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Version 2

**Phase 2a Study of the CCR5 Monoclonal Antibody PRO 140 Administered  
Intravenously to HIV-infected Adults**

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Running Title: Phase 2a study of IV PRO 140 in HIV

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21 **ABSTRACT**

22 The anti-CCR5 antibody PRO 140 has shown potent and prolonged antiretroviral activity in subjects  
23 infected with CCR5-tropic (R5) HIV-1. Prior studies have examined single intravenous doses ranging  
24 to 5 mg/kg or up to three subcutaneous doses ranging to 324 mg. Here we report results of a  
25 randomized, double-blind, placebo-controlled trial that examined the antiviral activity, tolerability and  
26 pharmacokinetics of single 5 mg/kg and 10 mg/kg intravenous infusions of PRO 140 in 31 treated  
27 subjects. Eligibility criteria included HIV-1 RNA >5,000 copies/mL, CD4<sup>+</sup> cells >300/μL, no  
28 antiretroviral therapy for ≥12 weeks, and only R5 HIV-1 detectable in the original Trofile assay.  
29 Following post-study testing with an enhanced-sensitivity Trofile assay, one 10 mg/kg subject was  
30 reclassified as having dual/mixed-tropic virus at screening and was censored from efficacy analyses.  
31 The mean maximum reduction from baseline HIV-1 RNA was 1.8 log<sub>10</sub> for both 5 mg/kg and 10  
32 mg/kg doses ( $P<0.0001$  relative to placebo). Viral loads nadired at Day 12 post-treatment and  
33 remained significantly ( $P<0.01$ ) reduced through Day 29 for both PRO 140 dose groups. Treatment  
34 was generally well tolerated with no dose-limiting toxicity observed. Peak serum concentrations and  
35 overall exposures increased proportionally with dose. In summary, single 5 mg/kg and 10 mg/kg  
36 doses of PRO 140 exhibited potent, long-lived antiviral activity and were generally well tolerated.  
37 The findings further delineate the safety and antiviral properties of this novel, long-acting  
38 antiretroviral agent.

39 **INTRODUCTION**

40           The chemokine receptor CCR5 plays a physiological role in the activation and migration of T  
41 cells and other leukocytes. CCR5 also binds the HIV-1 envelope glycoprotein gp120 and serves as a  
42 co-receptor for HIV-1 entry into CD4<sup>+</sup> cells (11). Certain strains of HIV-1 can use the chemokine  
43 receptor CXCR4, either exclusively (X4 viruses) or in addition to CCR5 (R5X4 or dual-tropic  
44 viruses). Viruses that use CCR5 exclusively (R5 viruses) are the only strains detected in most  
45 individuals during the initial to middle stages of disease. CXCR4-using virus can be detected in an  
46 increasing percentage of individuals as disease progresses (1,2,14,24). A small-molecule CCR5  
47 antagonist (maraviroc; Pfizer/ViiV Healthcare) has been approved by the U.S. Food and Drug  
48 Administration (FDA) for use in patients with only R5 virus detectable (5) and serves to validate  
49 CCR5 as a target for new HIV-1 therapies.

50           PRO 140 is a humanized monoclonal antibody that binds CCR5 and potently inhibits R5 but  
51 not CXCR4-using viruses in laboratory studies (15,22). PRO 140 or its murine counterpart shows  
52 synergy and limited cross-resistance with small-molecule CCR5 antagonists in vitro (9,13,15). Both  
53 intravenous and subcutaneous (SC) forms of PRO 140 have been previously evaluated in short-term  
54 monotherapy studies in HIV-1 subjects with only R5 virus detectable. Both dosage forms of PRO 140  
55 were generally well tolerated relative to placebo and demonstrated potent, prolonged, and dose-  
56 dependent antiretroviral activity (6,7).

57           In a prior study, intravenous PRO 140 was evaluated as single doses of 0.5 mg/kg, 2 mg/kg or  
58 5 mg/kg. Antiviral effects increased in a dose-dependent manner, with a 1.83 log<sub>10</sub> mean reduction in  
59 HIV-1 RNA observed at 5 mg/kg. Based on these findings, the present study was conducted to  
60 evaluate single 5 mg/kg and 10 mg/kg intravenous doses for antiviral effects, tolerability and  
61 pharmacokinetics (PK) in HIV-infected individuals with R5 virus.

