

1 **Activity of NXL104 combinations with ceftazidime and aztreonam against**  
2 **carbapenemase-producing *Enterobacteriaceae***

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18 **Running head:** NXL104 with ceftazidime or aztreonam

19 **Key words:** metallo- $\beta$ -lactamase, KPC  $\beta$ -lactamase, OXA-48  $\beta$ -lactamase

20 NXL104 combinations with ceftazidime and aztreonam were tested against  
21 carbapenem-resistant *Enterobacteriaceae*. Ceftazidime+NXL104 was active  
22 against strains with OXA-48 enzyme or with combinations of impermeability  
23 and an ESBL or AmpC enzyme, also against most *Klebsiella* spp. with KPC  
24 enzyme, but metallo- $\beta$ -lactamase producers were resistant. Aztreonam+  
25 NXL104 was active against all strains at 4+4  $\mu$ g/ml, including those with  
26 metallo- $\beta$ -lactamases.

27

28 Carbapenems are the preferred treatment for severe infections due to multiresistant  
29 *Enterobacteriaceae*, including those with extended-spectrum  $\beta$ -lactamases (ESBLs)  
30 or copious AmpC. Carbapenemase-producing *Enterobacteriaceae* remained  
31 extremely rare for around 20 years after imipenem's launch in 1985,<sup>11</sup> though there  
32 was concern that resistance, particularly to ertapenem, could arise via porin loss in  
33 strains with extended-spectrum or AmpC  $\beta$ -lactamases.<sup>19</sup>

34 More recently carbapenemases have begun to accumulate in  
35 *Enterobacteriaceae*. In particular, clonal *Klebsiella pneumoniae* with KPC (class A)  
36 carbapenemases have spread widely in the USA, Israel and, latterly, Greece.<sup>8,16</sup>  
37 Meanwhile, plasmids coding VIM metallo-carbapenemases have disseminated  
38 among *K. pneumoniae* strains in Greece<sup>18</sup> and, to a lesser degree, elsewhere in  
39 southern Europe, and those coding NDM metallo-enzyme have become distributed  
40 among enterobacterial species in the Indian subcontinent.<sup>9</sup> OXA (class D)  
41 carbapenemases are most important in *Acinetobacter* spp. but OXA-48 is  
42 widespread in *K. pneumoniae* in Turkey, and is an emerging problem elsewhere.<sup>2</sup>  
43 International travel and migration are facilitating the dissemination of these enzymes.  
44 The UK, for example, sees repeated import of strains with VIM and KPC enzymes via  
45 patients previously hospitalised in Greece, Cyprus and Israel and of NDM enzymes  
46 via those who have a history of travel and hospitalisation in the Indian subcontinent.<sup>9</sup>

47 This diversity presents a considerable challenge, which might best be  
48 overcome with  $\beta$ -lactamase inhibitor combinations.<sup>5</sup> We examined the ability of  
49 NXL104,<sup>1,22</sup> a novel-structure inhibitor, to protect against carbapenemases when  
50 tested with ceftazidime (this combination is currently in phase II trials), and  
51 aztreonam, which has the advantage of stability to metallo- $\beta$ -lactamases. A smaller  
52 study on ceftaroline+NXL104 -also under clinical development- was published  
53 previously.<sup>15</sup>

54 Clinical isolates with carbapenemases were recent submissions to the Health  
55 Protection Agency's Antibiotic Resistance Monitoring and Reference Laboratory,  
56 mostly from UK hospitals. The Reference Laboratory encourages UK diagnostic  
57 microbiology laboratories to submit all *Enterobacteriaceae* that appears resistant to  
58 carbapenems for further investigation and the basic characterisation of many of the  
59 isolates included here has been described.<sup>4,6,9,22</sup> There is some overlap of the  
60 collections with those of a previously published study,<sup>10</sup> however the numbers of  
61 isolates and enzymes studied here was substantially greater and the investigation  
62 included aztreonam+NXL104, which was not studied previously. Carbapenemases  
63 were identified by PCR<sup>22</sup> and, in some cases, sequencing. Isolates with *bla*<sub>NDM</sub> were  
64 examined for *bla*<sub>AmpC</sub> and *bla*<sub>CTX-M</sub> genes, again by PCR.<sup>20,21</sup> Porin lesions were  
65 characterised as described previously.<sup>4</sup> Identification was by API20E.

