

Molecular Epidemiological Analysis of *Escherichia coli* Sequence Type ST131 (O25:H4) and *bla*_{CTX-M-15} among Extended-Spectrum-β-Lactamase-Producing *E. coli* from the United States, 2000 to 2009

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Escherichia coli sequence type ST131 (from phylogenetic group B2), often carrying the extended-spectrum-β-lactamase (ESBL) gene *bla*_{CTX-M-15}, is an emerging globally disseminated pathogen that has received comparatively little attention in the United States. Accordingly, a convenience sample of 351 ESBL-producing *E. coli* isolates from 15 U.S. centers (collected in 2000 to 2009) underwent PCR-based phylotyping and detection of ST131 and *bla*_{CTX-M-15}. A total of 200 isolates, comprising 4 groups of 50 isolates each that were (i) *bla*_{CTX-M-15} negative non-ST131, (ii) *bla*_{CTX-M-15} positive non-ST131, (iii) *bla*_{CTX-M-15} negative ST131, or (iv) *bla*_{CTX-M-15} positive ST131, also underwent virulence genotyping, antimicrobial susceptibility testing, and pulsed-field gel electrophoresis (PFGE). Overall, 201 (57%) isolates exhibited *bla*_{CTX-M-15}, whereas 165 (47%) were ST131. ST131 accounted for 56% of *bla*_{CTX-M-15}-positive versus 35% of *bla*_{CTX-M-15}-negative isolates ($P < 0.001$). Whereas ST131 accounted for 94% of the 175 total group B2 isolates, non-ST131 isolates were phylogenetically distributed by *bla*_{CTX-M-15} status, with groups A (*bla*_{CTX-M-15}-positive isolates) and D (*bla*_{CTX-M-15}-negative isolates) predominating. Both *bla*_{CTX-M-15} and ST131 occurred at all participating centers, were recovered from children and adults, increased significantly in prevalence post-2003, and were associated with molecularly inferred virulence. Compared with non-ST131 isolates, ST131 isolates had higher virulence scores, distinctive virulence profiles, and more-homogeneous PFGE profiles. *bla*_{CTX-M-15} was associated with extensive antimicrobial resistance and ST131 with fluoroquinolone resistance. Thus, *E. coli* ST131 and *bla*_{CTX-M-15} are emergent, widely distributed, and predominant among ESBL-positive *E. coli* strains in the United States, among children and adults alike. Enhanced virulence and antimicrobial resistance have likely promoted the epidemiological success of these emerging public health threats.

Extraintestinal *Escherichia coli* infections, including urinary tract infections, sepsis, and neonatal meningitis, are important causes of morbidity, mortality, and increased health care costs (26). Their management is increasingly challenging due to the rising prevalence of resistance to first-line antimicrobial agents, including fluoroquinolones and extended-spectrum cephalosporins (14, 23). Contributing significantly to this increase is a recently emerged *E. coli* lineage designated sequence type ST131, which is characterized as belonging to serotype O25:H4 and is associated with fluoroquinolone resistance and CTX-M-15, an IncFII-plasmid-mediated extended-spectrum β-lactamase (ESBL) (21, 25).

The initial reports in 2008 of *E. coli* ST131 as an important new pathogen involved isolates from diverse non-U.S. international locales (3, 5, 18). Subsequently, case reports and small series documented the presence of ST131 also in the United States, with the ESBL-positive ST131 isolates usually producing CTX-M-15 (6, 7, 9, 11, 17, 20, 27, 29, 30). The only published large-scale survey to date for ST131 in the United States appeared in 2010 and was based on clinical isolates from 2007 from two national surveillance programs (8). There, ST131 accounted for approximately two-thirds of all ESBL-positive or fluoroquinolone-resistant *E. coli* study isolates, with 73% of ESBL-positive ST131 isolates exhibiting CTX-M-15 (8).

Limitations of that study included the single study year, restrictions with respect to the participating sites and selection criteria of the surveillance systems, and inclusion of only 59 total ESBL-positive isolates (8). Here we assessed the reproducibility of that survey's findings and explored new associations, using a 10-year study period and a much larger population that included isolates from both children and adults.

MATERIALS AND METHODS

Strains. The 351 ESBL-positive *E. coli* study isolates, including some previously published isolates (16, 17, 27, 29), were obtained as a series of convenience samples from colleagues at 15 research or clinical laboratories across the United States (Table 1). Each center was invited to submit all available *E. coli* clinical isolates that met the study criteria, including (i) isolation from 2000 to 2009 and (ii) confirmed ESBL production according to

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TABLE 1 Sources and ST131 and bla_{CTX-M-15} status of 351 extended-spectrum-β-lactamase-producing *Escherichia coli* isolates collected in the United States in 2000 to 2009