62

63 **MATERIALS AND METHODS**

64 **Study design.** A randomized, double-blind, placebo-controlled, parallel-group study was  
65 conducted in HIV-infected adults. Subjects (approximately 30 planned) were randomized 1:1:1 to  
66 receive a single intravenous infusion of placebo, 5 mg/kg PRO 140 or 10 mg/kg PRO 140. The  
67 protocol was approved by the institutional review board at each site. All subjects provided written  
68 informed consent. Eligibility criteria included age  $\geq 18$  years, plasma HIV-1 RNA  $\geq 5,000$  copies/mL,  
69 CD4<sup>+</sup> lymphocytes  $\geq 300/\mu\text{L}$  with no documented count  $\leq 250/\mu\text{L}$ , no antiretroviral therapy for  $\geq 12$   
70 weeks, no history of acquired immunodeficiency syndrome-defining illness and only R5 HIV-1  
71 detectable in the original Trofile assay (Monogram Biosciences, Inc.) (23). PRO 140 was provided at  
72 a concentration of 10 mg/mL in a sterile phosphate-buffered solution. Placebo was a matched, sterile,  
73 buffer solution without PRO 140. Study drug was administered over 30 minutes. Subjects were  
74 followed for 58 days post-treatment.

75 **Virological evaluations.** The Amplicor HIV-1 Monitor Test (version 1.5; Roche  
76 Diagnostics) was used to measure plasma levels of HIV-1 RNA at screening, baseline (pre-dose on  
77 Day 1) and Days 3, 5, 8, 10, 12, 15, 22, 29, 43, and 59. Samples  $< 400$  copies/mL were re-analyzed  
78 with the ultrasensitive specimen processing procedure. Co-receptor tropism was determined at  
79 screening for all subjects and after viral rebound for PRO 140-treated subjects using the original  
80 Trofile assay (23) given that the enhanced assay was not yet available. Tropism data were reported as  
81 R5 if only CCR5 use was observed, X4 if only CXCR4 use was observed, or dual/mixed if use of both  
82 CCR5 and CXCR4 was observed in the assay. When it became available post-study, a version of  
83 Trofile with enhanced sensitivity in detecting CXCR4-using virus (17) was used in post-study  
84 analyses. Blood samples for viral susceptibility analyses were collected on Days 1 (pre-dose), 15, 29  
85 and 59. Viral susceptibility to PRO 140 was determined for all subjects on Day 1 and after viral  
86 rebound for PRO 140-treated subjects using the Phenosense Entry assay (Monogram Biosciences,  
87 Inc.) (6). Susceptibility data were reported as Fold Change values, defined as  $(\text{EC}_{50} \text{ for test}$   
88  $\text{isolate}/\text{EC}_{50} \text{ for reference isolate})$ , where  $\text{EC}_{50}$  is the concentration required for 50% inhibition.

89           **Safety assessments.** Vital signs, concomitant medications, and adverse events were recorded  
90 during screening and on Days 1, 2, 3, 5, 8, 10, 12, 15, 22, 29, 43 and 59. Physical examinations and  
91 laboratory safety tests (serum chemistries, hematology and urinalysis) were performed during  
92 screening and on Days 1, 8, 15, 29 and 59. Twelve-lead electrocardiograms were obtained during  
93 screening and on Days 1, 5, 15 and 59.

94           **Bioanalytical methods.** Serum concentrations of PRO 140 were determined by ELISA as  
95 previously described (6). The assay range was 80 to 5,000 ng/mL. The percent coefficients of  
96 variation were 13% and 19% at the low and high concentrations, respectively. Antibodies to PRO 140  
97 were measured by ELISA as described elsewhere (6). Sera with detectable levels of anti-PRO 140  
98 antibodies were tested for neutralizing activity according to a published method (7). Serum for PK  
99 analysis was obtained at 0h (pre-dose), 0.5h, 1h, 3h, 6h, 24h, 32h, 48h, 56h and 96h post-treatment  
100 during the first week and then on Days 8, 10, 12, 15, and 22. Serum for detecting anti-PRO 140  
101 antibodies was obtained on Days 1 (pre-dose), 8, 15, 29 and 59. CD4<sup>+</sup> lymphocytes and CCR5  
102 receptor occupancy were measured as described (7) on samples collected on Days 1 (pre-dose), 3, 8,  
103 12, 15, 22, 29, 43 and 59.

