

1           **Efficacy of Skin and Nasal Povidone-Iodine Preparation against mupirocin**  
2           **resistant MRSA and *Staphylococcus aureus* within the anterior nares**

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19 Running title: Skin and Nasal Povidone-Iodine Preparation efficacy for MRSA

20 **ABSTRACT**

21 Mupirocin decolonization of nasal *Staphylococcus aureus* prior to surgery decreases  
22 surgical site infections, however, treatment requires 5 days, compliance is low and  
23 resistance occurs. In 2010, 3M™ Company introduced povidone-iodine (PVP-I) based  
24 Skin and Nasal Prep (SNP). SNP has rapid, broad spectrum antimicrobial activity. We  
25 tested SNP's efficacy using full-thickness tissue (porcine mucosal (PM) and human  
26 skin) explant models and in human subjects. Prior to or following infection with  
27 methicillin-resistant *Staphylococcus aureus* (MRSA, mupirocin sensitive and resistant),  
28 explants were treated with Betadine® Ophthalmic Preparation (Bet), SNP, mupirocin  
29 (Bactroban Nasal®, BN) or untreated. One hour post-treatment, explants were washed  
30 with PBS + 2% mucin. One, 6 or 12 h later, bacteria were recovered and enumerated.  
31 Alternatively, following baseline sampling, human subjects applied two consecutive  
32 applications of SNP or saline to their anterior nares. One, 6 and 12 h post-prep, nasal  
33 swabs were obtained and *S. aureus* enumerated. We observed that treatment of  
34 infected PM or human skin explants with SNP resulted in  $>2.0 \text{ Log}_{10}$  CFU reduction in  
35 MRSA regardless of mupirocin sensitivity, which was significantly different from BN, Bet  
36 and untreated controls 1 h, 6 h and 12 h post-wash. Swabbing the anterior nares of  
37 human subjects with SNP significantly reduced resident *S. aureus* compared to saline 1,  
38 6 and 12 h post-prep. Finally, pre-treatment of PM explants with SNP, followed by a  
39 mucin rinse prior to infection completely prevented MRSA infection. We conclude that  
40 SNP may be an attractive alternative for reducing the bioburden of anterior nares prior  
41 to surgery.  
42

## 43 INTRODUCTION

44 Methicillin resistant *Staphylococcus aureus* (MRSA) has emerged as one of the  
45 most important pathogens in nosocomial or hospital acquired infections (HAI) (1).  
46 Surgical site infections (SSIs), a class of HAI, are defined by the National Healthcare  
47 Safety Network (NHSN) as those infections that occur up to 30 days post-surgery or up  
48 to 90 days post-surgical implantation of a medical device, and remain a significant  
49 clinical problem despite advances made in reducing risk of SSI (2). The frequency of  
50 SSI can be as high as 20%, depending on the type of surgery (3). *S. aureus* is the  
51 leading cause of SSI, accounting for 30% of all SSI with almost half (49.2%) of those  
52 caused by MRSA (4). Preventing a single case of MRSA SSI can reduce hospital costs  
53 by as much as \$42,300 and reduce the length of stay by 50% (median 2 weeks) (5-7).

54 *S. aureus* colonizes the anterior nares, skin and mucosal surfaces of  
55 approximately 30% of the population (8-10). Nasal colonization with *S. aureus* is a well  
56 known risk factor for acquisition of SSIs (11-13). Decolonization of the anterior nares is  
57 one strategy for reducing risk of SSIs. Intra-nasally applied mupirocin has been the  
58 therapy of choice since the 1980s (14). The most efficacious regimen for *S. aureus*  
59 eradication from the anterior nares is twice daily applications of mupirocin ointment for 5  
60 days for a total of 10 doses (15-16). In a prospective study, Yano et al. saw a  
61 reduction in the rate of *S. aureus* SSI in patients decolonized with mupirocin prior to  
62 upper gastrointestinal surgery compared to the control group (0.71% vs. 11.7%,  
63  $p < 0.001$ ) (17). Others have observed >60% reduction in SSI in a cohort of  
64 cardiothoracic patients treated with mupirocin prior to surgery (18-19). Furthermore,  
65 there was a significant cost savings, considering that the average cost of mupirocin

66 treatment was \$12.47 compared to \$10,428 ± \$9,125 for a superficial sternal infection  
67 or \$81,018 ± \$41,567 for a deep sternal infection(18). Finally, in a review by T. Perl,  
68 although not statistically significant, a subset of surgical patients decolonized with  
69 mupirocin experienced approximately 50% fewer SSIs compared to those who did not  
70 receive treatment (20).

71 In contrast to these studies, double-blinded, randomized, placebo controlled  
72 clinical trials have failed to demonstrate a significant impact of nasal decolonization by  
73 mupirocin on SSI. For example, intranasal mupirocin administered to *S. aureus* carriers  
74 did not reduce the rates of overall cardiac surgical SSI caused by this organism (21). In  
75 2002, Perl et al. failed to demonstrate a significant difference in the rate of SSI patients  
76 treated prophylactically with mupirocin vs. placebo (22).

77 Treatment failure has been associated with increased mortality (23). Patient  
78 non-compliance may contribute to treatment failure (24). However, there is growing  
79 evidence that treatment failure may also be due to acquired antibiotic resistance (25-  
80 27). The prevalence rates of high-level mupirocin resistance (mupR) increased from  
81 1.6% of MRSA strains during the 5-year period of 1995 to 1999 to 7% for 2000-2004  
82 (28). The increasing prevalence of mupR has important implications for institutions  
83 where decolonization is the standard of care. While there are no standardized  
84 guidelines for screening or decolonization, most clinicians attempt to decolonize at risk  
85 patients with a combination of chlorhexidine gluconate applied to the skin and intranasal  
86 mupirocin (29). Mupirocin use enhances selective pressure, decreasing its  
87 effectiveness as a decolonization strategy (30). This has prompted the evaluation of  
88 alternative strategies for reducing the risk of MRSA SSI.