City or center source (reference, if published)	Yr of isolation	Patient population	Total no. of isolates	No. (%) of isolates in indicated category ^{a,b}						No. of ST131 isolates/total no. of isolates (%) ^a	
				Trait		Subset ^{a,b}				M15 ⁻	M15 ⁺
				M15 ⁺	ST131 ⁺	ST131 ⁻	ST131 ⁻	ST131 ⁺	ST131 ⁺		
Miami, FL	2009	Adults ^c	23	19 (83)	7 (30)	1 (4)	15 (65)	3 (13)	4 (17)	3/4 (75)	4/19 (21)
Chicago, IL	2007–2008	Adults	8	6 (75)	5 (63)	1 (13)	2 (25)	1 (13)	4 (50)	1/2 (50)	4/6 (67)
Evanston, IL	2007	Adults	27	16 (59)	17 (63)	4 (15)	6 (22)	7 (26)	10 (37)	7/11 (64)	10/16 (63)
Portland, ME	2008	Adult	1	1 (100)	1 (100)	0 (0)	0 (0)	0 (0)	1 (100)	(none)	1/1 (100)
Minneapolis, MN (Hennepin County Medical Center)	2008–2009	Adults	31	17 (55)	17 (55)	9 (29)	5 (16)	5 (16)	12 (39)	5/14 (36)	12/17 (71)
Minneapolis, MN (Children's Hospital)	2002–2009	Children	33	12 (36)	12 (36)	16 (48)	5 (15)	5 (15)	7 (21)	5/21 (24)	7/12 (58)
St. Paul, MN	2007–2008	Adults	20	12 (60)	7 (35)	5 (25)	8 (40)	3 (15)	4 (20)	3/8 (38)	4/12 (33)
Winston-Salem, NC	2008–2009	Adults	11	8 (73)	8 (73)	3 (27)	0 (0)	0 (0)	8 (73)	0 (0)	8/8 (100)
Omaha, NE	2005	Adults	4	1 (25)	2 (50)	2 (50)	0 (0)	1 (25)	1 (25)	1/3 (33)	1/1 (100)
Philadelphia, PA (17)	2007	Adults	31	14 (45)	15 (48)	10 (32)	6 (19)	7 (23)	8 (26)	7/17 (41)	8/14 (57)
Pittsburgh, PA (27)	2006–2007	Adults	17	7 (41)	10 (59)	5 (29)	2 (12)	5 (29)	5 (29)	5/10 (50)	5/7 (71)
New York City (Queens), NY (29)	2004–2008	Adult	63	37 (59)	38 (60)	15 (24)	10 (16)	11 (17)	27 (43)	11/26 (42)	27/37 (73)
Houston, TX (30)	2004–2009	Adults	38	30 (79)	10 (26)	7 (18)	21 (53)	1 (3)	9 (24)	1/8 (13)	9/30 (30)
San Antonio, TX (16)	2000–2006	Adults	20	11 (55)	7 (35)	7 (35)	6 (30)	2 (10)	5 (25)	2/9 (22)	5/11 (45)
Seattle, WA	2004–2007	Children ^d	24	10 (42)	9 (38)	13 (54)	2 (8)	1 (4)	8 (33)	1/14 (7)	8/10 (80)
Total	2000–2009	Mixed	351	201 (57)	165 (47)	97 (28)	89 (25)	51 (15)	114 (33)	51/148 (34)	114/203 (56)

^a M15⁺, positive for bla_{CTX-M-15}; M15⁻, negative for bla_{CTX-M-15}.

^b ST131⁺, ST131 sequence type; ST131⁻, non-ST131 sequence type.

^c Includes 1 pediatric isolate.

^d Includes 1 adult isolate.

Clinical and Laboratory Standards Institute (CLSI) criteria (4). Upon receipt in the study laboratory, all isolates were screened for bla_{CTX-M-15} by PCR (as described below). All bla_{CTX-M-15} PCR-negative isolates were further screened by disk diffusion for extended-spectrum-cephalosporin susceptibility (as described below). All extended-spectrum-cephalosporin-susceptible isolates so identified were further screened for ESBL production, using ceftaxime and ceftazidime disks with or without clavulanate (4). For study inclusion, isolates were required to be bla_{CTX-M-15} positive or, if bla_{CTX-M-15} negative, to be either extended-spectrum cephalosporin resistant or (if retested) to exhibit an ESBL phenotype.

Detection of bla_{CTX-M-15}. The PCR screen for bla_{CTX-M-15} involved a combination of published and newly devised primers. After an initial screen using primers that detect bla_{CTX-M-15} plus related bla_{CTX-M} variants (15), PCR-positive isolates were retested using a novel extended “CTX-M-15-specific” forward primer (15extF [ATAAAACCGGCAGCGGTGG]), which hybridizes with bla_{CTX-M-15} and, per the data shown at <http://www.lahey.org/Studies/other.asp#table1>, with several other group 1 bla_{CTX-M} variants (including bla_{CTX-M-28}, -29, 3-3, -53, -82 but not bla_{CTX-M-1}, bla_{CTX-M-3} or several other bla_{CTX-M-3}-like variants detected by the bla_{CTX-M-15} screening primers). They also were retested using a novel extended “CTX-M-3-specific” forward primer (3extF [ATAAAACCGGCAGCGGTGA]), which hybridizes with bla_{CTX-M-3} and a different set of group 1 bla_{CTX-M} variants (including bla_{CTX-M-1}, -10, -11, -12, -22, -23, -30, -32, -34, -36, -37, -42, -52). Isolates reacting with the extended bla_{CTX-M-15} primer but not the extended bla_{CTX-M-3} primer were further screened using a novel bla_{CTX-M-28}-specific forward primer (28for [GGTTAAAAATCACTGCGT]), in combination with CTX-M group 1 reverse primer M13L (15), since bla_{CTX-M-28} is the most frequent of the potential cross-reacting bla_{CTX-M} variants.

Conditions for the novel PCRs were largely as described elsewhere (13). Reaction mixtures included 1× buffer, 4 mM MgCl₂, 0.8 mM deoxynucleoside triphosphate (dNTP) mix, 1.25 U of AmpliTaq Gold, 0.6 μM specific primers, 2.0 μl of boiled lysate DNA, and H₂O to reach a final volume of 25 μl. Cycling was performed as follows: 95°C activation for 10

min; 25 cycles of 30 s of denaturation at 94°C and then 3 min of annealing and extension at 76°C (for bla_{CTX-M-15} and bla_{CTX-M-3} PCR [amplicon, 483 bp]) or at 68°C (for bla_{CTX-M-28} PCR [amplicon, 863 bp]); 10' extension at 72°C; and then a hold at 4°C. Isolates that gave positive test results with the initial and extended bla_{CTX-M-15} primers but negative test results with the bla_{CTX-M-3} and bla_{CTX-M-28} primers were regarded as bla_{CTX-M-15} positive.