104           **PK and pharmacodynamic analyses.** PK metrics were estimated after non-compartmental  
105 analysis using WinNonlin software (version 5.2; Pharsight). PK metrics included the maximum  
106 observed serum concentration ( $C_{max}$ ), area under the concentration-time curve extrapolated to infinity  
107 ( $AUC_{\infty}$ ), clearance, mean residence time (MRT), volume of distribution following a single dose ( $V_d$ ),  
108 and terminal serum half-life ( $T_{1/2}$ ).  $T_{1/2}$  was estimated by linear regression of the log-transformed  
109 concentration data as a function of time during the terminal phase of the decay curve. PK metrics  
110 were calculated for individual subjects and then summarized by dose cohort. Antiviral and PK data  
111 were fit to an  $E_{max}$  equation using WinNonlin:

$$112 \qquad E = E_{max} \times AUC / (AUC + AUC_{50}),$$

113 where E is the  $\log_{10}$  change in HIV-1 RNA level,  $E_{max}$  is the maximum predicted change in HIV-1  
114 RNA, AUC is the area under the concentration-time curve, and  $AUC_{50}$  is the AUC required to achieve

115 50% of  $E_{\max}$ .  $AUC_{\infty}$  values and nadir  $\log_{10}$  HIV-1 RNA changes for individual subjects were used in  
116 the model. Modeling was performed using data from subjects treated in the present study and in a  
117 prior study of single intravenous doses of PRO 140 (6).

118 **Statistical methods.** All subjects who received study drug were included in the safety  
119 evaluations. The primary efficacy variable was the maximum change in viral load at any time  
120 following treatment. Efficacy analyses were performed on  $\log_{10}$  transformed HIV-1 RNA data, and  
121 changes were calculated relative to baseline (Day 1, pre-dose). Treatment and placebo groups were  
122 compared using an analysis of variance model and using pairwise t-tests as described previously (6).  
123 Fisher's exact tests were used to compare treatment groups with placebo for the percentage of subjects  
124 with a  $\geq 1 \log_{10}$  or a  $\geq 2 \log_{10}$  reduction in HIV-1 RNA from baseline at any time post-treatment.  
125 Results are reported for 2-sided tests.

126 **RESULTS**

127 **Subject characteristics and disposition.** A total of 115 subjects were screened, of which 35  
128 were randomized and 31 were treated with study drug. All 31 treated subjects completed the study.  
129 Table 1 summarizes demographic and other characteristics of the treated subjects, which comprised 29  
130 males and 10 non-white individuals. At screening, treated subjects had a median age of 42.7 years,  
131 CD4<sup>+</sup> cell count of 382 cells/ $\mu$ L and plasma HIV-1 RNA of 33,100 copies/mL. These characteristics  
132 were similar for the different treatment groups. Twelve subjects reported at least one historical  
133 antiretroviral therapy. Genotypic resistance to existing antiretroviral drugs was limited to single-class  
134 resistance in six subjects and two-class resistance in one subject. Viruses from all subjects were  
135 genotyped as subtype B.

136 **Antiviral effects.** Both dose levels (5 mg/kg and 10 mg/kg) of PRO 140 demonstrated  
137 potent, rapid and prolonged antiviral effects that were highly statistically significant relative to placebo  
138 (Table 2). All PRO 140-treated subjects experienced a  $\geq 1 \log_{10}$  reduction in HIV-1 RNA except for  
139 one 10 mg/kg treated subject, who experienced a minimal ( $< 0.5 \log_{10}$ ) decrease in viral load. This  
140 subject had dual/mixed virus detected in the original Trofile assay at Day 15. A post-study analysis  
141 using the enhanced-sensitivity Trofile assay determined that this subject had dual/mixed virus at  
142 screening. This subject, therefore, was censored from the efficacy analyses described in this report.

143 The mean maximum reduction from baseline viral load was  $1.83 \log_{10}$  for each of the PRO  
144 140 dose groups. The reductions are statistically significant ( $P < 0.0001$ ) relative to the  $0.32 \log_{10}$  mean  
145 reduction observed for placebo. The corresponding median reductions are 0.23, 1.84 and  $2.09 \log_{10}$   
146 for the placebo, 5 mg/kg and 10 mg/kg dose groups, respectively. The mean and median maximum  
147 reductions for the 10 mg/kg group are  $1.67 \log_{10}$  and  $1.82 \log_{10}$  if data for the censored subject are  
148 included ( $P < 0.0001$  relative to placebo). Individual viral nadirs were observed on Day 10 (5 subjects)  
149 or Day 12 (5 subjects) for subjects treated with 5 mg/kg PRO 140 and on Day 12 (5 subjects), Day 15  
150 (3 subjects) or Day 22 (1 subject) for subjects in the 10 mg/kg group.

151 Similar mean  $\log_{10}$  decreases in viral load were observed for the 5 mg/kg and 10 mg/kg dose  
152 groups through Day 12, when the nadir reduction was observed in each group (Fig 1). Thereafter,  
153 mean viral loads rebounded somewhat more slowly for the 10 mg/kg dose group; however, the  
154 differences between the 5 mg/kg and 10 mg/kg dose groups were not statistically significant at any  
155 time point ( $P>0.1$ ).

