781 for prevention of sexual transmission of HIV-1 may have the following advantages: (i) maximization of anti-HIV-1 activity because of synergistic effects, (ii) minimization of potential toxic effects due to dose reduction, and (iii) complementary or cooperative microbicidal activity.

Although CAP used alone is a virucidal agent and/or blocks HIV-1 entry, some residual virus particles might escape from CAP-mediated antiviral activity and enter into cells. If UC781 is present, it will work as a secondary inhibitor against residual virus. Especially if these residual virions pass through the multilayered epithelium and enter into draining lymph nodes, where the large-molecule CAP is unlikely to reach, the small-molecule compound UC781 may enter these locations and block infection by these virions. In addition, UC781 pretreatment of cells renders them refractory to HIV-1 infection (6, 20), while CAP does not have such properties. UC781 is potent in inhibiting in vitro HIV-1 infection (1). However, it may not be efficient in vivo against some primary HIV-1 isolates with preexisting resistance to NNRTIs. For example, HIV-1 group O strains are de novo resistant to currently available NNRTIs (10), but these viruses are susceptible to CAP-mediated antiviral activity (18). In the present study, we have demonstrated that CAP is effective in inhibiting infection by HIV-1 strain A17, which is highly resistant to UC781 and other NNRTIs (15, 32), suggesting that CAP can be used for preventing sexual transmission of NNRTI-resistant variants. Furthermore, UC781 has no documented activity against other STD pathogens. This shortcoming can be overcome by combining UC781 with CAP, since CAP has potent microbicidal activity against a broad spectrum of STD pathogens. In summary, the combination of CAP and UC781 resulted in significant synergistic and complementary effects against HIV-1 infection. This was translated into meaningful dose reductions for each compound. These findings provide a strong rationale for developing combinations of microbicides with distinct mechanisms of action for the prevention of sexual transmission of HIV-1 and other STD pathogens.

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