Validation of this tiered PCR approach using 257 reference isolates containing sequenced bla_{CTX-M} variants (181 reportedly bla_{CTX-M-15}, 76 reportedly non-bla_{CTX-M-15}) yielded only 9 discrepancies, all but three of which were resolved in favor of the PCR results by repeat PCR and sequencing. The persisting discrepancies involved 3 bla_{CTX-M-53}-positive isolates that were falsely identified by PCR as containing bla_{CTX-M-15}, consistent with the predicted cross-reactivity of bla_{CTX-M-15} primers with the (rarely encountered) bla_{CTX-M-53} variant. This yielded an estimated overall accuracy rate of 98.8% (95% confidence interval, 96.6% to 99.8%) for detection of bla_{CTX-M-15} by tiered PCR.

Phylogenetic group and ST131 status. The major *E. coli* phylogenetic groups (A, B1, B2, and D) were determined by triplex PCR (1). ST131 isolates were identified by PCR-based detection of ST131-specific single-nucleotide polymorphisms (SNPs) in *mdh* and *gyrB* (10). Seventeen randomly selected presumptive ST131 isolates underwent confirmatory 7-locus multilocus sequence typing (MLST) based on partial sequences for *adh*, *fumC*, *gyrB*, *icd*, *mdh*, *purA*, and *recA* (<http://mlst.ucc.ie/mlst/dbs/Ecoli>); all were confirmed as ST131. All isolates were screened by PCR for ST131-associated traits, including the F10 *papA* allele (P-antigen-recognizing fimbria [P fimbria] structural subunit variant) and the O25b *rfb* (lipopolysaccharide synthesis) variant (2, 10).

Antimicrobial susceptibility. A total of 200 isolates, comprising 4 groups of 50 randomly selected isolates each that were (i) bla_{CTX-M-15} negative non-ST131; (ii) bla_{CTX-M-15} positive non-ST131; (iii) bla_{CTX-M-15} negative ST131; or (iv) bla_{CTX-M-15}-positive ST131 underwent additional testing. Antimicrobial susceptibility to 21 agents was determined by disk diffusion using

TABLE 2 Characteristics of 351 extended-spectrum- β -lactamase-producing *Escherichia coli* clinical isolates from the United States, 2000 to 2009

Characteristic ^a	No. (%) of isolates ^b					P value ^c			
	Total (n = 351)	Subset				Group 1 vs 2	Group 3 vs 4	Group 1 vs 3	Group 2 vs 4
		ST131 ⁻ M15 ⁻ (group 1; n = 98)	ST131 ⁻ M15 ⁺ (group 2; n = 89)	ST131 ⁺ M15 ⁻ (group 3; n = 52)	ST131 ⁺ M15 ⁺ (group 4; n = 113)				
Group A	63 (18)	17 (17)	46 (52)	0 (0)	0 (0)	< 0.001		0.001	< 0.001
Group B1	24 (7)	20 (20)	4 (5)	0 (0)	0 (0)	0.002		< 0.001	0.035
Group B2	175 (50)	10 (10)	0 (0)	52 (100)	113 (100)	0.002		< 0.001	< 0.001
Group D	89 (25)	51 (52)	38 (43)	0 (0)	0 (0)			< 0.001	< 0.001
F10 <i>papA</i> allele	168 (48)	9 (9)	8 (9)	42 (81)	109 (97)		0.002	< 0.001	< 0.001
O25b <i>rfb</i> allele	159 (45)	0 (0)	0 (0)	48 (92)	110 (97)			< 0.001	< 0.001
Post-2003 origin	338 (96)	89 (91)	85 (97)	51 (98)	113 (100)			0.10	0.08

^a Group, major *E. coli* phylogenetic group; F10 *papA* allele, P fimbria structural subunit variant; O25b *rfb* allele, O25 lipopolysaccharide synthesis.

^b M15⁺, positive for *bla*_{CTX-M-15}; M15⁻, negative for *bla*_{CTX-M-15}; ST131⁺, ST131 sequence type; ST131⁻, non-ST131 sequence type.

^c P values (by Fisher's exact test; two-tailed) are shown where $P \leq 0.10$. For all variables, an initial 4-group comparison yielded $P \leq 0.003$.

Clinical and Laboratory Standards Institute (CLSI)-specified procedures, control strains, and (2011) interpretive criteria. Agents tested included amikacin (AMK), ampicillin (AMP), amoxicillin-clavulanate (AML), aztreonam (ATM), cefepime (FEP), ceftazidime (CAZ), ceftriaxone (CRO), cephalothin (KF), chloramphenicol (C), ciprofloxacin (CIP), gentamicin (CN), imipenem (IMP), nalidixic acid (NA), nitrofurantoin (F), piperacillin (PIP), piperacillin-tazobactam (TZP), streptomycin (S), sulfonamides (SF), tetracycline (TE), trimethoprim (W), and trimethoprim-sulfamethoxazole (SXT). Intermediate results were analyzed as representing resistance. The resistance score represented the number of agents to which an isolate was resistant, excluding SXT (due to redundancy with SF and W).

