NB-002, a Novel Nanoemulsion with Broad Antifungal Activity against Dermatophytes, Other Filamentous Fungi, and Candida albicans

J. Pannu, A. McCarthy, A. Martin, T. Hamouda, S. Ciotti, A. Fothergill, and J. Sutcliffe

NanoBio Corporation, 2311 Green Road, Suite A, Ann Arbor, Michigan 48105, and Fungal Testing Laboratory, University of Texas Health Science Center, San Antonio, Texas 78229

Received 17 February 2009/Returned for modification 15 April 2009/Accepted 2 May 2009

NB-002 is an oil-in-water emulsion designed for use for the treatment of skin, hair, and nail infections. The activity of NB-002 was compared to the activities of the available antifungal drugs against the major dermatophytes responsible for cutaneous infections, Trichophyton rubrum, Trichophyton mentagrophytes, Epidermophyton floccosum, and Microsporum spp., as well as 12 other genera of filamentous fungi. NB-002 consistently displayed fungicidal activity against all dermatophytes. The comparator compounds were either fungistatic or fungicidal, and for some strain-drug combinations, tolerance was observed. Assessment of the development of spontaneous resistance to NB-002 in different dermatophyte species yielded few stably resistant mutants. For filamentous nondermatophyte fungi, the MIC range varied from 0.06 to 0.5 μg/ml for Alternaria spp. to 2 to 8 μg/ml for Paecilomyces spp. NB-002 had activity against both azole-susceptible and -resistant Candida albicans yeast isolates, with MICs of 2 μg/ml, respectively, and minimum fungicidal concentrations at which 90% of isolates are inhibited of 4 and 8 μg/ml, respectively. The kinetics of the fungicidal activity of NB-002 against T. rubrum isolates were compared to those of the other antifungal drugs. NB-002 killed both mycelia and microconidia even when the fungal forms were dormant or not actively growing. Electron micrographs of mycelia and spores treated with NB-002 showed the significant disruption of the fungal structure. In the in vitro broad coverage of NB-002 against filamentous fungi, dermatophytes, and C. albicans, as well as its rapid fungicidal activity, warrants further investigations to ascertain if NB-002 would be useful for the treatment of cutaneous mycoses.

Superficial fungal infections are found in the top layers of the skin and mucous membranes, the hair, and nails. Examples of fungal infections of the skin and other external surfaces include athlete’s foot, jock itch, ringworm, and other tinea infections. Most of these infections are caused by three genera of dermatophytes: Trichophyton, Epidermophyton, and Microsporum spp. (3, 4, 20, 29, 45, 47).

Filamentous fungi that are normal soil saprophytes have also emerged as major opportunistic fungi, especially in immunosuppressed patients (34, 53). Such organisms include Aspergillus spp. (1, 18, 49), Fusarium spp. (18, 22, 28, 34, 36, 49), Scedosporium spp. (18, 34), Paecilomyces spp. (23, 24), Scopulariopsis spp. (18), Sclerotium spp. (11), Chaetomium spp. (13), Alternaria spp. (2, 18, 49), Acremonium spp. (18), and Curvularia spp. (49). Yeasts such as Candida albicans also cause skin infections, generally at sites between the fingers and toes, around the anus, and on the penis or at sites of abrasion where the skin is continuously moist (46).

Most cutaneous infections are treated with topical antifungals containing naftidine, tolnaftate, terbinafine, or itraconazole. Oral therapies of griseofulvin, terbinafine, and itraconazole are used to treat tinea capitis. Nail infections can be treated with orally administered agents as well as the topical agent ciclopirox. Terbinafine andazole-like compounds carry the risk of liver and cardiac side effects and drug-drug interactions (14, 31, 38). Topical therapies for inflammatory dermatomycoses often combine an azole and a corticosteroid to rapidly reduce inflammatory symptoms and to increase the bioavailability of the antifungal agent (30).

