Intravenous Penciclovir for Treatment of Herpes Simplex Infections in Immunocompromised Patients: Results of a Multicenter, Acyclovir-Controlled Trial

HILLARD M. LAZARUS,†* ROBERT BELANGER,‡ ANNA CANDONI,§ MICKAËL AOUN,¶ REGINA JUREWICZ,‖ LYNN MARKS,¶ AND THE PENCICLOVIR IMMUNOCOMpromISED STUDY GROUP†

Department of Medicine, Ireland Cancer Center, Case Western Reserve University, Cleveland, Ohio 44106; Department of Hematology, Hôpital Maisonneuve-Rosemont, Montreal, Quebec, Canada H1T 2M4; Department of Hematology, Università degli Studi di Udine, 33100 Udine, Italy; Department of Microbiology, Institut Jules Bordet, 1000 Brussels, Belgium; and Clinical Research and Development, SmithKline Beecham Pharmaceuticals, Collegeville, Pennsylvania 19426

Received 29 September 1998/Returned for modification 7 December 1998/Accepted 22 February 1999

The efficacy and safety of penciclovir (PCV) for the treatment of herpes simplex virus (HSV) infections in immunocompromised (IC) patients were studied in a double-blind, acyclovir (ACV)-controlled, multicenter study. A total of 342 patients with mucocutaneous HSV infections received 5 mg of PCV per kg every 12 or 8 h (q12h or q8h) or 5 mg of ACV per kg q8h, beginning within 72 h of lesion onset and continuing for up to 7 days. The mean age of the patients was 49 years; 94% were white and 52% were female. The main reasons for their IC states were hematologic disorder (63%) and transplant plus hematologic disorder (16%). Clinical and virological assessments were performed daily during the 7-day treatment and then every other day until lesion healing. The primary efficacy parameter addressed new lesion formation. Secondary end points focused on viral shedding, healing, and pain. Approximately 20% of patients in each treatment group developed new lesions during therapy; thus, equivalence with ACV (defined prospectively) was demonstrated for both q12h and q8h PCV regimens. For all three treatment groups, the median time to the cessation of viral shedding was 4 days and the median time to complete healing was 8 days; there were no statistically significant differences in the rates of complete healing or the cessation of viral shedding when the results for PCV q12h and q8h were compared with those for ACV q8h. In addition, there was no statistically significant difference between PCV q12h or q8h, compared with ACV q8h, for the resolution of pain. PCV was well tolerated, with an adverse event profile comparable to that of ACV. In conclusion, PCV q12h is a well-tolerated and effective therapy for mucocutaneous HSV infection in IC patients and offers a reduced frequency of dosing compared with ACV q8h.

Herpes simplex virus (HSV) infections in immunocompetent patients are of relatively short duration and are generally self-limiting (15). HSV infections in an immunocompromised host, however, may be severe and prolonged and can spread without treatment, causing severe morbidity or mortality (9). The reactivation rate among seropositive transplant patients has been reported to be between 60 and 80% for patients with solid organ transplants and over 80% after allogenic bone marrow transplantation (6, 10, 12, 20). Intravenous treatment with acyclovir (5 mg/kg every 8 h [q8h] for 7 days), effective for the treatment of immunocompromised patients with HSV infection, is the most commonly used therapy (7, 8, 20).

Penciclovir, a novel acyclic nucleoside analog, has demonstrated efficacy in cell culture against HSV types 1 and 2 as well as against varicella-zoster virus (2). The intracellular triphosphate of penciclovir is considerably more stable than acyclovir triphosphate (in vitro half-life of 10 to 20 h in HSV-infected cells compared to 0.7 to 1 h for acyclovir), a potential pharmacological advantage for penciclovir (19). Also, penciclovir has been shown to be effective against a small percentage of acyclovir-resistant HSV strains in vitro (2). This activity may translate into a potential benefit in a subgroup of patients in whom the virus has become resistant to acyclovir, an important consideration for an immunocompromised patient population. A topical formulation of penciclovir currently is marketed for the treatment of recurrent herpes labialis in immunocompetent patients. Famciclovir, the orally bioavailable prodrug, is approved in the United States and other countries for the treatment of acute herpes-zoster virus infection and the treatment and suppression of genital herpes (14, 16, 17).