156 As noted above, all PRO 140-treated subjects experienced a  $\geq 1 \log_{10}$  decrease in HIV-1 RNA  
157 post-treatment with the exception of the individual who was reclassified as having dual/mixed virus  
158 prior to treatment. No placebo subject experienced a  $\geq 1 \log_{10}$  decline in viral load during the study  
159 (Table 2). Two 5 mg/kg subjects and five 10 mg/kg subjects ( $P<0.01$  relative to placebo) experienced  
160  $\geq 2 \log_{10}$  decreases in viral load. Five subjects treated with 5 mg/kg PRO 140 ( $P=0.012$  relative to  
161 placebo) and two treated with 10 mg/kg had viral loads reduced to  $<400$  copies/mL, but no placebo  
162 subject did. One subject in the 10 mg/kg group had a viral load of 50 copies/mL on Days 10 and 12.

163 **Co-receptor tropism and viral susceptibility to PRO 140 *in vitro*.** Tropism was assessed at  
164 screening and at the time of viral rebound in PRO 140-treated subjects. As noted above, one subject in  
165 the 10 mg/kg group was observed to have dual/mixed virus at Day 15. This subject was later  
166 reclassified as having dual/mixed virus at screening based on data generated post-study using the  
167 enhanced-sensitivity Trofile assay. All other PRO 140-treated subjects maintained R5 co-receptor  
168 tropism following treatment.

169 Viral susceptibility to PRO 140 was measured in the PhenoSense Entry assay prior to  
170 treatment in all subjects and at the time of viral rebound in PRO 140-treated subjects. In the R5  
171 Phenosense Entry assay, which examined CCR5-mediated viral entry into U87-CD4-CCR5 cells, PRO  
172 140 inhibited all study viruses tested. Prior to treatment, the mean Fold Change was 1.7 (range 0.77 to  
173 3.1), Based on the ratio of the Fold Change value at the time of viral rebound to the value prior to  
174 treatment, no appreciable change in R5 virus susceptibility was observed in PRO 140-treated subjects  
175 (median ratio = 0.83, range 0.49 to 1.71). Three-fold or lower differences in Fold Change are  
176 considered to be within the normal range of interassay variation (3,8). The maximum percent



177 inhibition was  $\geq 98\%$  in all cases prior to treatment and was  $\geq 99\%$  in all cases following treatment.  
178 CXCR4-mediated entry of dual/mixed viruses into U87-CD4-CXCR4 cells was not inhibited by PRO  
179 140, as expected.

180 **Safety.** No serious adverse events or dose-limiting toxicities were reported. All eleven  
181 placebo subjects and 26 of 31 subjects overall reported at least one adverse event (AE). AEs reported  
182 in more than two subjects were headache in one 5 mg/kg subject and two 10 mg/kg subjects, nasal  
183 congestion in two placebo subjects and one 10 mg/kg subject, and pruritus in three placebo subjects.  
184 No obvious dose-related trend in the incidence of AEs was observed. There was no clinically relevant  
185 change in any electrocardiogram parameter, including QTc intervals, associated with administration of  
186 PRO 140 or placebo. There were no notable findings in clinical laboratory hematology or chemistry  
187 assessments or in vital-sign measurements.

188 **Pharmacokinetics and pharmacodynamics.** Mean serum concentrations of PRO 140 over  
189 time are illustrated by dose group in Fig. 2A, and PK metrics are listed in Table 3.  $C_{\max}$  was reached  
190 within 2h for both PRO 140 dose groups. The mean  $C_{\max}$  values were  $109 \pm 31$   $\mu\text{g/mL}$  and  $211 \pm 57$   
191  $\mu\text{g/mL}$  for the 5 and 10 mg/kg dose groups, respectively. The area under the PRO 140 concentration-  
192 time curve from time zero (dosing) to infinity ( $AUC_{\infty}$ ) also increased in approximate proportion with  
193 dose, from  $224 \pm 60$   $\mu\text{g} \times \text{day/mL}$  at the 5 mg/kg dose to  $423 \pm 150$   $\mu\text{g} \times \text{day/mL}$  at 10 mg/kg. The  
194 corresponding mean terminal half-lives were  $3.13 \pm 1.30$  days and  $3.33 \pm 0.70$  days. Clearance  
195 ( $1.97 \pm 0.61$  and  $2.36 \pm 1.85$  L/day), mean residence time ( $2.76 \pm 0.84$  and  $3.15 \pm 0.39$  days) and volume of  
196 distribution ( $9.17 \pm 5.52$  and  $10.8 \pm 6.5$  L) were similar for the 5 and 10 mg/kg dose groups, respectively.