Extended virulence genotypes. The 200 isolates were tested for the presence of 50 extraintestinal pathogenic *E. coli* (ExPEC)-associated virulence genes and housekeeping gene *uidA* (β -glucuronidase) by multiplex PCR (10). Isolates were defined as ExPEC if positive for ≥ 2 of *papA* and/or *papC* (P fimbria major subunit and assembly), *sfa/focDE* (S and F1C fimbriae), *afa/draBC* (Dr-binding adhesins), *kpsM II* (group 2 capsule), and *iutA* (aerobactin receptor) (12). The virulence score represented the number of virulence genes detected, adjusted for multiple detection of the *pap*, *sfa*, *foc*, and *kpsM II* operons.

PFGE analysis. The 200 isolates underwent pulsed-field gel electrophoresis (PFGE) analysis of XbaI-restricted total DNA per the PulseNet protocol (24). By using BioNumerics (Applied Maths) and band-based Dice similarity coefficients, pulsotype designations were assigned at the $\geq 94\%$ profile similarity level, corresponding to an approximately 3-band difference (28).

Statistical methods. Comparisons of proportions were tested using Fisher's exact test (two-tailed) or a chi-square test if unpaired and McNemar's test if paired. Comparisons of virulence scores were tested using the Mann-Whitney U test (two-tailed). To simplify the virulence genotype and antimicrobial susceptibility data for comparisons among the four subgroups as defined by combined CTX-M-15 status and ST131 status, principal coordinate analysis (PCoA) was performed using GENALEX 6 software (19). This multidimensional scaling method captures within the first 2 axes most of the total variance contained in a multivariable, multi-specimen data set. Analysis of molecular variance (AMOVA) was used to determine the percentage of diversity within populations versus among populations (19).

RESULTS

Origins of isolates. The 351 ESBL-producing *E. coli* study isolates were from 15 centers distributed broadly across the United States (Table 1). Centers contributed a median of 23 isolates each (range, 1 to 63 isolates). Years of isolation ranged from 2000 to 2009 (median, 2007). Source patients included both adults ($n = 295$

[84%]) and children ($n = 57$ [16%]). Additional clinical data, including specimen type, were unavailable.

CTX-M-15 and ST131 status. According to tiered PCR-based testing, 201 (57%) of the 351 study isolates contained CTX-M-15 (median per center, 59%; range, 25% to 100%), whereas 165 (47%) represented ST131 (median per center, 50%; range, 26% to 100%) (Table 1). Overall, ST131 was statistically significantly associated with CTX-M-15, accounting for 56% of CTX-M-15-positive isolates versus 34% of CTX-M-15-negative isolates ($P < 0.001$). This trend was evident at 12 of the 15 individual centers, including both children's hospitals (Table 1).

The 4 possible combinations of ST131 status and CTX-M-15 status defined 4 subgroups (Table 1). Of these, the dual-positive subgroup (i.e., ST131⁺ CTX-M-15⁺) was the most prevalent (33%), followed sequentially by the ST131⁻ CTX-M-15⁻ subgroup (28%), the ST131⁻ CTX-M-15⁺ subgroup (25%), and the ST131⁺ CTX-M-15⁻ subgroup (15%) (Table 1). All but three centers contributed one or more representatives of each of these 4 subgroups, and the 3 centers that did not do so provided only 1 to 11 isolates each.

CTX-M-15 and ST131 versus phylogenetic group, F10 *papA* allele, and O25b *rfb* variant. In the total population, group B2 was the dominant phylogenetic group, accounting for 50% of isolates, followed sequentially in prevalence by groups D (25%), A (18%), and B1 (7%) (Table 2). Whereas by definition the ST131 isolates were all from group B2, regardless of CTX-M-15 status, the non-ST131 isolates were least likely to be from group B2 (versus other groups), and their phylogenetic group distribution varied significantly with CTX-M-15 status. That is, the CTX-M-15-negative non-ST131 isolates were predominantly from group D, followed by groups B1, A, and B2. In contrast, the CTX-M-15-positive non-ST131 isolates were predominantly from group A, followed by groups D and B1, with none from group B2.

The F10 *papA* allele and O25 *rfb* variant were significantly more prevalent among ST131 isolates than non-ST131 isolates, regardless of CTX-M-15 status (Table 1). Additionally, for the ST131 isolates, the F10 *papA* allele was significantly more prevalent among CTX-M-15-positive than CTX-M-15-negative isolates, although the absolute difference was modest (81% versus 97%) (Table 1).

CTX-M-15 and ST131 versus year of isolation. When analyzed as a continuous variable, the year of isolation was borderline

TABLE 3 Molecular characteristics of 200 selected extended-spectrum-β-lactamase-producing *Escherichia coli* isolates (collected in 2000 to 2009) in relation to ST131 and bla_{CTX-M-15} status