The results of an open, dose-escalation study of intravenously administered penciclovir in immunocompromised patients with mucocutaneous HSV infections indicated that intravenously administered penciclovir was effective for the treatment of mucocutaneous HSV infection in immunocompromised patients (18). The optimum intravenous dose of penciclovir for the treatment of HSV disease in such patients was 5 mg/kg q8h or every 12 h (q12h). The present report describes a randomized, double-blind, multicenter study comparing these two doses of intravenous penciclovir with acyclovir (5 mg/kg q8h for 7 days) for the treatment of mucocutaneous HSV infections in immunocompromised patients.

(The results of this trial were presented, in part, at the 37th Interscience Conference on Antimicrobial Agents and Chemotherapy, Toronto, Canada, 28 September to 1 October 1997 [Sa1].)
MATERIALS AND METHODS

Study medication. Penciclovir and acyclovir were provided as vials of freeze-dried powder for reconstitution. Penciclovir vials contained 250 mg of active drug per vial (active and placebo) then was labeled in a blinded fashion and dispensed. Each pharmacy manual, withdrew a quantity of drug sufficient for a 5-mg/kg dose, and diluted the withdrawn quantity with an appropriate infusion solution. Placebo infusions consisting of infusion solution alone also were prepared. Each infusion (active and placebo) then was labeled in a blinded fashion and dispensed. Each patient received four infusions per day (i.e., either three active infusions and one placebo or two active infusions and two placebos) in order to maintain the blind for the q12h and q8h treatment regimens.

Patient population. Eligible patients were at least 14 years of age with clinical evidence of mucocutaneous HSV infection who were immunocompromised due to treatment for cancer or leukemia, an organ or bone marrow transplant, or a chronic rheumatologic condition. Therapy with a study drug had to be initiated within 72 h of lesion onset. Patients were excluded from the study if they were pregnant or had a positive serum human chorionic gonadotropin test. As known to be human cytomegalovirus disease, had discontinued HSV infection, or evidence of renal (i.e., calculated creatinine clearance of 0.038 $g$ of penciclovir per ml. with a mean of 0.145 ± 0.038 $g$ of penciclovir per ml.

Efficacy end points. The cessation of new lesion formation was viewed as the best clinical indication of effective antiviral therapy, because new lesion formation marks the clinical detection of active virus replication and contributes to the morbidity of HSV disease in immunocompromised patients. Therefore, the proportion of patients with new lesion formation during therapy was chosen as the primary efficacy parameter. Secondary end points included the time to lesion healing, the time to the cessation of viral shedding, the proportion of patients who ceased shedding of virus by day 7, the proportion of patients withdrawn for treatment failure, and the time to the resolution of pain.

In this study, herpetic lesions comprised papules, vesicles or pustules, ulcers, and crusts on mucocutaneous membranes which were the result of HSV infection. Complete healing was defined as the first visit at which the patient was HSV negative with respect to gender. The mean age ranged from 48 to 50 years. Most patients (58 to 67%) were immunocompromised due to treatment for cancer or leukemia, an organ or bone marrow transplant, or a chronic rheumatologic condition. Therapy with a study drug had to be initiated within 72 h of lesion onset. Patients were excluded from the study if they were pregnant or had a positive serum human chorionic gonadotropin test. As known to be human cytomegalovirus disease, had discontinued HSV infection, or evidence of renal (i.e., calculated creatinine clearance of ≤50 ml/min) or hepatic (i.e., serum bilirubin levels of >10 mg/dl or aspartate aminotransferase or alanine aminotransferase levels more than five times the upper limit of normal) dysfunction. All patients gave written informed consent and were screened by the study staff for dermatological conditions that would interfere with the assessment of lesions or viral shedding. Antiviral therapy other than study medication was prohibited within 14 days of study entry and during the study. The application of topical products to the positive area(s) also was prohibited. However, the use of oral anesthetics and antifungals (e.g., nystatin) was permitted.

