



# Reduced Susceptibility to Carbapenems in a *Klebsiella pneumoniae* Clinical Isolate Producing SCO-1 and CTX-M-15 $\beta$ -Lactamases Together with OmpK35 and OmpK36 Porin Deficiency

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**KEYWORDS** ESBLs, *Klebsiella pneumoniae*, OmpK35, OmpK36, SCO-1, carbapenem resistance, porin deficiency

Extended-spectrum beta-lactamases (ESBLs) and carbapenemases are among the most important causes of resistance in *Enterobacteriales*, often leading to health care-associated infections that result in high morbidity and mortality (1–3). Resistance to carbapenems in particular may be the result of a number of mechanisms, including the production of serino- and/or metallo-beta-lactamases (mainly KPC, VIM, and NDM), but can also result from the production of ESBLs and/or AmpC  $\beta$ -lactamases in association with alterations in the expression of outer membrane porins (OMPs) (4–6).

Here, we describe a case of carbapenem resistance in a *Klebsiella pneumoniae* strain isolated from a 58-year-old male with a diagnosis of acute myeloid leukemia treated at the San Camillo-Forlanini Hospital in Rome, Italy. During his hospitalization, the patient developed a bloodstream infection caused by a carbapenem-resistant (CR) *K. pneumoniae* strain; immediately after the diagnosis, a combination therapy with ceftazidime-avibactam and amikacin was started, with prompt clinical improvement.

The strain was identified as *K. pneumoniae* by matrix-assisted laser desorption ionization–time of flight mass spectrometry (MALDI-TOF MS; bioMérieux, Marcy l'Étoile, France); antimicrobial susceptibility was obtained by Phoenix system (Becton, Dickinson Diagnostics, CA). MICs were interpreted according to the European Committee on Antimicrobial Susceptibility Testing (EUCAST) recommendations (7). MICs for tigecycline and for cefotaxime-clavulanic acid, imipenem-relebactam, and meropenem-vaborbactam were confirmed by MIC gradient strip (MIC test strip; Liofilchem, Roseto degli Abruzzi, Italy); MICs for colistin and ceftazidime-avibactam were confirmed by broth microdilution (Sensititre; Thermo Fisher Scientific, USA). The antimicrobial susceptibility profile showed that this carbapenem-resistant isolate was susceptible to beta-lactam–beta-lactamase inhibitor combinations (which is consistent with the successful treatment of the patient with ceftazidime-avibactam), as well as tigecycline, colistin, and amikacin (Table 1). Confirmatory phenotypic inhibition tests for detection of serino- and/or metallo-beta-lactamase production were performed using phenylboronic acid (PBA) and EDTA (Liofilchem), respectively. Despite a positive result for serino-beta-lactamase production, the rapid immunochromatographic assay (NG-test Carba; NG Biotech), carried out to confirm the presence of the most common carbapenemases (KPC, NDM, VIM, IMP, and OXA-48-like enzymes), provided a negative reaction, demonstrating the absence of KPC and OXA-48-like enzymes.

**Citation** Venditti C, Butera O, Proia A, Rigacci L, Mariani B, Parisi G, Messina F, Capone A, Nisii C, Di Caro A. 2020. Reduced susceptibility to carbapenems in a *Klebsiella pneumoniae* clinical isolate producing SCO-1 and CTX-M-15  $\beta$ -lactamases together with OmpK35 and OmpK36 porin deficiency. *Antimicrob Agents Chemother* 64:e00556-20. <https://doi.org/10.1128/AAC.00556-20>.

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**Accepted manuscript posted online** 18 May 2020

**Published** 22 July 2020

**TABLE 1** Phenotypic and molecular analysis of the *K. pneumoniae* clinical isolate described here<sup>a</sup>

Drug	MIC (mg/liter) and susceptibility
AMK	<4, S
CAZ	>8, R
CIP	>1, R
ETP	>32, R
MEM	12, R
IPM	8, R
GEN	>4, R
TZP	>64/4, R
SXT	>4/76, R
CST	0.5, S
TGC	1, S
CZA	2/4, S
CTL	1/4, S
I-R	0.75/4, S
MVB	1/4, S

<sup>a</sup>AMK, amikacin; CAZ, ceftazidime; CIP, ciprofloxacin; ETP, ertapenem; MEM, meropenem; IPM, imipenem; GEN, gentamicin; TZP, piperacillin-tazobactam; SXT, trimethoprim-sulfamethoxazole; CST, colistin; TGC, tigecycline; CZA, ceftazidime-avibactam; CTL, cefotaxime-clavulanic acid; I-R, imipenem-relebactam; MVB, meropenem-vaborbactam; S, susceptible; R, resistant.