89 In early 2010, 3M™ Company launched Skin and Nasal Prep (3M™ SNP), an  
90 alternative to topical antibacterial therapy with mupirocin, as a topical patient  
91 preoperative antiseptic prep for the reduction of microbial bioburden on the skin and in  
92 the anterior nares. The preparation is povidone-iodine (PVP-I) based, which has broad  
93 spectrum antibacterial activity, as well as activity against fungi, protozoa, viruses and  
94 some bacterial spores (31-32). PVP-I has rapid *in vitro* activity (bactericidal within 15-  
95 20 s) and the duration of the effect on skin has been reported to be 12-14 h due to a  
96 phenomenon called back-diffusion (33-34). In contrast to the use of antibiotics, there is  
97 minimal potential for the development of resistance to PVP-I due to multiple cellular  
98 targets (35-36). Finally, excipients in the 3M™ SNP formulation protect PVP-I from  
99 inactivation by organic compounds such as blood or mucin and increase muco-  
100 adhesion.

101 Recently, Philips et al. conducted a prospective, open-label, randomized clinical  
102 study to evaluate 3M™ SNP as an alternative to intranasal mupirocin because of lack of  
103 patient compliance and increasing mupirocin resistance (37). They demonstrated that  
104 3M™ SNP resulted in significantly fewer *S. aureus* SSI than mupirocin. Therefore, we  
105 conducted an analysis of 3M™ SNP and mupirocin and determined their ability to  
106 reduce MRSA burden on mucosal tissues and human skin. The aims of this study were  
107 3-fold: 1) to demonstrate that 3M™ Skin and Nasal Prep is efficacious at reducing  
108 microbial bioburden and in prevention of methicillin resistant *S. aureus* infections in an  
109 *ex vivo* model; 2) to show efficacy against mupR MRSA in a novel *ex vivo* human skin  
110 MRSA infection model; and 3) to validate reduction in the anterior nares *S. aureus*  
111 bioburden of human subjects.

112 **METHODS**113 **Bacteria Growth and Explant Inoculation**

114 MRSA strain Xen30 was purchased from Caliper Life Sciences (Hopkinton, MA).  
115 Mupirocin resistant clinical MRSA isolates were received from the Minnesota  
116 Department of Health's repository. Mupirocin susceptibility was evaluated using E test  
117 strips (bioMerieux, Durham, NC) according to manufacturer's instructions. Strips were  
118 interpreted according to literature and opinion, as they were for research use only.  
119 Bacterial strains described in these studies are maintained in our laboratories as frozen  
120 glycerol stocks. Prior to experimentation, Tryptic Soy Agar containing 5% sheep's blood  
121 (TSB, Beckton-Dickenson, Franklin Lakes, New Jersey) is inoculated from frozen  
122 stocks. On the afternoon prior to initiation of experiment, Todd Hewitt broth (Becton-  
123 Dickenson Biosciences, San Jose, CA) is inoculated with colonies from the fresh Tryptic  
124 Soy Agar with 5% sheep's blood (TSB, Becton-Dickenson Biosciences, San Jose, CA).  
125 Stationary phase (overnight) cultures are washed in RPMI 1640 (Invitrogen, Carlsbad,  
126 CA) and resuspended to a concentration of approximately  $5 \times 10^8$  CFU/mL. Two  $\mu$ l of  
127 this suspension are used to inoculate explants on the mucosa or stratum corneum  
128 surface ( $1 \times 10^6$  CFU/explants). Explants are returned to  $37^\circ\text{C}$ , 7%  $\text{CO}_2$  and incubated  
129 for 1-24 h.

130

131 Explants of normal porcine vaginal mucosa (5 mm, full-thickness squamous epithelium)  
132 or human skin (5 mm, full-thickness) were infected with methicillin-resistant *S. aureus*  
133 (MRSA). Prior to or following infection, explants were treated with Betadine® Solution  
134 (Ophthalmic Preparation, Alcon, Fort Worth, TX), 3M™ Skin and Nasal Prep (St. Paul,

135 MN), mupirocin ointment (Bactroban Nasal® Glaxo Smith Kline, Research Triangle  
136 Park, NC) or left untreated (controls). Bacteria were enumerated by transferring  
137 explants to 2x Dey-Engley (DE) broth (Beckton Dickenson) for neutralization, vortex  
138 mixing then plating onto TSB plates neat or serially diluted in PBS.

139

#### 140 **Ex vivo porcine vaginal mucosa culture**

141 In a previous publication, we describe a novel model for determining efficacy of  
142 antimicrobials (38). Briefly, specimens of normal porcine vaginal mucosa are excised  
143 from animals at slaughter in the U of MN Andrew Boss Laboratory of Meat Science.  
144 The tissue is a by-product of the slaughter of animals for human consumption and  
145 therefore Institutional Animal Care and Use Committee (IACUC) exempt. Specimens  
146 are then transported to the laboratory in RPMI 1640 media supplemented with 10% fetal  
147 calf serum (Invitrogen, Carlsbad, CA), on ice. Tissue was utilized within 3 h of excision.  
148 Tissue explants of uniform size were obtained from the porcine vagina using a 5mm  
149 biopsy punch. Excess muscle tissue was trimmed away with a scalpel. The explants  
150 are washed in serum and antibiotic-free media 3 times and then placed mucosal side up  
151 on a 0.4 µm cell culture insert (BD Bioscience, San Jose, CA) in 6-well plates containing  
152 fresh serum and antibiotic-free RPMI 1640. The mucosal surface was continually  
153 exposed to air.