Study design and procedures. This trial was a multicenter, randomized, double-blind, acyclovir-controlled study which was approved by an institutional review board or ethics committee for each institution. All patients gave written informed consent. At inclusion, patients were assessed for baseline herpetic lesions and lesion-associated pain and symptoms. Lesion data was recorded separately for each anatomical location (i.e., orolabial, anogenital, and others). Swabs were obtained from each location with lesions for baseline viral culture results. Assessments continued daily during the 7-day treatment period and every other day thereafter until the lesions had healed completely. The presence or absence of new lesions was determined at each subsequent evaluation. Blood and urine samples were obtained for the assessment of safety at baseline and again at the end of therapy (day 8) and 1 week posttherapy (day 15). In addition, details of any adverse experiences and use of concomitant medications were recorded at each study visit. Patients with a persistence of lesions after day 7 or a rapid return of lesions could be withdrawn and treated at the discretion of the investigator; however, study-specific lesion assessments did not continue after withdrawal from study.

Virus isolation. Swabs from lesions were placed in virus transport media for subsequent viral isolation in tissue culture. Susceptibility testing against penciclovir was performed by a plaque reduction assay method in MRC-5 cells according to the method of Boyd et al., with minor modifications. Briefly, testing was performed in triplicate in MRC-5 cells by using a series of penciclovir concentrations to provide at least two data points on either side of the 50% inhibitory concentration (IC50). After virus adsorption, the drug, 2 $g$ of penciclovir per ml, with a mean of 0.145 ± 0.038 $g$ of penciclovir per ml. at room temperature. Cell monolayers were stained with crystal violet after 3 days at 37°C. The plates were fixed with 1.0 ml of a 10% formaldehyde solution for 1 h at room temperature. Cell monolayers were stained with crystal violet after removal of the agarose plugs. The IC50 was defined as the antiviral concentration that reduced the plaque numbers to 50% of those in the control wells containing virus alone (1).

Known acyclovir-resistant HSV strains for which penciclovir and acyclovir IC50 were >3.0 μg/ml were included in the assay as controls. A testing laboratory approved by the College of American Pathologists defined criteria for resistance based on parallel assays of sensitive and resistant control virus strains. For an isolate to be labeled resistant, it must have an IC50 of ≥2.0 μg/ml or have an IC50 ≥10-fold above the IC50 for the sensitive control virus within that particular assay. The IC50 for the sensitive control virus ranged from 0.07 to 0.21 μg of penciclovir per ml, with a mean of 0.145 ± 0.038 μg of penciclovir per ml. A comparison of penciclovir to acyclovir IC50 is shown in Table 2. In general, the demographic characteristics were similar across the three treatment groups. The majority of patients were Caucasian (94%), and the overall population was almost equally divided with respect to gender. The mean age ranged from 48 to 50 years.

Most patients (58 to 67%) were immunocompromised due to a hematologic disorder, which included leukemia, lymphoma, multiple myeloma, myelodysplastic syndrome, and...
TABLE 1. Withdrawal of patients from study

<table>
<thead>
<tr>
<th>Treatment (no. of patients)</th>
<th>Drug administration</th>
<th>Follow-up</th>
<th>Other reason (^a)</th>
<th>Loss to (^{a})</th>
<th>AE or concurrent disease (^a)</th>
<th>Treatment failure</th>
<th>AE or concurrent disease (^a)</th>
<th>Treatment failure</th>
<th>Protocol violation</th>
<th>Lost to follow-up</th>
<th>Other reason (^b)</th>
<th>Lack of compliance</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Penciclovir q12h (115)</td>
<td>2 (2)</td>
<td>1 (1)</td>
<td>2 (2)</td>
<td>0</td>
<td>6 (5)</td>
<td>7 (6)</td>
<td>4 (4)</td>
<td>3 (3)</td>
<td>2 (2)</td>
<td>2 (2)</td>
<td>1 (1)</td>
<td>28 (24)</td>
<td></td>
</tr>
<tr>
<td>Penciclovir q8h (114)</td>
<td>0</td>
<td>1 (1)</td>
<td>0</td>
<td>2 (2)</td>
<td>7 (6)</td>
<td>5 (4)</td>
<td>3 (3)</td>
<td>5 (4)</td>
<td>2 (2)</td>
<td>2 (2)</td>
<td>1 (1)</td>
<td>26 (23)</td>
<td></td>
</tr>
<tr>
<td>Acyclovir q8h (113)</td>
<td>1 (1)</td>
<td>1 (1)</td>
<td>0</td>
<td>0</td>
<td>6 (5)</td>
<td>6 (5)</td>
<td>8 (7)</td>
<td>7 (6)</td>
<td>2 (2)</td>
<td>1 (1)</td>
<td>32 (28)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