Antimicrobial nanoemulsions are highly stable oil-in-water emulsions composed of nanometer-sized, positively charged droplets that have broad-spectrum activity against enveloped viruses, fungi, and bacteria (5, 17, 25–27, 35, 43, 48). NB-002 contains the cationic quaternary ammonium compound cetylpyridinium chloride (CPC) oriented at its oil-water interface, which stabilizes the nanoemulsion droplets, contributes to the anti-infective activity, and serves as a marker of activity.

Studies with NB-002 containing fluorescein have shown that the nanodroplets permeate human cadaver skin by a transfollicular route (6). By the use of a modified Franz cell apparatus, NB-002 was also shown to diffuse laterally from hair follicles and sebaceous glands along tissue planes to reach concentrations in excess of 200 μg/g in human cadaver epidermis as far as 1 cm from the site of application (7). This concentration is significantly above the MIC₉₀ and the minimum fungicidal concentration at which 90% of isolates are inhibited (MFC₉₀) or the ranges of MICs and MFCs determined in this work: ≤4 μg/ml for Trichophyton spp., Microsporum spp., and Epidermophyton floccosum.

The studies described here assessed the microbiological activity of NB-002 against fungal pathogens that cause cutaneous infections. Furthermore, we show that the fungicidal activity of NB-002 is rapid and that it kills both the microconidia and mycelia of the dermatophyte Trichophyton rubrum. Consistent with this kill-on-contact mechanism of action, the MICs for the majority of dermatophyte isolates spontaneously resistant to NB-002 were plus or minus twofold of the initial MIC.
Nanoeumulsion manufacturing and potency. NB-002 is an oil-in-water emulsion manufactured from ingredients that are on the FDA list of recognized inactive ingredients in drug substances. The emulsion is formed from highly purified oil, ethanol, polysorbate 20, CPC, and water. The average nanoeumulsion droplet size is 180 nm, as measured by dynamic light scattering with a Zetasizer Nano 3600 instrument (Malvern Instruments Ltd., Worcestershire, United Kingdom). The relative activity of NB-002 is expressed in terms of the concentration of the cationic surfactant present, as we have previously done in clinical trials with amphotericin B, and at least fourfold of the same component for the treatment of herpetic labialis (32). Thus, the antifungal activity of NB-002 is expressed in µg CPC/ml.

Sources of comparator compounds. Amphoterinic B, ciclopirox, itraconazole, terbinafine, and tolnaftate were purchased from Sigma Chemical Co. (catalog numbers A4888, C0415, I6557, T8826, and T6638, respectively). Nafitine (catalog number A1450404) was obtained from United States Pharmacopeia.

Sources of fungal isolates. The dermatophytes T. rubrum, Trichophyton mentagrophytes, and E. floccosum were obtained from specimens from clinical trials. The specimens were either from untreated subjects under evaluation for participation in clinical trials or from subjects in ongoing trials undergoing treatment in blinded studies with an azole or allylamine for onychomycosis, tinea pedis, tinea corporis, and tinea unguium (Mycology Consultants Laboratory, Holland, MI). The terbinafine-resistant T. rubrum isolates were from the Center for Medical Mycology, Case Western Reserve University, Cleveland, OH (19). The Microsporum sp. isolates (five isolates each of Microsporum canis, Microsporum gypseum, and Microsporum gyipseum) were from the American Type Culture Collection. A total of 65 clinical isolates of filamentous fungi included 5 Aspergillus sp. isolates, 5 Fusarium sp. isolates, 4 Fusarium solani isolates, 1 Fusarium semitectum isolate, 3 Trichophyton sovianense isolates, 3 Trichophyton verrucosum isolates, and 3 Epicoccum nigrum isolates. Other isolates that were tested but that were identified to the genus level only included 5 Acremonium sp. isolates, 5 Scopulariopsis sp. isolates, 5 Scedosporium sp. isolates, 10 Sydlostilum sp. isolates, 3 Alternaria sp. isolates, 3 Curvularia sp. isolates, 3 Phoma sp. isolate, and 3 Chaetomium sp. isolate. All these filamentous fungi were recovered from dermatologic sources by the Fungus Testing Lab of the laboratory of Mahmoud Ghannoum for their susceptibilities to NB-002 and terbinafine, according to recent CLSI standards (9).