197 The relationship between viral load reductions and PRO 140 exposure was modeled using a  
198 hyperbolic  $E_{\max}$  equation. Combined data from the present study and a prior study of single-dose IV  
199 PRO 140 (6) were used in the analysis (Fig. 2B). The best-fit parameters for the combined data ( $E_{\max}$   
200 =  $-2.06 \pm 0.12 \log_{10}$  and  $AUC_{50} = 34.1 \pm 9.7$   $\text{mg} \times \text{day/L}$ ) are similar to those reported previously for data  
201 from the prior study only ( $E_{\max} = -2.14 \pm 0.22 \log_{10}$  and  $AUC_{50} = 43.6 \pm 15.6$   $\text{mg} \times \text{day/L}$ ) (6).

202           Antibodies to PRO 140 were detected in two subjects in each of the PRO 140 dose groups.  
203   Antibodies were first detected on Day 15 (n=1), Day 29 (n=2) or Day 59 (n=1). In all cases, the anti-  
204   PRO 140 antibodies were of low titer (1:32 or less) and did not neutralize binding of PRO 140 to  
205   CCR5<sup>+</sup> cells in vitro. The anti-PRO 140 antibodies did not have any apparent effect on PK or viral  
206   load reductions.

207           **Lymphocyte and receptor occupancy analyses.** Changes in CD4<sup>+</sup> lymphocyte counts  
208   following treatment with PRO 140 were not statistically significant. For the combined PRO 140 dose  
209   groups, the median (range) change in CD4<sup>+</sup> lymphocyte counts was +1 (-225 to +407), +111 (-204 to  
210   +286), +57 (-198 to +386) and +18 (-362 to +370) cells/ $\mu$ L at Days 8, 12, 15 and 22, respectively.  
211   The corresponding values for the placebo group were +24 (-250 to +279), +38.5 (-399 to +145), +45  
212   (-117 to +339) and +82 (-173 to +291) cells/ $\mu$ L at these time points.

213           Receptor occupancy was assessed by flow cytometry using fluorescently labeled PRO 140.  
214   Occupancy of CCR5 by study drug results in a reduction of the number of lymphocytes with  
215   detectable levels of free CCR5. High levels of receptor occupancy (>85% reduction in the number of  
216   cells detected) were observed from Day 3 through Day 29 for both PRO 140 dose groups (Fig. 3). The  
217   results were statistically significant ( $P<0.01$  relative to placebo) throughout this time period.  
218   Significant receptor occupancy (81%,  $P<0.01$ ) was also observed at Day 43 for the 10 mg/kg group.  
219   At Day 59, receptor occupancy levels were not statistically significant for either PRO 140 dose group  
220   relative to placebo ( $P>0.05$ ). Lymphocytes were analyzed in parallel with a non-competing  
221   fluorescently labeled CCR5 antibody as previously described (6), and this analysis demonstrated that  
222   CCR5<sup>+</sup> lymphocytes were not depleted from the circulation following treatment (data not shown).

223  
224

225 **DISCUSSION**

226 In this study, PRO 140 demonstrated potent, rapid and prolonged antiretroviral activity when  
227 administered as single 5 mg/kg or 10 mg/kg intravenous infusions to individuals with CCR5-tropic  
228 HIV-1. The mean maximum decrease in viral load was 1.8 log<sub>10</sub> at each dose level, and this value  
229 compares favorably to the reductions observed in prior studies of PRO 140 (6,7) and of small-  
230 molecule CCR5 antagonists (4,10,16,19). Overall, single doses of 5 mg/kg or 10 mg/kg were  
231 generally well tolerated when administered as short-term monotherapy. Notably, we observed that 10  
232 mg/kg, the highest dose tested to date, did not demonstrate any dose-dependent pattern of adverse  
233 events relative to placebo or to the 5 mg/kg dose. The present study adds to our understanding of the  
234 pharmacologic, pharmacokinetic and safety profiles of this agent.

235 There was a striking consistency in antiviral effects observed in the present study and a prior  
236 study of intravenous PRO 140 (6). Remarkably, the mean maximum reduction in HIV-1 RNA was 1.8  
237 log<sub>10</sub> for doses of 5 mg/kg or higher in each study. The consistency of outcomes underscores the  
238 robustness of the single-dose activity observed for intravenous PRO 140. The median reduction in  
239 viral load was slightly higher in the 10 mg/kg group, and more 10 mg/kg subjects achieved a  $\geq 2$  log<sub>10</sub>  
240 reduction in HIV-1 RNA. In addition, there was a trend toward more prolonged antiviral effects at 10  
241 mg/kg. Overall, the findings indicate that doubling the dose from 5 mg/kg to 10 mg/kg resulted in  
242 modestly greater single-dose antiviral effects.