\(^a\) Includes withdrawal of consent, continuation of antiviral therapy posthealing, and early discharge from the hospital.

\(^b\) Includes exacerbations of underlying diseases and adverse experiences (AE).

Aplastic anemia (Table 3). Approximately 25% of the population had had either a bone marrow or solid organ transplant. More than 90% of patients had received chemotherapeutic or immunomodulatory treatments for underlying conditions (e.g., cytarabine, cyclophosphamide, etoposide, dexamethasone, or hydrocortisone) either within 30 days of study entry or during the study. Twenty-four percent of patients reported a history of having taken acyclovir at a time in the past for a medical condition. Of note, a large percentage of patients received strong narcotic analgesics during the study (e.g., at least 20% of patients), and most patients presented with ulcers at baseline was 60% and 62% for the penciclovir q12h and q8h groups, respectively, compared with 54% of patients in the acyclovir q8h group. More than 90% of patients who had a history of orolabial lesions were predominantly orolabial (19% to 21% for penciclovir versus 12% for acyclovir).

Lesion appearance at baseline was similar across treatment groups. Lesions were predominantly orolabial (>90% of patients), and most patients presented with ulcers (Table 4). Over half of the population had no prior history of orolabial mucocutaneous HSV infection. Approximately 80% of patients in each treatment group reported pain at baseline, with a slightly higher percentage of patients in the penciclovir groups reporting severe pain (19 to 21% for penciclovir versus 12% for acyclovir). The percentage of patients shown to have a positive culture at baseline was 60% and 62% for the penciclovir q12h and q8h groups, respectively, compared with 54% of patients in the acyclovir q8h group. More than 90% of patients who had a positive culture were positive for HSV type 1, with the values comparable between treatment groups.

**Lesion end points.** During the course of the study, approximately 20% of patients developed new lesions during therapy (19% in the penciclovir q12h and acyclovir q8h groups and 21% in the penciclovir q8h group). Both penciclovir treatments were shown to be equivalent to acyclovir therapy for this primary end point; i.e., the upper limits of the 97.5% confidence intervals, 12% for penciclovir q12h and 14% for penciclovir q8h, were below the prespecified equivalence level of 20%. As assessments were made once daily, the time to healing is expressed as an integer. The median time to the healing of all lesions was 8 days across all three treatment groups, and comparisons of penciclovir to acyclovir were not statistically significant for the time to healing (Fig. 1 and Table 5).

**Viral shedding end points.** The median time to the cessation of viral shedding from all lesions was 4 days for all three treatment groups, and comparisons of penciclovir to acyclovir were not statistically significant (i.e., 97.5% confidence intervals for comparisons of penciclovir with acyclovir spanned 1). The percentage of patients who ceased shedding virus by day 7 was 85 to 87% in each treatment group. The confidence intervals for this proportion analysis spanned 0, indicating that there was no statistical evidence of any treatment difference between the penciclovir and acyclovir groups.

**Other clinical end points.** No patients were withdrawn because of the dissemination of HSV. Twenty patients (seven in each penciclovir group and six in the acyclovir group) were withdrawn for the other treatment failure reasons (i.e., clinical or virological failure requiring further anti-HSV therapy or continued new lesion formation beyond day 7). The comparisons of penciclovir to acyclovir were not statistically significant. Most of the patients with treatment failures received acyclovir as an additional antiviral therapy even though acyclovir was one of the blinded treatment arms. As these patients were withdrawn from the study when further antiviral therapy was initiated, the impact of additional antiviral therapy on lesion healing is unknown.