66 NXL104 was from Novexel, Romainville, France; meropenem from  
67 AstraZeneca, Macclesfield UK; clavulanate from GlaxoSmithKline, Wembley UK and  
68 both piperacillin and tazobactam from Wyeth, Taplow UK. Other antibiotics, including  
69 ceftazidime and aztreonam were purchased from Sigma, Poole, UK. MICs were  
70 determined by the CLSI agar dilution method,<sup>3</sup> with NXL104, tazobactam and  
71 clavulanate all used at a fixed concentration of 4 µg/ml. Transconjugants and  
72 transformants of *E. coli* with carbapenemase-encoding plasmids were prepared as  
73 described previously.<sup>14</sup> The *E. coli* DH5α transformant with *bla*<sub>NDM-1</sub> cloned in pUC19  
74 was obtained from screening a genomic library generated by cloning genomic DNA  
75 fragments from of a *K. pneumoniae* isolate that produced the carbapenemase. The  
76 DNA was partially digested with *AluI* and cloned into the *SmaI* site of the vector.

77 Carbapenem MICs for the 65 organisms with carbapenemases (i.e. excluding  
78 those with combinations of impermeability and an ESBL or AmpC enzyme) ranged  
79 upwards from 0.06 µg/ml, with wide scatters of values for producers of single enzyme  
80 types. Nevertheless these MICs were >4 µg/ml in 54 cases with imipenem, 51 cases

81 with meropenem and 59 with ertapenem (Table 1). Many of the lowest MICs were  
82 for isolates with OXA-48 carbapenemase, though other producers of this enzyme  
83 were highly resistant to all three analogues. Isolates with combinations of porin loss  
84 and AmpC or ESBL enzymes consistently were more resistant to ertapenem than  
85 other carbapenems, with MICs  $>4$   $\mu\text{g/ml}$  in 14/15 cases, whereas imipenem and  
86 meropenem MICs mostly were 1-8  $\mu\text{g/ml}$ , thus straddling the CLSI<sup>3</sup> and EUCAST  
87 (<http://www.eucast.org>) breakpoints.

88       Eleven of 19 *K. pneumoniae* isolates with OXA-48 enzyme were susceptible  
89 to ceftazidime at  $\leq 1$   $\mu\text{g/ml}$ , as was the sole strain with SME-1 enzyme; the  
90 ceftazidime MICs for all other organisms were  $\geq 16$   $\mu\text{g/ml}$ . Aztreonam MICs  $\leq 2$   $\mu\text{g/ml}$   
91 were recorded for the same 11/19 OXA-48<sup>+</sup> *K. pneumoniae* that were susceptible to  
92 ceftazidime and for 8/13, 2/5 and 3/17 with IMP, VIM and NDM metallo-  
93 carbapenemases respectively; otherwise all aztreonam MICs were  $\geq 8$   $\mu\text{g/ml}$  and  
94 mostly  $>64$   $\mu\text{g/ml}$ .

95       NXL104 reduced the MICs of ceftazidime to  $\leq 2$   $\mu\text{g/ml}$  for: (i) the eight  
96 ceftazidime-resistant isolates with OXA-48 enzymes, (ii) all 15 isolates with  
97 combinations of impermeability and ESBLs or AmpC and (iii) for 7/10 of those with  
98 KPC carbapenemases. The remaining 3/10 isolates with KPC enzymes, all of them  
99 *Enterobacter* spp., were more resistant, with ceftazidime + NXL104 MICs of 8-32  
100  $\mu\text{g/ml}$ . Isoelectric focusing showed that these isolates also copious production of  
101 cloxacillin-inhibited pI  $>8.5$  enzymes, which were inferred to be AmpC types. It is  
102 likely that these AmpC types, combined with the KPC enzymes simply overwhelmed  
103 the inhibitor. With one exception, the isolates with metallo (IMP, NDM or VIM)  
104 enzymes remained equally resistant ( $\pm 1$  doubling dilution) to ceftazidime + NXL104  
105 as to ceftazidime alone. The exception was an *E. coli* isolate with IMP-1 enzyme,  
106 where the ceftazidime MIC fell from 256  $\mu\text{g/ml}$  to 0.03  $\mu\text{g/ml}$ , apparently owing to  
107 susceptibility to NXL104 itself at  $\leq 8$   $\mu\text{g/ml}$ . In our experience, MICs of NXL104 for *E.*

108 *coli* are commonly c. 16 mg/L whereas those for other *Enterobacteriaceae* are higher  
109 (unpublished).