Sources of quality control strains recommended for use in CLSI document M38-A2 (9), were included on each day of testing.

MIC and MFC determinations. MICs were determined by the microtiter broth dilution methodology in RPMI 1640 medium, as described by the CLSI method for filamentous fungi (9). For the dermatophytes, a hemacytometer count of 10^6 conidia/ml was done to allow determination of the MFC upon removal of 100 µl from each well where there was no growth (at the MIC) and at least four concentrations above the MIC (8, 44). Colony counts were determined on SDA after incubation at 35°C for 4 days. Both Candida parapsilosis ATCC 22019 and Candida krusei ATCC 6528 were included as quality control isolates; and the results of the tests were validated from the ranges of the MICs of fluconazole, amphotericin B, and itraconazole for the quality control isolates, as described in the CLSI guidance (10).

Susceptibility of terbinafine-resistant T. rubrum to NB-002. Clinical isolates with decreased susceptibility to terbinafine were part of the Center for Medical Mycology collection (Case Western Reserve University) (19) and were tested in the laboratory of Mahmoud Ghannoum for their susceptibilities to NB-002 and terbinafine, according to recent CLSI standards (9).

Determination of spontaneous resistance frequencies. RPMI 1640 agar plates containing 4×, 8×, or 16× the MIC of NB-002, itraconazole, terbinafine, or ciclopirox were inoculated with 10^4 to 4×10^6 CFU/ml was done to allow determination of drug selective pressure, maintained putative drug-resistant isolates were cultured on RPMI 1640 agar plates containing the drug at the concentration with which they were initially selected during the outgrowth of the frozen cultures. The percentage of spontaneously resistant isolates for an isolate-drug combination was calculated from the number of colonies that grew on plates containing drug versus the number of colonies that grew on un-supplemented agar.

Time-kill studies and electron microscopy. For time-kill experiments and electron micrographs of the mechanism of action, microconidia were harvested from 7-day-old cultures of T. rubrum growing on potato dextrose agar with sterile distilled water and adjusted to a concentration of 10^6 conidia/ml (33, 44). Part of the conidial suspension was pelleted and resuspended in RPMI 1640 medium and grown for 16 to 18 h overnight at room temperature to allow the germination of the microconidia (33). After germination, the hyphae were collected by centrifugation and resuspended in distilled water. After the microconidia and mycelia were mixed with different concentrations of NB-002 or a comparator compound, the rate of killing of microconidia and mycelia was monitored for up to 24 h by plating 0.1 ml of 10^-1, 10^-2, and 10^-3 dilutions onto SDA. The numbers of CFU were counted after 4 days of incubation at 35°C. Control experiments determined that samples containing NB-002 had to be diluted 1:100 to remove residual activity (data not shown).

For scanning electron microscopy, samples (450 µl) obtained at different time points during the time-kill study with NB-002 were mixed with 113 µl of fixative (10% aqueous solution of glutaraldehyde). The mixtures were vortexed and placed at 4°C for at least 18 h. Samples were fixed in 1.0% OsO4 in Sorenson’s buffer, taken through a gradual series of dehydation steps with ethanol, and mounted on scanning electron microscope stubs using a mixture of colloidal graphite and Duco cement. Samples for electron microscopy were sputter coated with gold by using a Polaron sputter coater (Quorum Technologies, United Kingdom), examined on an Amray 1910 FE scanning electron microscope, and digitally imaged with Xstream imaging software (SEM Tech Solutions, Inc. North Billerica, MA).