As with the other efficacy parameters, no significant differ-

TABLE 2. Characteristics of patient population by treatment group

<table>
<thead>
<tr>
<th>Category</th>
<th>Penciclovir q12h (n = 115)</th>
<th>Penciclovir q8h (n = 114)</th>
<th>Acyclovir q8h (n = 113)</th>
</tr>
</thead>
<tbody>
<tr>
<td>No. (%) of Males</td>
<td>51 (44)</td>
<td>58 (51)</td>
<td>56 (50)</td>
</tr>
<tr>
<td>Females</td>
<td>64 (56)</td>
<td>56 (49)</td>
<td>57 (50)</td>
</tr>
<tr>
<td>Whites</td>
<td>107 (93)</td>
<td>107 (94)</td>
<td>106 (94)</td>
</tr>
<tr>
<td>Blacks</td>
<td>8 (7)</td>
<td>5 (4)</td>
<td>5 (4)</td>
</tr>
<tr>
<td>Orientals</td>
<td>0</td>
<td>2 (2)</td>
<td>2 (2)</td>
</tr>
<tr>
<td>Age (yr) Mean</td>
<td>50 (15)</td>
<td>50 (16)</td>
<td>48 (17)</td>
</tr>
<tr>
<td>Median</td>
<td>49</td>
<td>52</td>
<td>52</td>
</tr>
<tr>
<td>Range</td>
<td>18–82</td>
<td>18–88</td>
<td>18–81</td>
</tr>
</tbody>
</table>

TABLE 3. Summary of reasons for immunocompromised state

<table>
<thead>
<tr>
<th>Diagnosis</th>
<th>Penciclovir q12h (n = 115)</th>
<th>Penciclovir q8h (n = 114)</th>
<th>Acyclovir q8h (n = 113)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hematologic disorder(^a)</td>
<td>77 (67)</td>
<td>66 (58)</td>
<td>73 (65)</td>
</tr>
<tr>
<td>Transplant(^b)</td>
<td>28 (24)</td>
<td>27 (24)</td>
<td>24 (21)</td>
</tr>
<tr>
<td>Cancer</td>
<td>8 (7)</td>
<td>12 (11)</td>
<td>8 (7)</td>
</tr>
<tr>
<td>Other(^c)</td>
<td>2 (2)</td>
<td>9 (6)</td>
<td>8 (7)</td>
</tr>
</tbody>
</table>

\(^a\) Includes leukemia, lymphoma, multiple myeloma, myelodysplastic syndrome, and aplastic anemia.

\(^b\) Includes solid organ and bone marrow transplants.

\(^c\) Includes combinations of the above diagnoses in addition to chronic rheumatologic conditions.
Table 4. Baseline lesion assessment

<table>
<thead>
<tr>
<th>Location</th>
<th>Penciclovir q12h (n = 115)</th>
<th>Penciclovir q8h (n = 114)</th>
<th>Acyclovir q8h (n = 113)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Orolabial</td>
<td>109 (95)</td>
<td>108 (95)</td>
<td>105 (93)</td>
</tr>
<tr>
<td>Anogenital</td>
<td>5 (4)</td>
<td>6 (5)</td>
<td>7 (6)</td>
</tr>
<tr>
<td>Other</td>
<td>2 (2)</td>
<td>5 (4)</td>
<td>4 (4)</td>
</tr>
</tbody>
</table>

Stage

<table>
<thead>
<tr>
<th>Lesion</th>
<th>Penciclovir q12h (n = 115)</th>
<th>Penciclovir q8h (n = 114)</th>
<th>Acyclovir q8h (n = 113)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Papules</td>
<td>25 (22)</td>
<td>31 (27)</td>
<td>29 (26)</td>
</tr>
<tr>
<td>Vesicles or pustules</td>
<td>45 (39)</td>
<td>51 (45)</td>
<td>44 (39)</td>
</tr>
<tr>
<td>Ulcers</td>
<td>77 (67)</td>
<td>61 (54)</td>
<td>69 (61)</td>
</tr>
<tr>
<td>Crusts</td>
<td>14 (12)</td>
<td>19 (17)</td>
<td>15 (13)</td>
</tr>
</tbody>
</table>

* Patients may have had lesions in more than one location or at more than one stage at baseline.