154

#### 155 **Procurement Culture of Human Skin Explants**

156 Normal human skin (de-identified) is procured by the National Disease Research  
157 Interchange (NDRI) and is exempt from Institutional Review Board review. Normal  
158 healthy specimens are excised from cadavers and transported to the laboratory in  
159 HypoThermosol® (BioLife Solutions.Bothell, WA), a cryopreservation media. Tissue is  
160 utilized within 24 h of excision. Decolonization of normal flora is achieved by drying the  
161 surface of the specimen and swabbing the area with ChloroPrep® (CareFusion, San  
162 Diego, CA) twice. Explants of uniform size are then obtained from the specimen using a  
163 5mm biopsy punch. Tissue explants are washed in RPMI 1640 media containing 2%  
164 v/v normal human serum. The explants are then placed on a PET track-etched 0.4µm  
165 cell culture insert (Becton-Dickenson Biosciences, San Jose, CA) in 6-well plates  
166 containing fresh RPMI 1640 + 2% normal human serum (Invitrogen, Carlsbad, CA) and  
167 incubated at 37°C, 7% CO<sub>2</sub>. An air interface is maintained with the stratum corneum.

168

#### 169 **Application of test formulations or comparators**

170 The model was developed to be a semi high-throughput screen of full strength  
171 formulations in the form of liquids, pastes, gels, foams or dressings. One hundred µl of  
172 Betadine® Ophthalmic Preparation, 3M™ Skin and Nasal Prep or mupirocin (Bactroban  
173 Nasal®) ointment are applied topically to the explants and incubated for 1 – 24 h at  
174 37°C, 7% CO<sub>2</sub>.

175

#### 176 **Mucin wash**



177 To mimic mucocilliary clearance, 1 h following application of treatments to the explants,  
178 1 mL of 2% w/v mucin (Sigma, St. Louis, MP) in PBS was pipetted into each well  
179 containing explants. The plate was swirled gently to wash and suspension was  
180 aspirated. The explants were then returned to culture for the indicated time periods.

181

### 182 **Bacterial (colony forming units, CFU) enumeration**

183 Bacteria are enumerated from infected explants by vortex mixing (medium-high setting,  
184 4 m) in 250  $\mu$ l sterile anti-microbial neutralizing DE broth at twice the manufacturer's  
185 recommended concentration. Suspensions are serially diluted in PBS (or plated neat)  
186 and spread on TSB plates using a spiral plater (Biotek, Microbiology International).

187

### 188 **Nasal normal flora reduction in human subjects**

189 Baseline samples (n=70) were taken from normal healthy human subjects prior to the  
190 application of prep or saline. Only subjects with baselines  $> 5 \times 10^3$  CFU/swab were  
191 included in this efficacy study. Depending on the sampling time, seven to eighteen  
192 subjects applied 3M™ Skin and Nasal Prep or 0.9% saline control to their nostrils for 30  
193 s each, followed by an immediate repeat application, for a total application time of 1 min  
194 per nare (3M™ Study #EM-05-011100). Quantitative cultures were obtained from the  
195 anterior nares using a standardized swabbing procedure. Briefly, one dry, sterile rayon  
196 swab was used to sample both the right and left nostril. For each nostril the rayon swab  
197 was inserted carefully into the anterior, apex portion of the nostril and rotated 2 times  
198 with slight pressure. This swabbing procedure was used to collect Study Day baselines,

199 and 1, 6, and 12 h post-prep nasal samples. Following collection, the swab sample  
200 was immediately immersed into a tube containing 1 mL of a neutralizer solution (NS)  
201 described previously (39). The sample tube was capped tightly and vortex mixed for ~1  
202 min, then serially diluted in phosphate buffered dilution water. Duplicate 0.1 mL  
203 aliquots were spread on HardyCHROM™ *Staph aureus* (CSA, Hardy Diagnostics) and  
204 Trypticase Soy Agar with 5% Sheep Blood (SBA). All samples were plated within 20 min  
205 of collection. After 20 to 28 h of aerobic incubation at 35 - 37°C, CSA plates were  
206 evaluated for differentially selective growth (smooth, deep pink to fuchsia colonies) and  
207 SBA plates were evaluated for total growth using a Quebec Colony Counter (Reichert  
208 Technologies, Depew, NY). Data presented are M±SD Log<sub>10</sub> reductions of *S. aureus*  
209 from baseline.

210

### 211 **Statistical analysis**

212 Each ex vivo experiment was repeated a minimum of 3 times. Data presented are  
213 mean of triplicates ± SEM. Analysis of variance (ANOVA) followed by Bonferroni's post-  
214 test were performed using the GraphPad PRISM software (GraphPad Software, Inc.,  
215 California). The human subject study was performed once and data presented are  
216 mean Log<sub>10</sub> reduction from baseline±SD. Students t-test was used to evaluate  
217 significant differences at each time point.

218

### 219 **RESULTS**

220 **Efficacy of 3M™ SNP, Betadine® Ophthalmic and Bactroban Nasal® in treatment of**  
221 **MRSA infected *ex vivo* porcine vaginal mucosa.** We tested the antimicrobial activity  
222 of two povidone-iodine based preparations (5% w/v) and 2% mupirocin, Bactroban  
223 Nasal® (BN) using a modified version (Fig. 1a) of a previously developed *ex vivo* full  
224 thickness tissue model of MRSA infection (38). PVM explants were infected with MRSA  
225 (strain Xen30) for 2 h, then treated with antimicrobials for 1 h. Treated and untreated  
226 control explants were washed in PBS + 2% w/v porcine mucin to mimic mucociliary  
227 clearance. CFU were enumerated from neutralized explants following 1, 6 or 12 h (to  
228 mimic short, average and long surgeries) incubation at 37°C. One hour post-washing,  
229 MRSA bacterial densities of infected PVM explants treated with 3M™ SNP or  
230 Betadine® Ophthalmic were significantly less compared to untreated controls (Log<sub>10</sub>  
231 1.09±0.57, 2.51±0.20, and 5.30±0.06 CFU/explant, respectively). Treatment with BN  
232 had no effect on CFU recovered at this time point (Log<sub>10</sub> 5.14±0.09 CFU/explant).  
233 There was bacterial growth in untreated control explants and Betadine® Ophthalmic  
234 treated explants 6 h post-wash (Log<sub>10</sub> 6.86±0.01, 5.12±0.48 CFU/explant), whereas the  
235 bacterial burden from BN treated explants remained static and not different from  
236 untreated control (Log<sub>10</sub> 5.07±0.06 CFU/explant). 3M™ SNP exerted a significant  
237 persistent effect at this time point, further reducing bacterial densities (Log<sub>10</sub> 0.43±0.43  
238 CFU/explant).