RESULTS

NB-002 is fungicidal for dermatophytes. The antifungal activities of NB-002, its topically administered comparator compounds (ciclopirox, tolnaftate, and naftifine), and its orally administered comparator compounds (terbinafine, itraconazole, and griseofulvin) were evaluated by using clinical isolates of dermatophytes. NB-002 was the only antifungal that was consistently fungicidal against isolates of T. rubrum, T. mentagrophytes, Trichophyton tonsurans, E. floccosum, and Mi-
Ciclopirox, itraconazole, tolnaftate, naftifine, and griseofulvin had MICs that ranged from 0.125 to 2 μg/ml, 0.031 to 0.5 μg/ml, 0.016 to 1.0 μg/ml, 0.016 to 0.25 μg/ml, and 0.25 to 4 μg/ml, respectively; but none were fungicidal for any species. Terbinafine was fungicidal only for T. rubrum isolates. Fungicidal activity was not determined for T. soundanense, but the two compounds most active against that organism were NB-002 and terbinafine, with the MIC ranges being 0.06 μg/ml and ≤0.004 μg/ml, respectively.

NB-002 was tested against five clinical isolates of T. rubrum characterized as having elevated terbinafine MICs by the Center for Medical Mycology (Case Western Reserve University) (Table 1) (19). The MIC and MFC ranges of NB-002 were 2 to 8 μg/ml, and 0.25 to 4 μg/ml, respectively. Ciclopirox had a MIC90 of 1 μg/ml, but its MFC90 was not done.

**Antibiotic susceptibilities of filamentous fungi.** The antifungal activity of NB-002 against 12 genera of filamentous fungi was assessed (Table 2). Nearly all isolates were susceptible to ≤4 μg/ml NB-002, with an overall range of MICs of 0.06 to 8 μg/ml. Against certain genera, other compounds were either uniformly inactive or had mixed potency. NB-002 distinguished itself from amphotericin B, itraconazole, and terbinafine because of its potency against *Scopulariopsis* spp. and *Scedosporium* spp. and was superior to ciclopirox because of its activity against *Fusarium* spp. and *Paeilomyces* spp.

**Antibiotic susceptibilities of C. albicans to NB-002 and comparators.** NB-002 was unvaryingly fungicidal against the panel of *C. albicans* clinical isolates (Table 3). The MIC90s and MFC90s for the 34 isolates were 2 and 8 μg/ml, respectively. The strains were susceptible to amphotericin B, with the MIC90 and MFC90 being 2 μg/ml. The majority of the isolates were resistant to the two azoles tested, fluconazole and itraconazole, with the MIC90s being >64 and >16 μg/ml, respectively. Ciclopirox had a MIC90 of 1 μg/ml, but its MFC90 and MFC50 range indicated that it was uniformly fungistatic against the isolates. NB-002 had nearly identical MIC90s and MFC90s for bothazole-susceptible isolates (2 and 4 μg/ml, respectively) and azole-resistant isolates (2 and 8 μg/ml, respectively).

**Spontaneous resistance frequency.** Twelve isolates of dermatophytes were evaluated for the frequency of development of spontaneous resistance on drug agar plates containing 4×, 8×, or 16× the MIC of NB-002, ciclopirox, terbinafine, or itraconazole. Both isolates of *E. floccosum*, all isolates of *T. mentagrophytes*, and three of the five isolates of *T. rubrum* yielded one to three colonies on agar plates containing one of the antifungals. Overall, the frequency of recovery of colonies was low and was generally between 1.5 × 10⁻⁶ and 2.3 × 10⁻⁸ for all compounds with any dermatophyte.