Trait category ^{a,b}	Specific trait ^a	No. (%) of isolates				P value ^c			
		ST131 ⁻ M15 ⁻ (group 1; n = 50)	ST131 ⁻ M15 ⁺ (group 2; n = 50)	ST131 ⁺ M15 ⁻ (group 3; n = 50)	ST131 ⁺ M15 ⁺ (group 4; n = 50)	Group 1 vs 2	Group 3 vs 4	Group 1 vs 3	Group 2 vs 4
Phy. group	Group A	10 (20)	28 (56)	0 (0)	0 (0)	<0.001		<0.001	<0.001
	Group B1	7 (14)	1 (2)	0 (0)	0 (0)			0.01	
	Group B2	5 (10)	0 (0)	50 (100)	50 (100)			<0.001	<0.001
	Group D	28 (56)	21 (42)	0 (0)	0 (0)			<0.001	<0.001
Adhesins	F10 <i>papA</i>	4 (8)	5 (10)	40 (80)	50 (100)		0.001	<0.001	<0.001
	<i>afa/draBC</i>	3 (6)	2 (4)	2 (4)	13 (26)		0.03		0.004
	<i>iha</i>	8 (16)	3 (6)	39 (78)	48 (96)		0.02	<0.001	<0.001
	<i>fimH</i>	42 (84)	30 (60)	50 (100)	50 (100)	0.01		0.006	<0.001
	<i>hra</i>	10 (20)	6 (12)	1 (2)	4 (8)			0.008	
Toxins	<i>sat</i>	6 (12)	3 (6)	39 (78)	48 (96)		0.02	<0.001	<0.001
	<i>vat</i>	6 (12)	0 (0)	1 (2)	0 (0)	0.03			
	<i>astA</i>	9 (18)	5 (10)	0 (0)	0 (0)			0.03	
Siderophores	<i>fyuA</i>	30 (60)	31 (62)	49 (98)	50 (100)			<0.001	<0.001
	<i>iutA</i>	21 (42)	39 (78)	43 (86)	49 (98)	<0.001		<0.001	<0.001
Capsule	<i>kpsM</i> II	18 (36)	11 (22)	35 (70)	45 (90)		0.02	0.001	<0.001
	K2	3 (6)	4 (8)	5 (10)	17 (34)		0.007		0.003
	K5	3 (6)	0 (0)	20 (40)	18 (36)			<0.001	<0.001
	<i>kpsMT</i> III	3 (6)	9 (18)	0 (0)	0 (0)				0.003
Miscellaneous	<i>usp</i>	7 (14)	1 (2)	49 (98)	50 (100)			<0.001	<0.001
	<i>ompT</i>	15 (30)	7 (14)	48 (96)	50 (100)			<0.001	<0.001
	<i>traT</i>	34 (68)	42 (84)	44 (88)	46 (92)			0.03	
	<i>malX</i>	17 (34)	20 (40)	48 (96)	50 (100)			<0.001	<0.001
	n.a. ^d	15 (30)	7 (14)	33 (66)	47 (94)		0.001	0.001	<0.001
ExPEC	≥2 per type	9 (19) ^f	22 (44)	27 (54)	41 (82)	0.009	0.005	<0.001	<0.001
PFGE status ^e	≥5 per type	0 (0)	0 (0)	18 (36)	33 (66)			<0.001	<0.001

^a Traits shown are those that yielded $P < 0.05$ in an initial four-way comparison (not shown) plus at least one pairwise comparison (as shown). These included *afa/draBC* (Dr-binding adhesins), *astA* (enteroaggregative *E. coli* heat-stable toxin), the F10 *papA* allele (P fimbria structural subunit variant), *fimH* (type 1 fimbria adhesin), *fyuA* (yersiniabactin system), *hra* (heat-resistant agglutinin), *iha* (adhesin siderophore), *iutA* (aerobactin system), K2 (group 2 capsule variant), K5 (group 2 capsule variant), *kpsMII* (group 2 capsule), *kpsMTIII* (group 3 capsule), *malX* (fitness island marker), *ompT* (outer membrane protease), *sat* (secreted autotransporter toxin), *usp* (uropathogenic specific protein), and *vat* (vacuolating toxin). Traits detected in ≥ 1 isolate but without significant by-group prevalence differences (definition: overall prevalence) included *afaE8* (afimbrial adhesin: 1.5%), *bmaE* (M fimbriae: 1.5%), *cdtB* (cytolethal distending toxin B: 0.5%), *clbB* and *clbN* (polyketide synthesis: 1% and 2%), *clpG* (mannose-resistant adhesin: 0.5%), *cnf1* (cytotoxic necrotizing factor: 2%), *cvaC* (microcin V: 1%), H7 *fliC* allele (flagellin: 0.5%), *hlyD* (alpha hemolysin: 3.5%), *hlyF* (hemolysin variant: 4%), *ibeA* (invasion of brain endothelium: 2%), *ireA* (siderophore receptor: 1.5%), *iroN* (salmochelin receptor: 3%), *iss* (increased serum survival: 3%), K1 (capsule variant: 3%), *papAH* (P fimbria major subunit: 4.5%), *papC* (P fimbria assembly: 7.0%), *papEFG* (P fimbria tip pilins: 5.5%), *papG* alleles II and III (P adhesin variants: 4.5% and 1%), *pic* (autotransporter protease: 0.5%), *rfa* (O4 lipopolysaccharide synthesis: 1%), *traT* (serum resistance associated: 82.5%), and *tsh* (temperature-sensitive hemagglutinin: 2%). Traits sought but not detected included F17 (mannose-resistant adhesin), *focG* (F1C adhesin), *gafD* (G fimbriae), K15 (capsule variant), *papG* allele I (P adhesin variant), *pic* (protein associated with intestinal colonization), *sfa/foc* (S and F1C fimbriae), and *sfaS* (S fimbria adhesin).

^b ExPEC, extraintestinal pathogenic *E. coli*; PFGE, pulsed-field gel electrophoresis; Phy., phylogenetic. ExPEC was defined as the presence of ≥ 2 of *papA* and/or *papC* and of *sfa/foc*, *afa/dra*, *kpsMII*, and *iutA*.

^c P values (by Fisher's exact test, two-tailed) are shown where $P < 0.05$.

^d n.a., not applicable.

^e The data correspond to isolates belonging to pulsotype groups consisting of ≥ 2 isolates or ≥ 5 isolates each.