243 The original Trofile assay was used to determine co-receptor tropism for enrollment into the  
244 study. The original assay was validated to have 100% sensitivity in detecting CXCR4-using viruses  
245 when present at 10% or more of a virus population (23). The original Trofile assay has since been  
246 replaced with an enhanced-sensitivity assay that was validated to have 100% sensitivity in detecting  
247 0.3% CXCR4-using viruses in a virus population (17). The enhanced-sensitivity assay is the method  
248 currently used in clinical practice. One subject enrolled into the 10 mg/kg group based on R5 tropism  
249 in the original Trofile assay was found to have dual/mixed virus two weeks post-treatment. This  
250 subject was later reclassified using the enhanced-sensitivity assay as having dual/mixed virus at

251 screening, and was censored from the efficacy analysis. Similar approaches have been adopted in  
252 efficacy analyses of other studies of CCR5 co-receptor antagonists (18,21), consistent with the view  
253 that the enhanced-sensitivity Trofile assay provides an improved method of identifying candidates for  
254 therapy with CCR5 co-receptor antagonists. Similar censoring of subjects treated in the MERIT study  
255 of maraviroc (18) was performed for efficacy analyses that supported FDA approval of this agent's use  
256 in antiretroviral treatment-naïve patients.

257         Compared with 5 mg/kg, the 10 mg/kg dose of PRO 140 resulted in proportionally higher  
258 peak ( $C_{max}$ ) and overall ( $AUC_{0-\infty}$ ) exposures to PRO 140. The higher drug exposures attained at 10  
259 mg/kg were not associated with any obvious toxicity or pattern of toxicity. The maximum tolerated  
260 dose of IV PRO 140 has not been determined. The 10 mg/kg IV dose resulted in peak serum  
261 concentrations that are 15-fold higher on average than those observed following SC dosing (7),  
262 suggesting a sizeable margin of safety for SC PRO 140.

263         All pre-treatment viruses were susceptible to inhibition by PRO 140 *in vitro*. The  
264 concentrations required for 50% inhibition varied by <5-fold across the panel of 31 viruses, and all  
265 viruses were efficiently inhibited (98-100%) at higher concentrations. With the exception of the one  
266 10 mg/kg subject who was reclassified as having dual/mixed virus at screening, there was no change  
267 in co-receptor tropism or emergence of PRO 140-resistant virus during the course of this study. The  
268 results support the view that PRO 140 broadly inhibits R5 HIV-1 with a high barrier to resistance.

269         High levels of receptor occupancy were observed following treatment with either 5 mg/kg or  
270 10 mg/kg PRO 140. Statistically significant levels of receptor occupancy preceded significant  
271 reductions in viral load by at least two days. This result is concordant with the dynamics of inhibiting  
272 HIV-1 entry and with the half-life of virus-producing T cells (12). Receptor occupancy values also  
273 appeared to rebound later than viral loads. This apparent discordance could reflect issues related to  
274 assay sensitivity and sampling. Given the modest numbers of CCR5<sup>+</sup> lymphocytes at baseline (~20  
275 cells/ $\mu$ L on average), the assay had limited ability to determine mean receptor occupancy levels above  
276 90%. Therefore, the times of maximum receptor occupancy and of initial rebound in receptor

277 occupancy levels could not be determined precisely. In addition, receptor occupancy is measured on  
278 cells in the periphery, whereas HIV replication occurs primarily within tissues (20). PRO 140  
279 concentrations and levels of receptor occupancy may differ at local sites of HIV-1 replication. As with  
280 viral load reductions, the duration of receptor occupancy was modestly greater at 10 mg/kg relative to  
281 5 mg/kg, consistent with the higher serum concentrations of drug achieved at the higher dose.

282 To date, eighty-four HIV-infected individuals have been treated with IV or SC forms of PRO  
283 140 in three short-term monotherapy studies (6,7). In each study, 1.5-2.0 log<sub>10</sub> mean reductions in  
284 HIV-1 RNA were observed at the higher dose levels. The viral load reductions were long-lived and  
285 highly statistically significant. No dose-limiting toxicity or pattern of toxicity was identified in these  
286 studies. In addition, no emergence of R5 viral resistance was observed even though >1 log<sub>10</sub>  
287 reductions in viral load were observed for up to 6 weeks in some subjects.