Despite growth on agar plates containing higher concentrations of the selecting compound, most of the phenotypically resistant isolates had MICs plus or minus twofold the initial MIC for all compounds when they were retested on agar or in broth. Two isolates had a 4-fold increase in the MIC for NB-002 (8 μg/ml), and one isolate had a 32-fold increase in the MIC for terbinafine. The MICs of NB-002, itraconazole, terbinafine, and ciclopirox for the recovered isolates tested by the microtiter broth method ranged from ≤1 to 8 μg/ml, ≤0.125 to 0.25 μg/ml, ≤0.063 to 2 μg/ml, and 0.5 to 4 μg/ml, respectively.
Time-kill and mechanism-of-action studies. The kinetics of the fungicidal activities of NB-002 and the comparator compounds were evaluated against microconidia and mycelia from three isolates of T. rubrum. Figure 1 shows the reduction in colony counts of representative isolate NBD031 over 24 h for either mycelia or microconidia suspended in water (nongrowth conditions) containing either 4× MIC (16 μg/ml) of NB-002 or 16× MIC of itraconazole (16 μg/ml), terbinafine (4 μg/ml), or ciclopirox (16 μg/ml). In 2 h, NB-002 reduced the colony counts by ≥3 log units for both the mycelia and the microconidia of isolates NBD031 and NBD030; one isolate (isolate NBD032) required incubation for 4 h with NB-002 for a 3-log-unit reduction in colony counts (data not shown). None of the other compounds significantly reduced the colony counts for either dermatophyte strain at any time point; an exception was a 3-log-unit reduction by ciclopirox (16× MIC, or 8 μg/ml) after 8 h against nongrowing mycelia, but not microconidia, from T. rubrum NBD032.

Scanning electron microscopy was used to explore the morphology of T. rubrum NBD030 treated with NB-002 under nongrowth conditions. Figure 2 shows the mycelia 1 h after incubation with 100 μg/ml of NB-002 at room temperature. The hyphae are distorted, and there are multiple blebs along the surface of the mycelia. The untreated mycelia or mycelia treated with vehicle (the nanoemulsion without CPC) appeared as long septate filaments that were smooth and that had no detectable extrusions on the cell surface. After 1 h of treatment with NB-002, the treated mycelia were nonviable and incapable of forming any detectable colonies on SDA (data not shown). The microconidia (Fig. 2C and D) appeared to be broken, empty shells after 1 h of treatment with 12.5 μg/ml NB-002 (≈6× MIC). NB-002 effectively killed both microconidial spores and mycelia, despite the differences in their cell wall structures (37, 52).

**DISCUSSION**

NB-002 was developed as a topical treatment for cutaneous mycoses, including tinea infections of the skin, hair, and...
nails. This work demonstrated the broad, uniformly fungicidal activity of NB-002 against dermatophyte species and the yeast *C. albicans*, *T. rubrum*, *T. mentagrophytes*, and *E. floccosum* primarily cause onychomycosis, tinea pedis, and tinea cruris (12, 20, 49), while *T. tonsurans*, *T. soudanense*, and *Microsporum* spp. are the major causative pathogens for tinea capitis and tinea corporis worldwide (15, 16, 21, 39–41, 47).

While NB-002 was consistently fungicidal for all dermatophyte species, the orally administered comparator compounds itraconazole, terbinafine, and griseofulvin, which are used for the treatment of tinea capitis and onychomycosis, showed marked heterogeneity in their microbiological and fungicidal activities. Terbinafine was fungicidal for *T. rubrum* isolates, but the remaining species had a fungistatic response to these drugs. Topically administered drugs like naftifine and tolnaftate, which are used for the treatment of superficial tinea infections, e.g., tinea cruris, tinea pedis, and tinea corporis (12, 50), were fungistatic.

NB-002 was also active against multiple filamentous mold

---

**FIG. 1.** Impact of NB-002 (4× MIC) and comparators (16× MIC) on the viability of *T. rubrum* NBD031 mycelia (left) and microconidia (right). ◆, itraconazole; ■, terbinafine; ▲, ciclopirox; ×, NB-002. The lower limit of detection was 100 CFU because a 1:100 dilution was necessary to neutralize the antifungal carryover.