110 MICs for aztreonam + NXL104 were  $\leq 4$   $\mu\text{g/ml}$  for all the 83 clinical isolates  
111 with carbapenemases or combinations of impermeability and AmpC or ESBL, and  
112 were  $\leq 1$   $\mu\text{g/ml}$  for all except five *E. coli* with NDM-1 carbapenemase, two  
113 *Enterobacter* spp. with KPC enzymes and one *E. cloacae* with a combination of porin  
114 loss and AmpC.

115

116 MICs for *E. coli* J62, DH5 $\alpha$  and JM83/109 transformants and transconjugants  
117 with representative class A, B and D carbapenemases were determined (Table 2).  
118 These organisms were less resistant to the carbapenems than the clinical isolates,  
119 probably reflecting greater permeability, particularly among the DH5 $\alpha$  derivatives.  
120 Thus, carbapenem MICs for *E. coli* DH5 $\alpha$  and JM109 transformants with IMP-1,  
121 NMC-A and OXA-48 enzymes all remained  $\leq 2$   $\mu\text{g/ml}$ .

122 KPC-3, IMP-1 and NDM enzymes conferred resistance to ceftazidime in the  
123 transconjugants and transformants whereas NMC-A and OXA-48 enzymes had little  
124 or no effect. Ceftazidime resistance mediated by KPC-3 enzyme was reversed by  
125 NXL104, with the MIC reduced from 64 to 0.25  $\mu\text{g/ml}$ , whereas that mediated by IMP  
126 and NDM enzymes was little affected. KPC-3 and NMC-A enzymes conferred  
127 resistance to aztreonam that was reversed by NXL104, with MICs reduced from >128  
128 to 0.06  $\mu\text{g/ml}$  and 16 to 0.03  $\mu\text{g/ml}$ , respectively. OXA-48, IMP-1 and NDM-1 (as  
129 expressed by the cloned gene in pUC19) enzymes had minimal effect on the MICs of  
130 aztreonam. Resistance to aztreonam was however seen in the transformant with a  
131 native plasmid that determined both NDM carbapenemase and a CIT-type AmpC  
132 enzyme, and was reversed by the presence of NXL104.

133 Carbapenemase-producing *Enterobacteriaceae* present a challenge to the  
134 pharmaceutical chemist and the clinician. Producers are increasing in prevalence

135 and are commonly resistant to multiple antibiotic classes; in addition their enzymes  
136 are diverse, including representatives of  $\beta$ -lactamase classes A, B and D.<sup>13</sup> From a  
137 US perspective it is easy to perceive KPC carbapenemases as the main emerging  
138 problem, but the VIM and NDM metallo-carbapenemases are most frequent among  
139 *Enterobacteriaceae* in southern Europe<sup>12,18</sup> and India,<sup>9</sup> respectively, whereas OXA-  
140 48 is widespread in Turkey.<sup>2</sup> All of these enzymes are being disseminated  
141 internationally by human travel and migration and it would be a brave man who  
142 wagered which will be dominant in another 3-4 years, when ceftazidime+NXL104  
143 combinations potentially may reach the market.

144 We showed previously that NXL104 at 1-4  $\mu\text{g/ml}$  could overcome ceftazidime  
145 resistance mediated by OXA-48 and KPC carbapenemases, though not that  
146 mediated by metallo-enzymes.<sup>10,15</sup> The present data show that NXL104 can restore  
147 the activity of ceftazidime against *K. pneumoniae* with KPC carbapenemase, though  
148 resistance remained in some *Enterobacter* spp. isolates with this enzyme, probably  
149 because these organisms were also impermeable and had copious AmpC,  
150 overwhelming the inhibitor. This limitation is of limited likely impact because KPC  
151 enzymes are far more prevalent in *K. pneumoniae* than *Enterobacter* spp.<sup>16</sup> OXA-48  
152 enzyme did not confer resistance to ceftazidime, so no synergy arose unless other  
153 NXL104-inhibited ceftazidime-hydrolysing enzymes were present; this is in contrast  
154 to the behaviour seen with ceftazidime, where OXA-48 did confer resistance,  
155 overcome by NXL104.