239 **Efficacy of 3M™ SNP and Bactroban Nasal® against mupirocin resistant, MRSA**  
240 **infections of *ex vivo* porcine vaginal mucosa.** We next evaluated the antimicrobial  
241 effect of 3M™ SNP compared to Bactroban Nasal® on 10 mupR MRSA isolates [both  
242 hi-level (HLR) and lo-level resistance (LLR), see Table 1 for mupirocin sensitivity data]

243 using the PVM infection model described above. As expected, 1 h following 2% mucin  
244 wash, 3M™ SNP had significantly less LLR MRSA bacteria on infected PVM explants,  
245 compared to untreated controls and BN treated explants ( $\text{Log}_{10}$  1.63±0.44 vs. 5.30±0.30  
246 and 5.71±0.57 CFU/explant, respectively, Fig 2a). Although some re-growth was  
247 observed at 6 h (Fig. 2c) and 24 h (Fig. 2e), 3M™ SNP treated explants were  
248 associated with significantly lower bacterial densities ( $\text{Log}_{10}$  2.56±1.60 and 3.62±0.50  
249 CFU/explant, respectively) than untreated controls ( $\text{Log}_{10}$  6.60±0.75, 7.76±0.22  
250 CFU/explant) or BN treated explants ( $\text{Log}_{10}$  5.08±0.39, 5.99±0.73 CFU/explant). BN  
251 appeared to be bacteriostatic, but had no significant effect on LLR MRSACFU  
252 recovered at any time point compared to control. Similar results were observed with the  
253 HLR MRSA isolates as well (Fig. 2b, d and f). At all 3 time points examined, HLR  
254 MRSA infected explants treated with 3M™ SNP had significantly less bacterial densities  
255 compared to untreated or BN treated explants ( $\text{Log}_{10}$  1.55±0.29 vs. 5.68±0.29,  
256 6.03±0.32 CFU/explant, 1 h,  $\text{Log}_{10}$  2.98±0.23 vs. 7.04±0.26, 5.99±0.43 CFU/explant, 6  
257 h,  $\text{Log}_{10}$  3.24±0.36 vs. 7.66±0.19, 6.88±0.24 CFU/explant, 24 h). Although not  
258 significantly different from control, some growth was observed in the HLR MRSA  
259 isolates at 24 h compared to 1 h.

260

261 **Efficacy of 3M™ SNP, Betadine® Ophthalmic and Bactroban Nasal® in the**  
262 **treatment of MRSA infected *ex vivo* human skin.** We adapted our MRSA PVM  
263 infection model to fresh, full-thickness human skin which is more representative of the  
264 tissue type in the anterior nares and therefore more translational. Explants of normal  
265 human skin were prepared as described above and infected with MRSA (strain Xen30)

266 for 2 h (Fig. 3a). Similar to the above experiment on PVM, explants were treated with  
267 3M™ SNP, Betadine Ophthalmic® or BN for 1 h, then washed with 2% w/v mucin in  
268 PBS. Explants were incubated for 1, 6 or 12 h prior to neutralization and bacteria  
269 recovery. One hour post-washing, MRSA burden of infected PVM explants treated with  
270 3M™ SNP or Betadine® Ophthalmic was significantly reduced compared to untreated  
271 controls ( $\text{Log}_{10}$  0.33±0.33, 2.09±0.60 and 4.06±0.19 CFU/explant, respectively, Fig. 3b).  
272 Further, CFU recovered from 3M™ SNP treated explants were significantly lower than  
273 the Betadine® Ophthalmic treated group. Treatment with BN had no effect on CFU  
274 recovered at this time point ( $\text{Log}_{10}$  4.50±0.08 CFU/explant). Six hours post-washing,  
275 there was evidence of growth in the untreated controls ( $\text{Log}_{10}$  5.50±0.40 CFU/explant)  
276 which continued through the 12 h time point ( $\text{Log}_{10}$  6.57±0.35 CFU/explant). Again,  
277 3M™ SNP was significantly more effective at reducing MRSA burden than the  
278 Betadine® Ophthalmic or BN treated groups (0 CFU recovered vs.  $\text{Log}_{10}$  1.72±0.40 or  
279 4.20±0.24 CFU/explant). BN treatment appeared bacteristatic at 6 h post-wash;  
280 however, this was not statistically different from control. By 12 h post-washing, the  
281 difference between untreated controls and BN treatment was significant ( $\text{Log}_{10}$   
282 6.57±0.35 vs. 3.92±0.11 CFU/explant, respectively). Zero CFU were recovered from  
283 the 3M™ SNP treated explants 12 h post-wash, which was significantly lower than BN  
284 treated and untreated controls. At this time point, no statistically significant difference  
285 between CFU recovered from the 3M™ SNP treated explants and the Betadine®  
286 Ophthalmic treated explants was observed, however, numerically, 3M™ SNP treatment  
287 was more effective at eradicating MRSA (0 vs.  $\text{Log}_{10}$  0.63±0.34 CFU/explant).  
288