**FIG. 2.** Scanning electron micrographs of *T. rubrum* NBD030 after treatment with NB-002 for 1 h at room temperature. (A) Control mycelia (no treatment or treatment with vehicle); (B) treatment of mycelia with 100 µg NB-002; inset, higher magnification to show the differential size of the nanomulsion droplets (white arrow, NB-002) compared to the various sizes of the extrusions (blebs); (C) control microconidia (no treatment or treatment with vehicle; white arrow, microconidial spore); (D) treatment of microconidial spores with 12.5 µg NB-002, with white arrows indicating broken spores.
genera that are known to be extremely multidrug resistant and that are increasingly isolated from the growing population of immunocompromised patients (2, 22–24, 34, 49, 53). Since carriage is often the precursor to invasive disease, it is important that at-risk patients be treated for superficial infections. NB-002 distinguished itself from the other antifungals tested by its impressive activity against *Paeicilomyces lilacinus, Fusarium spp., Scedosporium spp.*, and *Scopulariopsis spp.*

NB-002 offers a topical, empirical alternative to the oral azoles that are used to treat *C. albicans* infections, e.g., intertrigo, diaper candidiasis, paronychia, and fingernail onychomycosis. The rate of resistance to azoles is high among *Candida* spp. due to one or more upregulated multidrug-resistant pumps, *CDR1, CDR2, or MDRI*, and/or target-based mutations in *ERG11*, the gene encoding lanosterol 14α-demethylease (46). Regardless of the mechanism(s) of azole resistance, NB-002 successfully eliminated azole-resistant isolates while retaining its fungicidal activity against azole-susceptible isolates. Consistent with its physical mechanism of action of interacting with the fungal cell surface, NB-002 had no apparent cross-resistance to known antifungals. NB-002 was equally potent against terbinafine-resistant *T. rubrum* isolates, azole-resistant *C. albicans* isolates, and multidrug-resistant molds. Furthermore, stably resistant isolates from cultures of *T. rubrum*, *T. mentagrophytes*, and *E. floccosum* cultured on plates containing 4×, 8×, or 16× the MIC of NB-002 were difficult to recover and were not stable. Two isolates selected with NB-002 had MICs no greater than 8 µg/ml. Spontaneous resistance to the comparator compounds ciclopirox, terbinafine, and itraconazole was seen only in a single isolate that had a 32-fold increase in the terbinafine MIC, the drug with which it was selected.

NB-002 was the only antifungal agent that killed both mycelial and microconidial. The fungicidal activity was rapid, even for fungal cell forms that were dormant (resting or non-growing). The results of the time-kill studies were consistent with a physical or kill-on-contact mechanism of action for NB-002. Electron microscopy revealed disruption of the fungal cell surface and subsequent fungal lysis. Following a short exposure to NB-002, no hyphae or microconidial spores could be recovered. This mechanism is in contrast to the mechanisms of the comparator agents, which require cells that are actively growing to inhibit fungal metabolism (ciclopirox) or ergosterol biosynthesis (itraconazole and terbinafine). Even under conditions that support active growth (MIC and MFC studies), itraconazole, terbinafine, and ciclopirox were found to have mixed fungistatic and fungicidal activities, depending on the drug-isolate combination.

NB-002 is a broad-spectrum topical antifungal that is being developed for the treatment of diseases of the skin, hair, and nails. Previous work with human cadaver skin samples demonstrated that NB-002 uses a transfollicular route to enter the epidermal and dermal layers and that lateral diffusion occurs along tissue planes to sites distal from the application site (6, 7). NB-002 has fungicidal activity against both microconidial spore and mycelial forms of dermatophytes. NB-002 was not cross-resistant to the other antifungal agents tested, and little to no resistance development was shown with NB-002. Clinical studies of NB-002 for the treatment of herpes labialis confirm that there is no systemic exposure in patients, eliminating worry about drug-drug interactions and undesired systemic side effects with this topical treatment (32). Thus, future animal and clinical studies with NB-002 could prove its value for the treatment of cutaneous mycoses.

**ACKNOWLEDGMENTS**

We acknowledge the laboratory of Mahmoud Ghannoum, director of the Center for Medical Mycology (Case Western Reserve University), for determining the antifungal activities of NB-002 and terbinafine against the isolates of *T. tonsurans* and terbinafine-resistant *T. rubrum*.

**REFERENCES**