^f The denominator was 48 (rather than 50), since 2 isolates were refractory to XbaI PFGE analysis.

significantly more recent for CTX-M-15-positive isolates than CTX-M-15-negative isolates ($P = 0.099$) and for ST131 isolates than non-ST131 isolates ($P = 0.053$). The distribution of isolation years by CTX-M-15 and ST131 status suggested that, for both variables, 2003 to 2004 would be the optimal breakpoint for dichotomous stratification (not shown). With the years categorized using this breakpoint, CTX-M-15 and ST131 were both significantly more prevalent among isolates from 2004 to 2009 than among those from 2000 to 2003 (for CTX-M-15, 77% versus 23% [$P = 0.019$]; for ST131, 49% versus 8% [$P = 0.004$]), consistent with the emergence of both traits over the decade.

Among the four subgroups defined by combined CTX-M-15 status and ST131 status, the proportion of isolates from 2004 to 2009 (versus isolates from 2000 to 2003) increased progressively

across subgroups, from a low of 91% (CTX-M-15-negative, non-ST131 isolates) to a high of 100% (CTX-M-15-positive, ST131 isolates) ($P = 0.003$ for the 4-group comparison) (Table 2). In pairwise comparisons among the 4 subgroups, ST131 isolates were borderline significantly more likely than non-ST131 isolates to be from 2004 to 2009, regardless of CTX-M-15 status (Table 2).

Extended virulence genotypes. Genotypes for 51 ExPEC-associated virulence markers were determined for 50 randomly selected representatives per subgroup for the 4 subgroups as defined by CTX-M-15 status and ST131 status (total $n = 200$). The selected isolates exhibited the same phylogenetic group distribution as their respective source subgroups, evidence that they were a representative subset (Table 3). All but 8 of the virulence markers sought were detected in ≥ 1 isolate each, with 18 (42%) of the

detected markers exhibiting a significant between-subgroup prevalence difference in relation to CTX-M-15 status, ST131 status, or both (Table 3).

Several general trends were apparent from these differences (Table 3). First, statistically significant prevalence differences were more than twice as frequent in relation to ST131 status as they were in relation to CTX-M-15 status. Second, whereas most of the ST131 versus non-ST131 prevalence differences favored the ST131 isolates (e.g., for the F10 *papA* allele, *afa/dra*, *iha*, *fimH*, *sat*, *fyuA*, *kpsMII*, *K2*, *K5*, *usp*, *ompT*, *traT*, and *malX*), several favored the non-ST131 isolates (e.g., for *hra*, *astA*, and *kpsMT III*). Third, whereas quite similar sets of genes exhibited ST131-associated prevalence differences among CTX-M-15-positive compared with CTX-M-15-negative isolates, distinct sets of genes exhibited CTX-M-15-associated prevalence differences among ST131 isolates compared with non-ST131 isolates.

Consistent with the greater prevalence of many ExPEC-associated virulence genes among the ST131 isolates, a significantly greater proportion of ST131 isolates than non-ST131 isolates fulfilled molecular criteria for ExPEC, regardless of CTX-M-15 status (Table 3). Furthermore, among ST131 (but not non-ST131) isolates, CTX-M-15 was positively associated with ExPEC status. Thus, of the 4 subgroups, the CTX-M-15-positive ST131 isolates were the most likely to qualify as ExPEC (94%). Likewise, aggregate virulence scores were significantly greater among ST131 isolates compared with non-ST131 isolates and were greatest of all within the CTX-M-15-positive ST131 subgroup (see Table 5).

According to PCoA, which was used to summarize the total virulence gene data set for a simplified comparison among subgroups, the ST131 isolates and non-ST131 isolates were clearly separated on the coordinate 1-coordinate 2 plane (Fig. 1). In contrast, among ST131 and non-ST131 isolates alike, CTX-M-15-positive and CTX-M-negative isolates were largely intermingled, without obvious differences. AMOVA indicated that 43% of the total variance was among populations, whereas 57% was within populations.

Antimicrobial resistance profiles. Within the 200-isolate subset, resistance to each tested antimicrobial agent except imipenem was detected in ≥ 1 isolate (Table 4). Significant resistance prevalence differences among the 4 isolate subgroups, as defined by combined ST131 and CTX-M-15 status, were noted for 13 agents. Compared with CTX-M-15-negative isolates, CTX-M-15-positive isolates had a significantly higher prevalence of resistance to multiple β -lactam agents, regardless of ST131 status, but a significantly lower prevalence of resistance to streptomycin (if non-ST131) or sulfonamides (if ST131). In contrast, ST131 and non-ST131 isolates exhibited comparatively few significant resistance prevalence differences for β -lactam agents, but non-ST131 isolates (especially if CTX-M-15 positive) exhibited a significantly greater prevalence of resistance to numerous non- β -lactam agents (Table 4). Accordingly, aggregate resistance scores, although differing only slightly among the 4 subgroups, were highest among the CTX-M-15-positive non-ST131 isolates (median, 15) and lowest among the CTX-M-15-negative ST131 isolates (median, 12) (Table 5).

In a PCoA based on the 21 studied antimicrobial agents, considerable diversity of aggregate resistances profiles was evident from the overall spread of points on the coordinate 1-coordinate 2 plane (Fig. 1). However, the 4 subgroups as defined by combined CTX-M-15 status and ST131 status were considerably intermingled, without obvious among-subgroup differences. According to