289 **Efficacy of 3M™ SNP on normal flora in the anterior nares of human subjects.**  
290 Having demonstrated that 3M™ SNP significantly reduced MRSA in two ex vivo full  
291 thickness models, we next determined whether it could reduce normal flora of human  
292 anterior nares. Baseline samples (n=70) were taken by swabbing the anterior nares.  
293 The baseline *S. aureus* mean of subjects included in this study was  $\text{Log}_{10} 4.77 \pm 0.62$   
294 CFU. The anterior nares of subjects were then sampled at 1, 6 or 12 h following  
295 application of SNP (n=13-18) or saline control (n=7-9). At all 3 time points, the *S. aureus*  
296  $\text{Log}_{10}$  reduction from baseline in 3M™ SNP treated subjects was significantly greater  
297 than that observed in the saline control subjects ( $2.3 \pm 1.68$  vs.  $0.86 \pm 0.73$ , 1 h,  $2.79 \pm 1.52$   
298 vs.  $0.76 \pm 0.58$ , 6 h and  $2.37 \pm 1.77$  vs.  $0.6 \pm 0.9$  12 h).

299  
300 **3M™ SNP prevents MRSA infection of PVM explants.** Since the intended outcome  
301 of 3M™ SNP use is to prevent SSI, we next determined whether treatment could  
302 prevent PVM explants from becoming infected with MRSA. We slightly modified the  
303 PVM infection model described above, as depicted in Fig. 5a. Explants were treated for  
304 5 min with 3M™ SNP, Betadine Ophthalmic®, mupirocin or left untreated, incubated for  
305 15 min, washed with 2% mucin in PBS (w/v), infected with MRSA (Xen30), incubated for  
306 1 h, then neutralized and CFU were recovered. CFU recovered from untreated control  
307 explants were  $\text{Log}_{10} 4.19 \pm 0.12$  CFU/explant (Fig. 5b). Treatment with either 5%  
308 povidone-iodine based product resulted in a reduction in the ability of MRSA to infect  
309 explants, with 3M™ SNP being far superior to the Betadine® Ophthalmic formulation  
310 ( $\text{Log}_{10} 0.00 \pm 0.00$  vs.  $2.34 \pm 0.12$  CFU/explant). CFU recovered from mupirocin (2%)

311 treated explants was equivalent to that recovered from controls ( $\text{Log}_{10} 4.53 \pm 0.05$   
312 CFU/explant).

313

314

315 **DISCUSSION**

316 There are numerous commercially manufactured PVP-I containing preoperative  
317 skin preps that meet FDA requirements, including the recently developed 3M™ SNP.  
318 To our knowledge, this is the first study in translational, full thickness tissue models,  
319 which directly compares PVP-I formulations and topical application of 2% mupirocin  
320 ointment (BN). Using an *ex vivo* porcine mucosal (PVM) infection model, we  
321 demonstrated that treatment with 3M™ SNP or Betadine® Ophthalmic was bactericidal  
322 against MRSA within 2 h of application. This is consistent with the reported rapid  
323 activity of PVP-I based antimicrobials in the ophthalmic surgical setting (40), which, in  
324 turn, is associated with a decreased risk of post-operative infections (41). In contrast, no  
325 change in the MRSA burden of explants treated with BN was observed out to 14 h post-  
326 application( 12 h post-mucin wash), which is consistent with its known slow mode of  
327 action (42). While we recognize the mupirocin decolonization strategy includes twice  
328 daily application for 5 days intra-nasally prior to surgery, there is also a risk of patient  
329 non-compliance. Therefore, our studies are intended to mimic mupirocin efficacy in a  
330 non-compliant patient being decolonized prior to surgery.

331

332 PVP-I kinetics are well understood and it is well known that iodine is the active  
333 antimicrobial component. For example, Schenck et. al. showed that povidone  
334 complexes with hydrogen triiodide through hydrogen bonding with the proton (43).  
335 Triiodide is in equilibrium with iodine (I<sub>2</sub>) and iodide (I<sup>-</sup>) shown by the reaction: I<sub>3</sub><sup>-</sup> = I<sub>2</sub> +  
336 I<sup>-</sup>. There are other excipients in 3M™ SNP which buffer the composition and protect the



337 iodine from reduction to inactive iodide which happens rapidly at increased pH (above  
338 4.5) and reaction with organic matter.

339 Treatment with 3M™ SNP resulted in sustained bactericidal activity for up to 8 h  
340 post-application (6 h post-mucin wash), which was a significant improvement over the  
341 Betadine® Ophthalmic treated explants where >5.0 Log<sub>10</sub> growth was observed. We  
342 believe the sustained activity is a result of increased adhesion to the net negatively  
343 charged mucus on the tissue surface. This muco-adherence results from the presence  
344 of a proprietary cationic polymer in the formulation (44-45). We acknowledge that re-  
345 colonization after 8 h is a possibility and that is the subject of ongoing studies.

346 Recently, universal decolonization was shown to be more effective than targeted  
347 decolonization in the prevention of MRSA ICU infections (46). This, along with the  
348 increased use of mupirocin for prevention of recurrent skin and soft tissue MRSA  
349 infections (47-49), and growing interest in peri-operative eradication of MRSA for the  
350 prevention of SSI has important implications for the spread of mupirocin resistance (50).  
351 In 2002, Deshpande et al. performed an extensive study of mupirocin resistance and  
352 noted marked increase globally, compared to an earlier study (51). Widespread use of  
353 mupirocin is commonly associated with increased incidence of resistance (25, 52-54).  
354 The short, defined course (5 days) of mupirocin prescribed in clinical trials of efficacy do  
355 not appear to select for mupirocin resistance in one study (22). In contrast, Watanabe et  
356 al. reported the development of low-level mupirocin resistance after intranasal treatment  
357 to reduce nasal carriage (55). This same research group also presented a case study  
358 in 2001 which described the development of mupirocin resistance in the pharynx of a  
359 patient during the course of intranasal application (56). Mupirocin resistance has been