288 In the present study, the duration of antiviral activity increased somewhat as the IV dose was  
289 increased from 5 mg/kg to 10 mg/kg. However, neither IV dose would appear to support highly  
290 infrequent (*e.g.*, monthly) administration, and E<sub>max</sub> analysis indicated that further increases in IV dose  
291 would result in incremental increases in antiviral effects. In a study of SC PRO 140, significant  
292 antiviral effects were observed when the drug was administered weekly or every other week, and  
293 virologic suppression was maintained between successive doses (7). While both IV and SC dosage  
294 forms have demonstrated favorable antiviral and tolerability profiles, SC PRO 140 was selected for  
295 further development based on its potential to be self-administered by patients. Self-administration  
296 may offer greater convenience for many patients. Nevertheless, the SC dosage form is undergoing  
297 clinical study, and IV administration may be preferred in certain treatment settings.

298 In summary, single intravenous infusions of 5 mg/kg and 10 mg/kg PRO 140 demonstrated  
299 potent, long-lived antiretroviral activity and a favorable tolerability profile in this study. The findings  
300 provide new insights into the safety and virological properties of this agent, which represents a novel  
301 and long-acting approach to treating R5 HIV-1 infection.

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309

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416 Table 1. Demographic and baseline characteristics

Characteristic	Placebo (n=11)	5 mg/kg PRO 140 (n=10)	10 mg/kg PRO 140 (n=10)	All Subjects (n=31)
Age, years	40.2 (22.3 - 56.6)	44.7 (28.0 - 55.9)	45.3 (25.9 - 57.2)	42.7 (22.3 - 57.2)
Sex, male/female (n)	9/2	10/0	10/0	29/2
Race, black/white/other (n)	4/7/0	2/8/0	3/6/1	9/21/1
Weight, kg	82.4 (65.7 - 101.5)	79.1 (62.2 - 126.0)	82.3 (67.5 - 95.0)	81.4 (62.2 - 126.0)
CD4 <sup>+</sup> cell count, cells/ $\mu$ L	414.5 (316 - 738)	389 (321 - 519)	368 (264 - 595)	382 (264 - 738)
HIV-1 RNA, log <sub>10</sub> copies/mL	4.52 (3.76 - 5.12)	4.58 (3.88 - 4.75)	4.63 (3.79 - 5.53)	4.52 (3.76 - 5.53)

**NOTE:** Data are median (range) values unless otherwise indicated. Data were collected during screening.

418 **Table 2. Change in HIV-1 RNA**

<b>Effect</b>	<b>Placebo</b>	<b>5 mg/kg PRO 140</b>	<b>10 mg/kg PRO 140</b>
Maximum log <sub>10</sub> change in HIV-1 RNA	-0.32±0.24	-1.83±0.23 ( <i>P</i> <0.0001)	-1.83±0.41 ( <i>P</i> <0.0001)
Day 12 log <sub>10</sub> change in HIV-1 RNA	0.02±0.18	-1.69±0.36 ( <i>P</i> <0.0001)	-1.73±0.37 ( <i>P</i> <0.0001)
Number of subjects with a ≥1 log <sub>10</sub> decrease in HIV-1 RNA (%)	0/11 (0%)	10/10 (100%) ( <i>P</i> <0.0001)	9/9 (100%) ( <i>P</i> <0.0001)
Number of subjects with a ≥2 log <sub>10</sub> decrease in HIV-1 RNA (%)	0/11 (0%)	2/10 (20%)	5/9 (56%) ( <i>P</i> <0.01)

419 **NOTE:** Data are mean ± SD values unless otherwise indicated. The analysis excludes data for one 10  
 420 mg/kg PRO 140 subject who was reclassified as having dual/mixed virus at screening.

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425 **Table 3. Pharmacokinetic parameters**

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Dose, mg/kg	C <sub>max</sub> , µg/mL	AUC <sub>∞</sub> , µg*day/mL	T <sub>1/2</sub> , days	CL, mL/day/kg	MRT, days	V <sub>d</sub> , L
5	109±31	224±60	3.13±1.30	1.97±0.61	2.76±0.84	9.17±5.52
10	211±57	423±150	3.33±0.70	2.36±1.85	3.15±0.39	10.8±6.5

427 **NOTE:** Data represent arithmetic means ± standard deviations

428 **Figure 1. Mean  $\log_{10}$  change in plasma levels of HIV-1 RNA over time by treatment group.**  
429 *P*<0.0001 for each PRO 140 group relative to placebo at all timepoints from Day 5 through Day 15.  
430 *P*<0.001 and *P*<0.01 for each PRO 140 group relative to placebo at Day 22 and Day 29, respectively.  
431 The analysis excludes data for one subject in the 10 mg/kg group who was reclassified as having  
432 dual/mixed virus at screening. Subjects received a single infusion of study drug on Day 1 as indicated  
433 by the arrow. Data reflect mean values and one standard deviation.