156 If KPC or OXA-48 are the predominant carbapenemases of the future, then  
157 either ceftazidime+NXL104 or ceftazidime+NXL104 should prove an effective answer.  
158 These combinations should also be effective against isolates with carbapenem  
159 resistance contingent on combinations of AmpC or ESBL and impermeability, though  
160 these have shown little ability to spread in clinical settings and are a lesser concern.  
161 The problem arises if metallo-carbapenemases become dominant, since NXL104

162 cannot inhibit these enzymes, and nor can any other inhibitor in advanced  
163 development. One answer is to use a monobactam as the partner drug, as these are  
164 stable to metallo-carbapenemases,<sup>17</sup> and to protect it against co-produced ESBLs or  
165 AmpC enzymes using NXL104 or another inhibitor. The present study has illustrated  
166 the potential of this approach, with MICs of aztreonam+NXL104 found to be  $\leq 4$   $\mu\text{g/ml}$   
167 against all carbapenemase producers, including those with metallo-enzymes, and  $\leq 1$   
168  $\mu\text{g/ml}$  for the huge majority, the exceptions mostly being *E. coli* isolates with NDM-1  
169 enzyme. The activity of aztreonam+NXL104 against *K. pneumoniae* with KPC  
170 carbapenemases was noted also by Endimiani *et al.*<sup>7</sup> who, as in the present study,  
171 found MICs consistently lower than those of ceftazidime+NXL104.

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266 **Table 1.** MIC distributions for carbapenemase-producing isolates and those with combination of AmpC or ESBL and impermeability  
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	MIC ( $\mu\text{g/ml}$ )														
	$\leq 0.03$	0.06	0.12	0.25	0.5	1	2	4	8	16	32	64	128	256	512
<b>KPC (n=10: 7 <i>K. pneumoniae</i> + 3 <i>Enterobacter</i> spp.)</b>															
Ceftazidime															10*
Ceftazidime + NXL104			1		3	3			1		2				
Ceftazidime + clav											2	8*			
Aztreonam													10*		
Aztreonam + NXL104		2	3	2	1		1	1							
Piperacillin															10*
Piperacillin + tazobactam												1			9*
Imipenem									1	1	3	2	2		1*
Meropenem										1	3	1	3		2*
Ertapenem												3	2		5*
<b>SME-1 (n=1: <i>S. marcescens</i>)</b>															
Ceftazidime				1											
Ceftazidime + NXL104				1											
Ceftazidime + clav				1											
Aztreonam										1					
Aztreonam + NXL104				1											
Piperacillin												1			
Piperacillin + tazobactam								1							
Imipenem															1*
Meropenem									1						
Ertapenem									1						
<b>OXA-48 (n=19: all <i>K. pneumoniae</i>)</b>															
Ceftazidime			1**		8	2					1		2		5
Ceftazidime + NXL104		1**	1	8	6	3									
Ceftazidime + clav				1	1	3	2	6	2	2		3*			
Aztreonam		1	2	7	1								2	6*	
Aztreonam + NXL104	1**	4	10	2	2										
Piperacillin															19*

	≤0.03	0.06	0.12	0.25	0.5	1	2	4	8	16	32	64	128	256	512	
Piperacillin + tazobactam												1			18*	
Imipenem			1		1	2	4	2		2	3	2			2*	
Meropenem	1			1	2	3				5	1	4				
Ertapenem			1		1	1	5	1		5	3	3			4*	
<b>IMP (n=13: 7 <i>K. pneumoniae</i>, 5 <i>Enterobacter</i> spp. and 1 <i>E. coli</i>)</b>																
Ceftazidime															1	12
Ceftazidime + NXL104	1**															12
Ceftazidime + clav												13*				
Aztreonam			2	5	1			1							4*	
Aztreonam + NXL104	2	3	2	3		1	1									
Piperacillin											1		1		11*	
Piperacillin + tazobactam										1		1			11*	
Imipenem					1	1	2	7	1						1*	
Meropenem						2		2	8			1				
Ertapenem							2	2	8						1*	
<b>VIM (n=5: 4 <i>K. pneumoniae</i> and 1 <i>Enterobacter</i> spp.)</b>																
Ceftazidime												1				4
Ceftazidime + NXL104												1			1	3
Ceftazidime + clav												5*				
Aztreonam				1		1							1		2*	
Aztreonam + NXL104			2	2	1											
Piperacillin															5*	
Piperacillin + tazobactam															5*	
Imipenem							1		2			2				
Meropenem					1		1			1			1		1*	
Ertapenem							2			2					1	
<b>NDM-1 (n=17: 6 <i>K. pneumoniae</i>, 6 <i>E. coli</i>, 2 <i>Enterobacter</i> spp., 2 <i>Citrobacter freundii</i> and 1 <i>Morganella morganii</i>)</b>																
Ceftazidime																17
Ceftazidime + NXL104																17