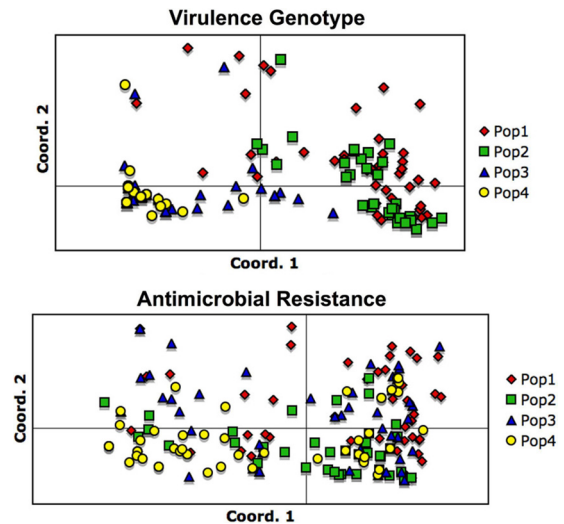


FIG 1 Principal coordinate analysis of virulence genotype data (upper panel) and antimicrobial resistance data (lower panel) among 200 *Escherichia coli* isolates (collected in 2000 to 2009) in relation to ST131 and *bla*_{CTX-M-15} status. Populations 1 to 4 correspond to the groups shown in the tables (i.e., Table 1, non-ST131 *bla*_{CTX-M-15} negative; Table 2, non-ST131 *bla*_{CTX-M-15} positive; Table 3, ST131 *bla*_{CTX-M-15} negative; Table 4, ST131 *bla*_{CTX-M-15} positive). Upper panel (virulence genotypes): coordinates 1 and 2 capture 58% and 12% of total variation, respectively. Note the marked separation of (overlapping) populations 1 and 2 from (overlapping) populations 3 and 4. Lower panel (antimicrobial resistance): coordinates 1 and 2 capture 32% and 26% of total variation, respectively. Note the marked overlap of all four populations.

AMOVA, only 9% of total variance was among populations; fully 91% was within populations.

PFGE. All but two of the 200 selected isolates yielded interpretable XbaI PFGE profiles. The 94% similarity criterion resolved 122 pulsotypes, each containing from 1 isolate (99 pulsotypes) to 27 isolates (1 pulsotype [type 968]). Twenty-three pulsotypes contained multiple isolates (99 isolates total); of these, 5 contained ≥ 5 isolates each (51 isolates total). Membership in a multiple-isolate pulsotype was significantly associated with both *bla*_{CTX-M-15} (regardless of ST131 status) and ST131 (regardless of *bla*_{CTX-M-15} status) (Table 3). Similarly, membership in a high-prevalence (≥ 5 isolates) pulsotype was confined to ST131 isolates, and among ST131 isolates it was significantly associated with *bla*_{CTX-M-15} status (Table 3) and ExPEC status (92% ExPEC for high-frequency pulsotypes versus 67%; $P = 0.002$).

DISCUSSION

In this analysis of ESBL-positive *E. coli* isolates from across the United States (collected in 2000 to 2009), we defined the overall, by-center, and temporal prevalences of *bla*_{CTX-M-15} and ST131, identified associations of these two traits with one another and with virulence genotype and resistance profiles, and assessed the clonal structures of the populations. Our findings extend the results of a previous national survey (8) and offer novel insights into the basis for the striking epidemiological success of both *bla*_{CTX-M-15} and *E. coli* ST131 strains.

We found a marked predominance of both *bla*_{CTX-M-15} and ST131 among ESBL-producing *E. coli* isolates collected across the United States and a very strong (albeit incomplete) association of these two traits with one another. This confirms, in a very different study population, the findings of a previous, smaller, single-year na-

TABLE 4 Antimicrobial resistance phenotypes of 200 selected extended-spectrum- β -lactamase-producing *Escherichia coli* isolates (collected in 2000 to 2009) in relation to ST131 and *bla*_{CTX-M-15} status

Antimicrobial class	Specific agent ^a	No. (%) of isolates showing resistance to the indicated agent				P value ^b			
		ST131 ⁻ M15 ⁻ (group 1; n = 50)	ST131 ⁻ M15 ⁺ (group 2; n = 50)	ST131 ⁺ M15 ⁻ (group 3; n = 50)	ST131 ⁺ M15 ⁺ (group 4; n = 50)	Group 1 vs 2	Group 3 vs 4	Group 1 vs 3	Group 2 vs 4
β -Lactams	ATM	33 (66)	47 (94)	29 (58)	41 (82)	0.001	0.002		
	CAZ	35 (70)	47 (94)	34 (68)	39 (78)	0.003			0.04
	CRO	44 (88)	50 (100)	39 (78)	48 (96)	0.03	0.02		
	FEP	11 (22)	27 (54)	11 (22)	30 (60)	0.002	<0.001		
Quinolones	NA	36 (72)	49 (98)	43 (86)	50 (100)	<0.001		0.02	
	CIP	30 (60)	49 (98)	43 (86)	50 (100)	<0.001	0.01	0.006	
Aminoglycosides	CN	23 (46)	31 (62)	25 (50)	17 (34)				0.009
	SF	38 (76)	20 (40)	36 (72)	26 (52)	0.001			
Phenicol	C	23 (46)	14 (28)	8 (16)	2 (4)			0.002	0.002
Tetracyclines	TE	45 (90)	44 (88)	30 (60)	38 (76)			0.001	
Folate antagonists	SF	44 (88)	39 (78)	39 (78)	28 (56)		0.03		0.03
	W	36 (72)	39 (78)	32 (64)	23 (46)				0.002
	SXT	37 (74)	37 (74)	31 (62)	22 (44)				0.004

^a Agents shown are those that yielded $P < 0.05$ in an initial four-way comparison (not shown), plus at least one pairwise comparison (as shown). These included ATM (aztreonam), C (chloramphenicol), CAZ (ceftazidime), CIP (ciprofloxacin), CN (gentamicin), CRO (ceftriaxone), FEP (cefepime), NA (nalidixic acid), S (streptomycin), SF (sulfonamide), SXT (trimethoprim-sulfamethoxazole), TE (tetracycline), and W (trimethoprim). Agents to which resistance was detected in ≥ 1 isolate but without significant by-group prevalence differences (definition: overall prevalence) included AMK (amikacin: 10%), AML (amoxicillin-clavulanate: 69%), AMP (ampicillin: 100%), FOX (cefoxitin: 19%), F (nitrofurantoin: 8%), KF (cefazolin: 97%), PIP (piperacillin: 96%), and TZP (piperacillin-tazobactam: 5%). No resistance was detected to IMP (imipenem).