To investigate further, whole-genome sequencing (WGS) was performed using the Ion Torrent GSS5 platform (Life Technologies, Carlsbad, CA) by constructing single-end libraries with average length of 200 bp according to the manufacturer's instructions. Antimicrobial resistance genes and plasmid replicons were extracted from the WGS data identified by the *in silico* analysis using the ResFinder v3.0 web server (<http://www.genomicepidemiology.org>) (8); clonality was analyzed by the traditional multilocus sequence typing (MLST) with seven housekeeping genes (<http://bigsd.b.pasteur.fr/klebsiella/klebsiella.html>). Bioinformatics analysis showed that the CR *K. pneumoniae* strain belonged to sequence type 15 (ST15) and harbored, in addition to *bla*<sub>OXA-1'</sub>, *bla*<sub>SHV-2B'</sub>, *bla*<sub>TEM-1'</sub>, and *bla*<sub>CTX-M-15</sub> beta-lactamase genes, also *bla*<sub>SCO-1</sub>. The following resistance-encoding genes were also present: *aac(3)-IIa* and *aadA1* for aminoglycosides; *fosA* for fosfomycin; *aac(6')-Ib-cr*, *oqxA*, *oqxB*, and *qnrB2* for quinolones; *catB3* for phenicol; *sul1* for sulfonamide; the *tet(D)* gene, encoding efflux pumps responsible for tetracycline resistance, and *dfrA15* for resistance to trimethoprim. The analysis performed by PlasmidFinder showed the presence of the incompatibility (Inc) group IncHI1B replicon pNDM-MAR (GenBank accession no. [JN420336](https://www.ncbi.nlm.nih.gov/nuccore/JN420336)), with 100% identity. This plasmid had been previously isolated from a clinical *K. pneumoniae* ST15 isolate harboring *bla*<sub>NDM-1</sub> and *bla*<sub>CTX-M-15</sub> (9). Although we did not perform an in-depth plasmid sequence analysis, the fact that these repHI1B plasmid sequences (GenBank accession no. [CP037442.1](https://www.ncbi.nlm.nih.gov/nuccore/CP037442.1) and [CP042866](https://www.ncbi.nlm.nih.gov/nuccore/CP042866)), harboring the *bla*<sub>SCO-1</sub> and *bla*<sub>TEM-1</sub> genes, have been found in *K. pneumoniae* and *Escherichia coli* supports the hypothesis that also in our *K. pneumoniae* the identified repHI1B plasmid could harbor both resistance genes.

The major OMP genes, OmpK35 and OmpK36, were analyzed by PCR to investigate membrane permeability deficiency; the amplification products of the genes were sequenced and analyzed using the NCBI BLAST program (<https://www.ncbi.nlm.nih.gov/BLAST>). The results showed a clear genetic disruption for both of the *ompK35* and *ompK36* genes; further analysis revealed a nonfunctional *ompK35* gene and loss of OmpK36.

The combination of a membrane permeability deficiency (caused by a nonfunctional *ompK35* gene and the loss of *ompK36*) with beta-lactamase enzyme carriage has already been shown to reduce susceptibility to carbapenems in *Enterobacterales*, especially in *K. pneumoniae* (5, 10). The product of the *bla*<sub>SCO-1</sub> gene, in particular, is a plasmid-mediated class A carbenicillinase of unknown origin (11–13) able to hydrolyze not only penicillins but also, to a lesser degree, cephalosporins and carbapenems. Since its discovery in 2007 (12), the *bla*<sub>SCO-1</sub> gene (GenBank accession no. [EF063111](https://www.ncbi.nlm.nih.gov/nuccore/EF063111)) has been

identified (out of the 31,614 whole-genome sequences present in the BLAST database) in *Acinetobacter baumannii*, *Escherichia coli*, *Serratia marcescens*, *Klebsiella aerogenes*, *Salmonella enterica*, and only four *K. pneumoniae* isolates (11–17). It is likely that the real prevalence of the enzyme in Gram-negative bacteria is largely underestimated due to the lack of epidemiological studies and because it is not part of any routine screening for resistance genes. While the increased carbapenem MICs observed in our study may well be the result of “classical” ESBL enzymes in association with porin deficiency, as already described (4–6, 10), the finding of the *bla*<sub>SCO-1</sub> gene highlights the risk of transmission also of uncommon plasmid-borne resistance genes. Although the reporting of such events is still low, their occurrence could be more frequent than thought and deserves careful monitoring. The potential spread of clinical strains expressing unusual ESBLs associated with OMP deficiency could represent a problem for carbapenem-resistant *Enterobacteriaceae* (CRE) surveillance schemes. Many multiplex-based molecular screening assays for the most common resistance genes are becoming commercially available, and in an era of ever-increasing workloads and attention to budget, laboratorians could be tempted to screen rectal swabs by molecular methods only, performing cultures only on positive samples. If such a procedure were employed, a strain like the one described here would be missed.

In conclusion, we have described the occurrence of a CR *K. pneumoniae* strain expressing an unusual ESBL enzyme, leading to bacteremia in an immunosuppressed patient. Routine diagnostic tests were unable to explain this carbapenem resistance, apart from a positive result for serino-beta-lactamase production, while a deeper molecular characterization using WGS allowed a more comprehensive picture of antimicrobial resistance. Our results are a reminder, if needed, of the importance of relying on molecular or screening tests always in combination with MIC-based susceptibility testing and phenotypic tests, at least for critical samples screened for hospital surveillance.

**Data availability.** Generated raw reads were submitted to the Sequence Read Archive (SRA) under BioProject accession number [PRJNA612823](https://www.ncbi.nlm.nih.gov/bioproject/PRJNA612823) and SRA number [SRX7915095](https://www.ncbi.nlm.nih.gov/sra/SRX7915095).

## ACKNOWLEDGMENTS

This work was supported by Ricerca corrente and 5X1.000 research funds from the Italian Ministry of Health.

We have no conflicts of interest to declare.

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