Table 5. Lesion end points

<table>
<thead>
<tr>
<th>Treatment (no. of patients)</th>
<th>% of patients with new lesions during therapy (97.5% confidence interval)*</th>
<th>Time to healing (days)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Penciclovir q12h (115)</td>
<td>19 (–11, 12)</td>
<td>8</td>
</tr>
<tr>
<td>Penciclovir q8h (114)</td>
<td>21 (–9, 14)</td>
<td>8</td>
</tr>
<tr>
<td>Acyclovir q8h (113)</td>
<td>19</td>
<td>8</td>
</tr>
</tbody>
</table>

* Treatments are statistically equivalent because the upper limit of the 97.5% confidence interval is less than 20%.

There is no significant difference between treatments because the 97.5% confidence interval spans 1.

Discussion

HSV is a common opportunistic infection in immunocompromised patients. Due to the potential severity of HSV infection in these patients, effective therapies have been sought and the disease has been shown to be treatable (6–8, 18, 20). The results of this study demonstrate that penciclovir given either q8h or q12h is safe and as effective as acyclovir for the treatment of mucocutaneous HSV infection in immunocompromised patients. The success of the penciclovir q12h regimen is particularly noteworthy because the reduced frequency of administration translates into possible patient convenience and the potential for reduced administration and nursing time compared with the q8h acyclovir regimen. In both groups 19% of patients experienced new lesion formation during therapy. Median values for the time to healing and the time to the cessation of viral shedding were also the same. The percentages of patients who had ceased viral shedding by day 7 were similar in the penciclovir and acyclovir groups (87 and 86%, respectively). In addition, the percentages of patients withdrawn for treatment failure were also similar between the penciclovir q12h and acyclovir q8h regimens (6 and 5%, respectively), and no statistically significant differences between groups were shown for the resolution of pain.

The majority of patients included in this study had underlying diseases which were severe enough to require hospitalization for at least the 7-day treatment period and, therefore, were treated with intravenous rather than oral therapy. Fever and nausea were expected to be two frequently reported adverse events because of the degree of immunosuppression and the number and types of concomitant medications administered. The low incidence of these events (<4% in any group) and of serious adverse events which were considered to be related or possibly related to treatment demonstrates that the overall safety profile reflects the immunocompromised state of
the study participants. Both penciclovir and acyclovir were well
tolerated by immunocompromised patients with HSV infec-
tion.

The lack of penciclovir resistance among the isolates tested
appears to be unusual for an immunocompromised patient
population, where resistance rates up to 9% have been noted
(3, 5, 11, 13). The patient populations in studies which report
high percentages of resistant viruses are typically bone marrow
transplant recipients or patients in the late stages of AIDS
who have serious or long-standing HSV infections. In the
present study, only 25% of the patients had received a solid
organ or bone marrow transplant. Most were immunocom-
promised due to immunosuppressive chemotherapeutic reg-
imens for hematologic or other malignancies. Patients with
disseminated HSV infection or those known to be infected
with human immunodeficiency virus at study entry were
excluded from the study. More importantly, though, more
than half of the patients did not report having a previous
HSV episode at study entry, and only 24% had received acyclovir
prior to participation in the study. Therefore, the
absence of resistant virus in this population is not unex-
pected.

In conclusion, penciclovir administered either q8h or q12h
is a safe and effective treatment for mucocutaneous HSV in these
patients. In addition, penciclovir q12h offers a reduced fre-
quency of dosing compared with current recommendations for
acyclovir in this indication.

APPENDIX

The Penciclovir Immunocompromised Study Group comprises E.
Anaissie, Houston, Tex.; C. Andre, Liege, Belgium; F. Andrien, Liege,
acyclovir in this indication.

The Penciclovir Immunocompromised Study Group comprises E.
Anaissie, Houston, Tex.; C. Andre, Liege, Belgium; F. Andrien, Liege,
ac.

APPENDIX

The Penciclovir Immunocompromised Study Group comprises E.
Anaissie, Houston, Tex.; C. Andre, Liege, Belgium; F. Andrien, Liege,

REFERENCES