360 associated with decolonization failure, which correlates with increased SSI (57-59). We  
361 evaluated 10 *mupR* MRSA clinical isolates, both HLR and LLR, in our PVM infection  
362 treatment model. We saw that both HLR and LLR MRSA isolates were sensitive to  
363 3M™ SNP. This is not surprising due the differences in mechanism of action by the two  
364 agents. Mupirocin reversibly binds to the isoleucyl t-RNA synthetase, resulting in  
365 inhibition of protein synthesis (60). Resistance is mediated by the acquisition of a  
366 plasmid-encoded *mupA* gene (HLR) (61) or a mutation in the native *ileS* gene (LLR)  
367 (62). In contrast, iodine has many cellular targets, including fatty acids, nucleotides and  
368 the free-sulfur amino acids cysteine and methionine in proteins (63). This makes the  
369 development of resistance unlikely, and in fact, has not been reported (35).

370 We previously developed a semi-high throughput *ex vivo* PVM infection model for  
371 determining efficacy and toxicity of antimicrobial agents which is translational in nature  
372 (38). Its use as a substrate for bacterial attachment and nutrition provides an  
373 alternative, cost-effective method that more closely mimics *in vivo* conditions than  
374 classic *in vitro* studies. The indications for use of 3M™ SNP for nasal bacterial  
375 reduction is application to the anterior nares, which more closely resembles skin in  
376 terms of cell type and microflora (64). The *ex vivo* PVM explant infection model does  
377 not entirely mimic the host factors or microbial components found in skin, therefore, we  
378 extended the principles of the PVM infection model to a model which uses fresh human  
379 skin as the substrate. Using this *ex vivo* human skin MRSA infection model, we  
380 observed results similar to those obtained in the *ex vivo* PVM model. This not only  
381 confirms that the PVM infection model is a valuable tool for the preclinical evaluation of

382 topical biocides for infection prevention or treatment, but suggests that 3M™ SNP could  
383 reduce skin or anterior nares flora.

384 3M™ SNP rapidly achieved a significant reduction in the resident *S. aureus* from  
385 the anterior nares of human test subjects, demonstrating clinical translation of the PVM  
386 and *ex vivo* human skin models. This is consistent with a recent report of a prospective,  
387 open-label, randomized clinical trial where bacterial reduction with 3M™ SNP resulted  
388 in significantly fewer *S. aureus* SSI than mupirocin treated patients (twice daily x 5 days)  
389 undergoing primary or revision arthroplasty or spinal fusion (37).

390 In conclusion, given the issues with medication compliance and evolving  
391 mupirocin resistance, and the importance of reducing risk of *S. aureus* SSI, the benefits  
392 of 3M™ SNP should be considered. They include rapid efficacy, broad spectrum  
393 activity against multiple opportunistic pathogens, lack of development of antimicrobial  
394 resistance, and ease of use. 3M™ SNP provides just in time, health-care provider  
395 directly-observed preventive application and may be an attractive alternative for  
396 reducing the bioburden of anterior nares prior to surgery.

397

#### 398 **ACKNOWLEDGEMENT**

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- 578
- 579

580 **Figure Legends**

581 **Figure 1.** Efficacy of PVP-I formulations and Bactroban Nasal® against MRSA infection  
582 in an *ex vivo* PVM model. A. Schematic of experimental design. B. Explants of normal  
583 PVM were infected with *S. aureus* Xen30, treated, washed with sterile PBS containing  
584 2% mucin, then incubated as described in a. Following incubation for 1, 6, or 12 h,  
585 explants were transferred to a neutralization buffer containing sodium thiosulfate and  
586 vortex mixed to release surviving bacteria. Serial dilutions were made in sterile PBS  
587 and plated onto Tryptic Soy Agar supplemented with sheep's blood, using a spiral  
588 plater. The number of viable bacterial cells is expressed as mean±SEM Log<sub>10</sub>  
589 CFU/explants recovered (y-axis) over time (x-axis). Untreated control, open circles,  
590 Bactroban Nasal, closed circles, Betadine Ophthalmic, triangles, 3M SNP, squares.

591

592 **Figure 2.** Efficacy of 3M™ SNP or Bactroban Nasal® against low and high level  
593 mupirocin resistant MRSA isolates. Explants of normal PVM were infected with ~ 1 X  
594 10<sup>6</sup> CFU low-level mupR MRSA isolates (a, c, e) or high-level mupR MRSA isolates (b,  
595 d, f) for 2 h. Infected explants were then treated with SNP, BN or left untreated (control)  
596 for 1 h, followed by washing with sterile PBS supplemented with 2% mucin. Washed  
597 explants were then returned to the incubator for 1 h (a, b), 6 h (c, d) or 24 h (e, f).  
598 Following incubation, explants were transferred to a neutralization buffer containing  
599 sodium thiosulfate and vortex mixed to release surviving bacteria. Serial dilutions were  
600 made in sterile PBS and plated onto Tryptic Soy Agar supplemented with sheep's blood,  
601 using a spiral plater. The number of viable bacterial cells is expressed as mean±SEM  
602 Log<sub>10</sub> CFU/explants (y-axis).