Ceftazidime + clav													17*			
Aztreonam		1	1	1										2	11*	
Aztreonam + NXL104	2**	2	1	4	1	2	3	2								
Piperacillin															17*	
Piperacillin + tazobactam														1	16*	
Imipenem									1	1	8	3	2		2*	
Meropenem											7	3	3	3	3*	
Ertapenem									1		3	3	5		5*	
<b>Porin loss &amp; AmpC (5: all <i>Enterobacter</i> spp.)</b>																
Ceftazidime														1	4	
Ceftazidime + NXL104				1	1	3										
Ceftazidime + clav													5*			
Aztreonam													3	1	1*	
Aztreonam + NXL104				1	1	3										
Piperacillin														1	3*	
Piperacillin + tazobactam														2	2*	
Imipenem							1	1	3							
Meropenem							2	2	1	1	2	1				
Ertapenem																
<b>Porin loss &amp; ESBL (n=10: all <i>K. pneumoniae</i>)</b>																
Ceftazidime														1	4	5
Ceftazidime + NXL104					2	7	1									
Ceftazidime + clav						1										
Aztreonam									2	1	1	4*				
Aztreonam + NXL104		1		7	1	1						2			8*	
Piperacillin																
Piperacillin + tazobactam															10*	
Imipenem					1	2	5	2							10*	
Meropenem				1				2	4		3					
Ertapenem						1			1		3	5				

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269 Abbreviations: clav, clavulanate; \* MIC  $\geq$  indicated value; \*\* MIC  $\leq$  indicated value

270 **Table 2.** MICs ( $\mu\text{g/ml}$ ) for *E. coli* transconjugants and transformants with carbapenemases

271

	Ceftaz- idime	Ceftaz- idime+ NXL104	Ceftaz- idime+ clavulanate	Aztreo- nam	Aztreo- nam +NXL104	Cefot- axime	Pipera- cillin	Pipera- cillin+ Tazo- bactam	Imi- penem	Mero- penem	Erta- penem
<b>Class A</b>											
J62 KPC-3	64	0.25	32	>128	0.06	128	>128	>128	8	8	8
JM109 NMCA	0.25	$\leq 0.060$	0.125	16	$\leq 0.030$	$\leq 0.125$	32	2	2	1	2
<b>Class B</b>											
DH5 $\alpha$ IMP-1	16	4	16	$\leq 0.030$	$\leq 0.030$	4	2	1	0.5	0.125	0.125
DH5 $\alpha$ NDM <sup>a</sup>	>256	>256	>32	8	0.125	128	128	128	8	4	8
DH5 $\alpha$ NDM (cloned pUC19)	>256	>256	>32	0.06	$\leq 0.030$	256	>128	>128	32	16	16
<b>Class D</b>											
DH5 $\alpha$ OXA-48	$\leq 0.125$	$\leq 0.060$	0.125	$\leq 0.030$	$\leq 0.030$	0.25	128	32	2	0.125	0.5
<b>Recipients</b>											
DH5 $\alpha$	$\leq 0.125$	$\leq 0.060$	$\leq 0.060$	$\leq 0.030$	$\leq 0.030$	$\leq 0.125$	1	1	0.125	$\leq 0.030$	$\leq 0.030$
J62	$\leq 0.125$	$\leq 0.060$	0.125	$\leq 0.030$	$\leq 0.030$	$\leq 0.125$	2	2	0.125	$\leq 0.030$	$\leq 0.030$
JM83	$\leq 0.125$	0.125	0.125	0.06	0.06	$\leq 0.125$	2	2	0.25	$\leq 0.030$	$\leq 0.030$

272 <sup>a</sup> Also produced CIT-type AmpC  $\beta$ -lactamase