434 **Figure 2. Pharmacokinetics and  $E_{\max}$  analysis.** (A) Arithmetic mean serum concentrations of PRO  
435 140 over time are shown by treatment group. Error bars depict standard deviations. (B)  $E_{\max}$  analysis.  
436 The maximum  $\log_{10}$  changes in HIV-1 RNA are plotted against  $AUC_{\infty}$  for subjects treated with single  
437 intravenous infusions of PRO 140 in the present study and a prior study (6). Data were fit to an  $E_{\max}$   
438 equation:  $E = E_{\max} \times (AUC/AUC + AUC_{50})$ . The best-fit parameters ( $\pm$  standard errors) are  $E_{\max} =$   
439  $-2.06 \pm 0.12 \log_{10}$  and  $AUC_{50} = 34.1 \pm 9.7 \text{ mg} \times \text{day/L}$  ( $R = 0.80$ ). Mean data for the different  
440 treatment groups in each study are plotted for illustration purposes but were not used for curve fitting.  
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459 **Figure 3. Receptory occupancy.** Receptor occupancy was determined by flow cytometry using  
460 fluorescently labeled PRO 140. In this assay, occupancy of CCR5 by study drug is reflected as a  
461 reduction in the number of cells that have detectable levels of free CCR5. Mean cell counts are shown  
462 over time by treatment group. Error bars depict standard deviations.  $P < 0.01$  relative to placebo for 5  
463 mg/kg PRO 140 at all timepoints from Day 3 through Day 29 and for 10 mg/kg PRO 140 at all  
464 timepoints from Day 3 through Day 43.  $P > 0.05$  relative to placebo at all other timepoints. CCR5<sup>+</sup>  
465 cells were not depleted from the circulation (data not shown). Subjects received a single infusion of  
466 study drug on Day 1 as indicated by the arrow.  
467

Figure 1.

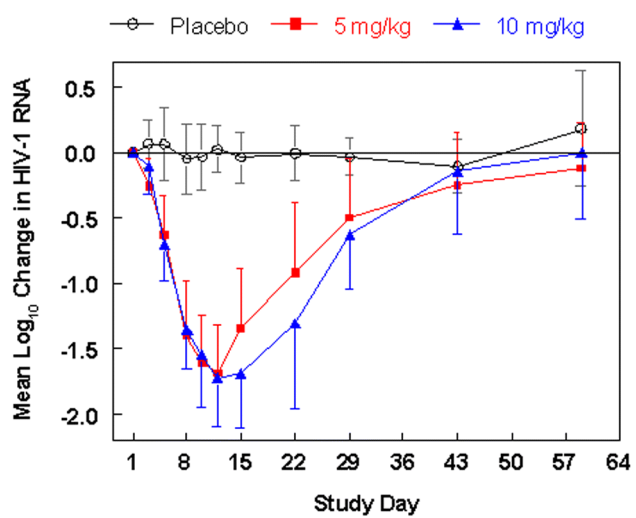


Figure 2.

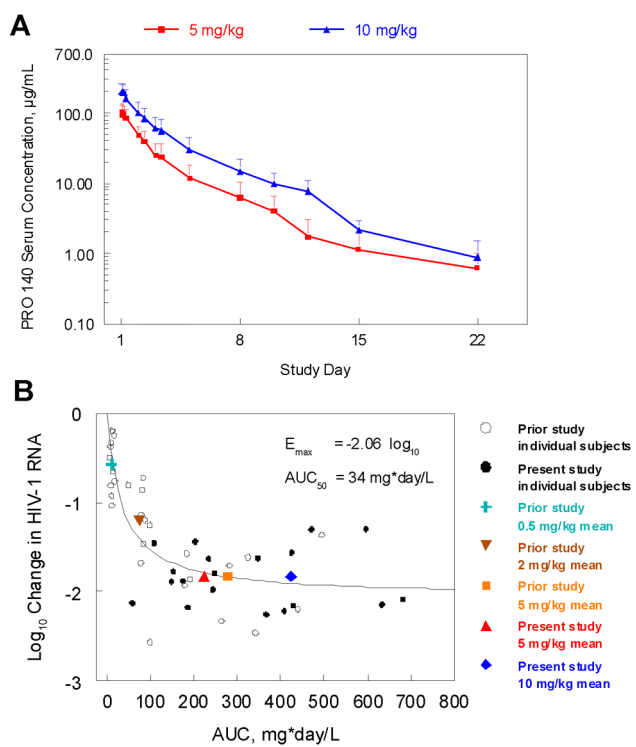


Figure 3.