603 **Figure 3.** Efficacy of PVP-I formulations and Bactroban Nasal® against MRSA infection  
604 in an *ex vivo*, full-thickness, fresh human skin model. A. Schematic of experimental  
605 design. B. Explants of normal human skin were infected with *S. aureus* Xen30, treated,  
606 washed with sterile PBS containing 2% mucin, then incubated as described in a.  
607 Following incubation for 1, 6, or 12 h, explants were transferred to a neutralization buffer  
608 containing sodium thiosulfate and vortex mixed to release surviving bacteria. Serial  
609 dilutions were made in sterile PBS and plated onto Tryptic Soy Agar supplemented with  
610 sheep's blood, using a spiral plater. The number of viable bacterial cells is expressed  
611 as mean±SEM Log<sub>10</sub> CFU/explants recovered (y-axis) over time (x-axis). Untreated  
612 control, open circles, Bactroban Nasal®, closed circles, Betadine® Ophthalmic, triangles,  
613 3M™ SNP, squares.

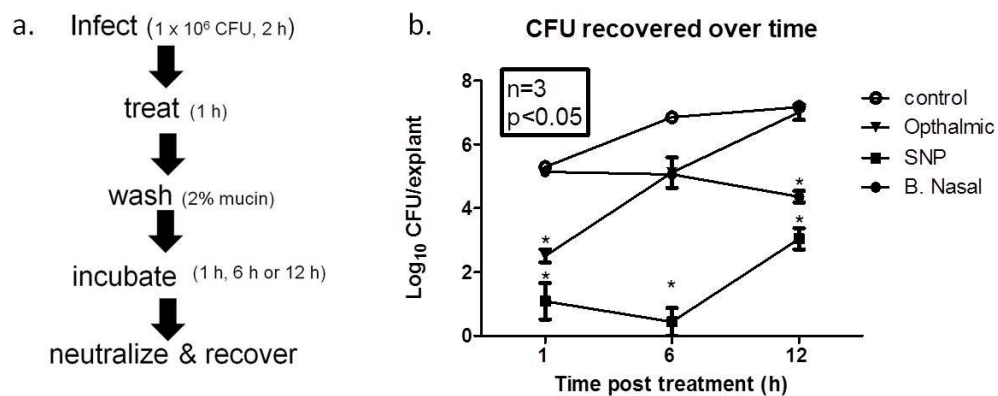
614

615 **Figure 4.** 3M™ SNP reduces normal flora of human anterior nares. Thirteen to  
616 eighteen human subjects (3M™ Study #EM-05-011100) applied 3M™ Skin and Nasal  
617 Prep and seven to nine human subjects applied 0.9% saline control to their nostrils for  
618 30 s each, followed by an immediate repeat application, for a total application time of 1  
619 minute per nare. Post-prep samples were taken via swabbing the nares at 1 h, 6 h and  
620 12 h. Baseline samples were taken prior to the application of prep or saline. The  
621 baseline *S. aureus* mean of subjects included in this study was Log<sub>10</sub> 4.77±0.62 CFU.  
622 The numbers of viable bacterial cells are expressed as mean±SEM Log<sub>10</sub> reduction from  
623 baseline (y-axis) over time (x-axis). Saline control, open squares, 3M™ SNP, squares.

624

625 **Figure 5.** 3M™ SNP prevents MRSA infection in an *ex vivo* model. A. Schematic of  
626 experimental design. B. Explants of normal PVM were treated with PVP-I formulations  
627 or mupirocin ointment (2%) for 5 minutes at RT, followed by 15 m incubation at 37°C.  
628 Explants were then washed with sterile PBS containing 2% mucin. Post-wash, explants  
629 were infected with  $\sim 1 \times 10^6$  CFU MRSA Xen30 and incubated at 37°C for 1 h. Explants  
630 were transferred to a neutralization buffer containing sodium thiosulfate and vortex  
631 mixed to release surviving bacteria. Serial dilutions were made in sterile PBS and  
632 plated onto Tryptic Soy Agar supplemented with sheep's blood, using a spiral plater.  
633 The number of viable bacterial cells is expressed as mean $\pm$ SEM Log<sub>10</sub> CFU/explants (y-  
634 axis).  
635

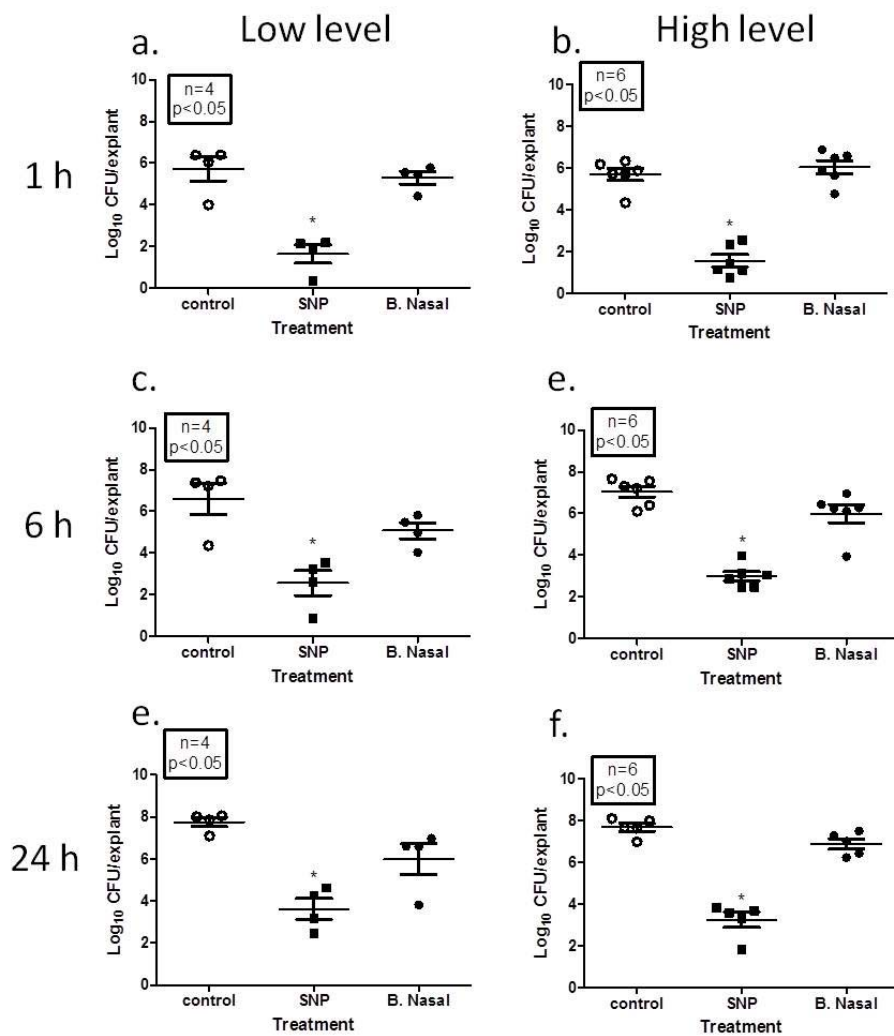
Figure 1. Efficacy of PVP-I formulations and Bactroban Nasal<sup>®</sup> against MRSA infection in an *ex vivo* PVM model.