^b P values (by Fisher's exact test, two-tailed) are shown where $P < 0.05$.

tional survey (8). Notably, both *bla*_{CTX-M-15} and ST131 occurred at each of the 15 participating centers, representing evidence of wide-spread distribution, but with by-center prevalence differences suggesting possible geographical or host-group specificity.

A novel finding in the present study was that both *bla*_{CTX-M-15} and ST131 appeared to have emerged and expanded in distribution during the past decade, since they were both significantly more prevalent from 2004 onward. Likewise, both occurred among isolates from children and adults alike. The somewhat lower overall prevalences of *bla*_{CTX-M-15} and ST131 in the present study (57% and 47%, respectively), compared with the previous national survey (78% and 67%, respectively) (8), may reflect in part the present study's inclusion of "all-comer" and pediatric isolates from 2000 through 2009, compared with the previous survey's focus on blood isolates from adults from 2007.

Our findings provide insights into possible reasons for the striking epidemiological success of *bla*_{CTX-M-15} and ST131 and their association with one another. First, despite the obvious horizontal mobility of *bla*_{CTX-M-15} (as demonstrated by its occurrence in diverse phylogenetic backgrounds), *bla*_{CTX-M-15} showed evidence of clonal spread and expansion. That is, among the non-ST131 isolates, *bla*_{CTX-M-15} was concentrated within major phylogenetic groups A and D and within high-prevalence pulsotypes within those groups. Similarly, among ST131 isolates, *bla*_{CTX-M-15} was significantly associated with the highest-prevalence pulso-

types. To what degree *bla*_{CTX-M-15} has contributed directly to the expansion of these lineages, or is an opportunistic hitchhiker within intrinsically successful clones, warrants further study.

Second, *bla*_{CTX-M-15} was significantly associated with resistance to key first-line antimicrobials, notably β -lactams and fluoroquinolones, and (among non-ST131 isolates) with higher aggregate resistance scores. This predictably would favor expansion of *bla*_{CTX-M-15}-containing strains in preference to other ESBL-producing *E. coli* strains in the presence of selection pressure from the corresponding antimicrobials, which is abundant and likely increasing.

Third, the large molecularly inferred virulence advantage of ST131 over non-ST131 isolates (regardless of *bla*_{CTX-M-15} status) and, within ST131, the similar (albeit smaller) advantage of *bla*_{CTX-M-15}-positive over *bla*_{CTX-M-15}-negative isolates would tend to favor expansion of ST131 and, specifically, its *bla*_{CTX-M-15}-positive subset. The marked inferred virulence advantage of ST131 strains may more than compensate for their modest resistance disadvantage compared with other ESBL-producing *E. coli* strains. In this regard, the high prevalence of certain ST131 pulsotypes also may relate in part to differential virulence, since isolates from high-frequency pulsotypes were significantly more likely to qualify as ExPEC than were other ST131 isolates (92% versus 67%; $P = 0.0002$). These highly successful lineages appear to represent an extreme version of the combined threats of virulence and resistance (22).

Study limitations included the convenience sample (with its

TABLE 5 Aggregate virulence and antimicrobial resistance scores of 200 selected extended-spectrum- β -lactamase-producing *Escherichia coli* isolates (collected in 2000 to 2009) in relation to ST131 and *bla*_{CTX-M-15} status

Type of score	Score median (range)				P value ^a			
	ST131 ⁻ M15 ⁻ (group 1; n = 50)	ST131 ⁻ M15 ⁺ (group 2; n = 50)	ST131 ⁺ M15 ⁻ (group 3; n = 50)	ST131 ⁺ M15 ⁺ (group 4; n = 50)	Group 1 vs 2	Group 3 vs 4	Group 1 vs 3	Group 2 vs 4
Virulence	4 (0-18)	4 (1-10)	10 (2-13)	10 (6-14)		0.01	< 0.001	< 0.001
Resistance	13 (4-19)	15 (7-19)	12 (4-19)	13 (9-17)	0.04			0.003

^a P values (Mann-Whitney U test) are shown where $P < 0.05$.

attendant potential biases), the undefined clinical background of the isolates, and a lack of information regarding the identity of the non-CTX-M-15 ESBLs and non-ST131 clonal groups. Strengths included the large sample size relative to other studies of ESBL-positive *E. coli* strains from the United States, long study interval, broad geographic range, inclusion of pediatric and adult isolates, and extensive molecular characterization of the isolates.

In summary, in this large molecular-epidemiological analysis of ESBL-producing *E. coli* clinical isolates from the United States (collected in 2000 to 2009), we found a predominance of *bla*_{CTX-M-15} and ST131 strains, which were widely dispersed geographically, involved pediatric as well as adult patients, and increased significantly in prevalence during the study period. Both entities were strongly associated with one another and, especially in combination, with enhanced molecularly inferred virulence, extensive antimicrobial resistance, and decreased clonal diversity, suggesting recent clonal expansion and dissemination. These findings confirm the importance in the United States of the ST131 clonal group and its characteristic *bla*_{CTX-M-15} gene, suggest possible reasons for their emergence, and underscore the need for effective predictive and preventive measures.

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