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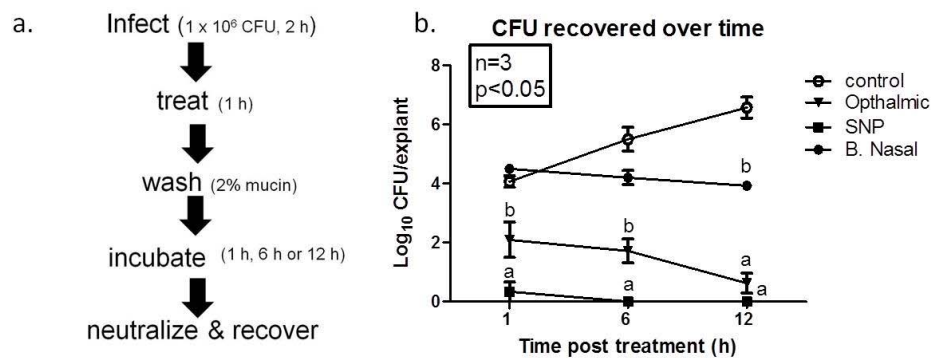
Figure 2. Efficacy of 3M™ SNP or Bactroban Nasal® against low and high level mupirocin resistant MRSA isolates.



637

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Figure 3. Efficacy of PVP-I formulations and Bactroban Nasal<sup>®</sup> against MRSA infection in an *ex vivo*, full-thickness, fresh human skin model.



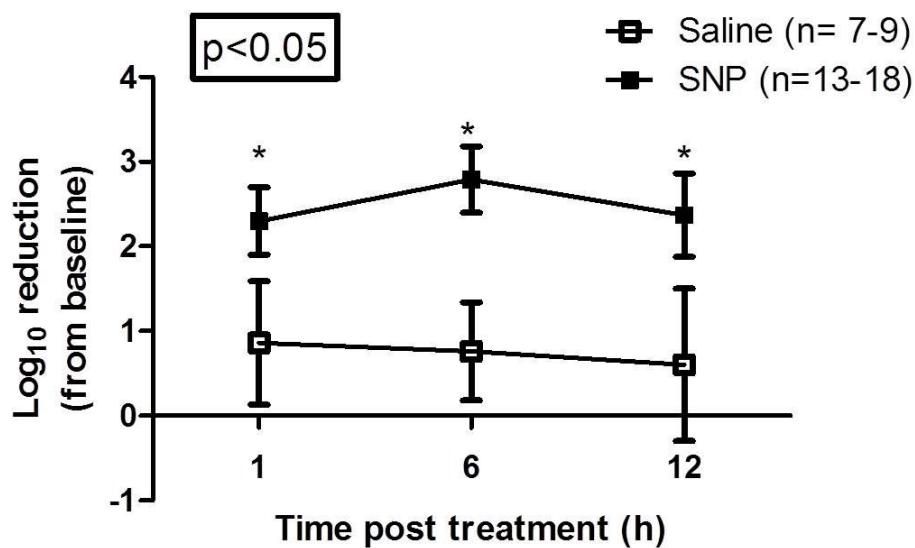
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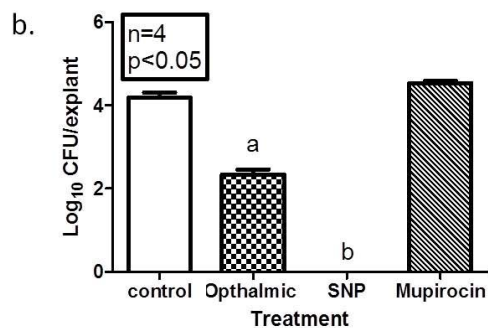
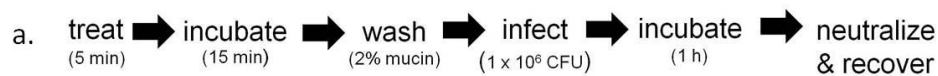
640

641

Figure 4. 3M™ SNP reduces normal flora of human anterior nares.



642

Figure 5. 3M™ SNP prevents MRSA infection in an *ex vivo* model.

Treatments not sharing a letter are significantly different.

643

644

645 **Table I. Mupirocin susceptibilities of clinical MRSA isolates by E test**

Isolate	E test ( $\mu\text{g/mL}$ )	Mup R*
146	8	LLR
823	12	LLR
815	8	LLR
748	12	LLR
103	$\geq 1024$	HLR
920	$\geq 1024$	HLR
559	$\geq 1024$	HLR
476	$\geq 1024$	HLR
993	$\geq 1024$	HLR
329	$\geq 1024$	HLR

646 \* mupirocin resistance (MupR); hi-level mupirocin resistance (HLR) and lo-level  
647 mupirocin resistance (LLR)

648